



X-ray absorption spectroscopy

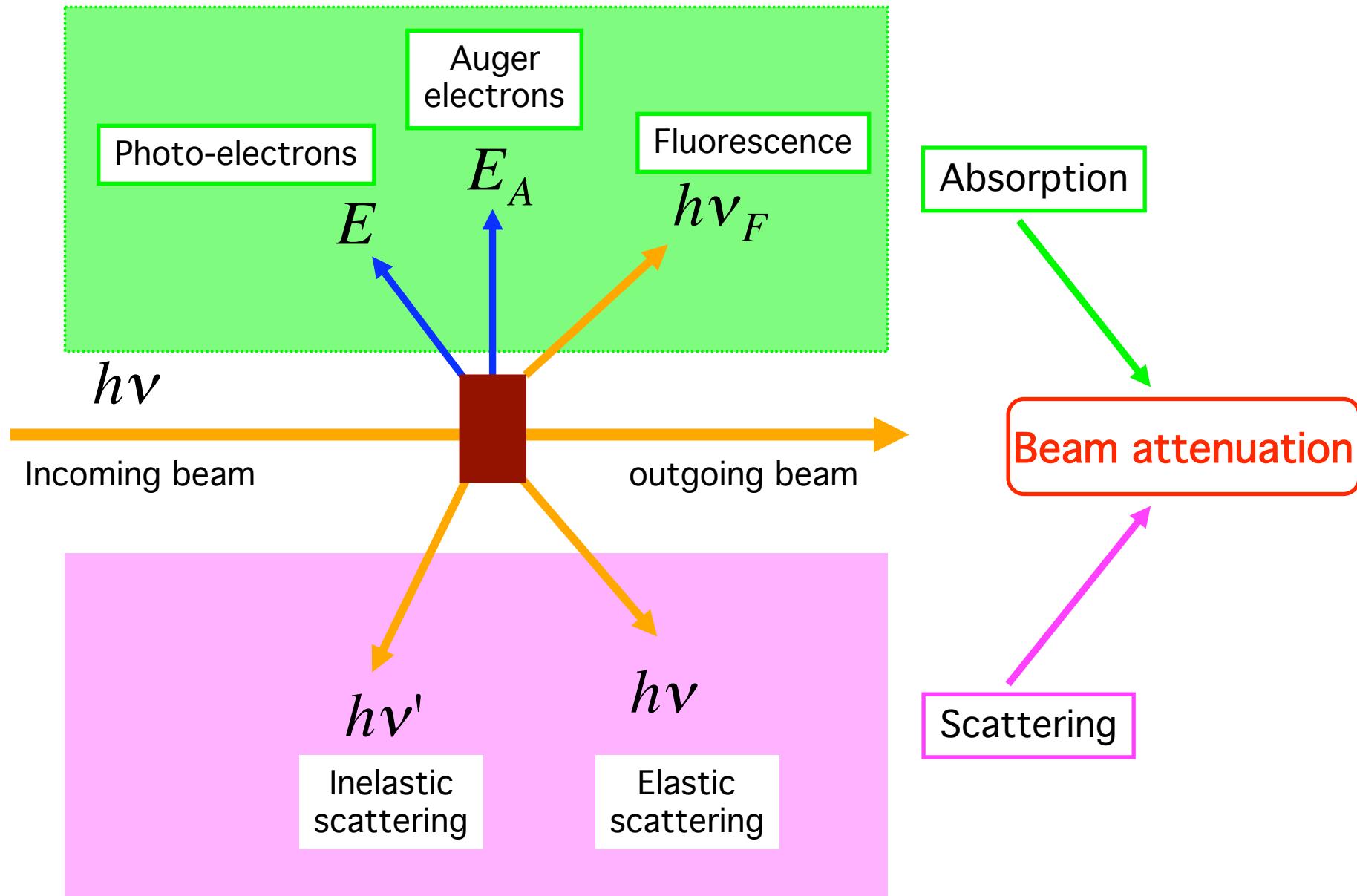
Paolo Fornasini
Dipartimento di Fisica
Università di Trento



XI School on Synchrotron Radiation
Duino, 5 - 16 September 2011

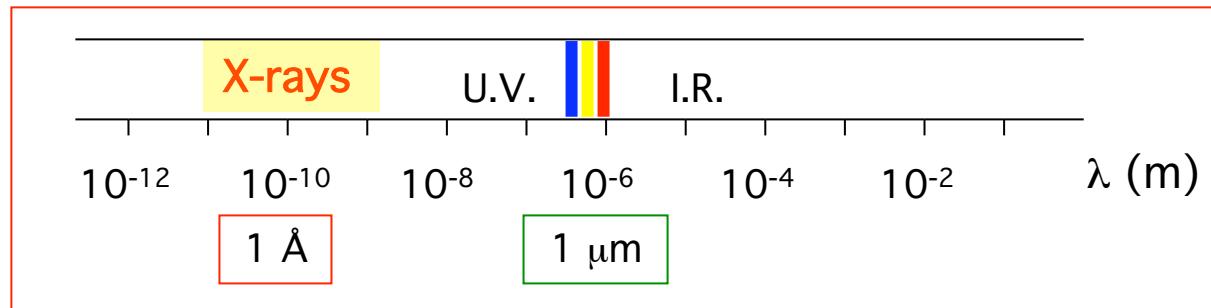
Basic attenuation mechanisms for X-rays

Paolo
Fornasini
Univ. Trento

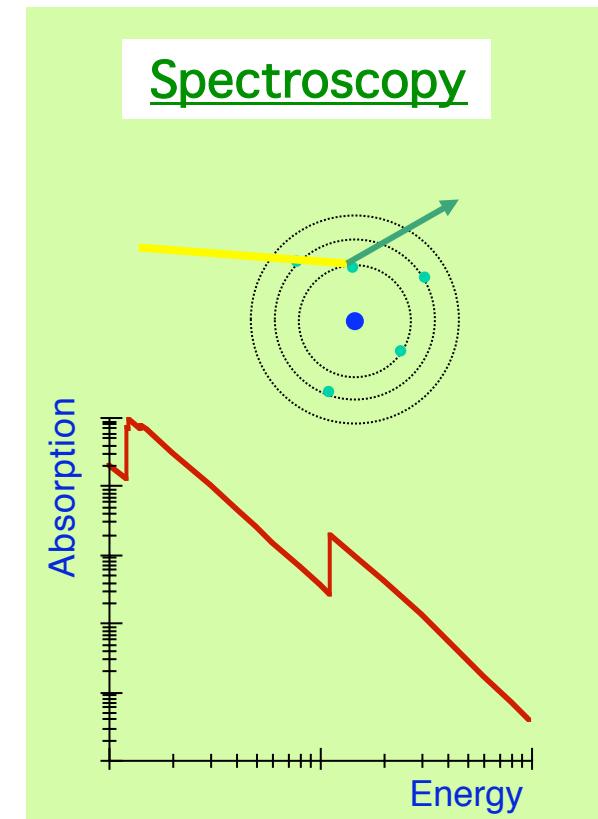
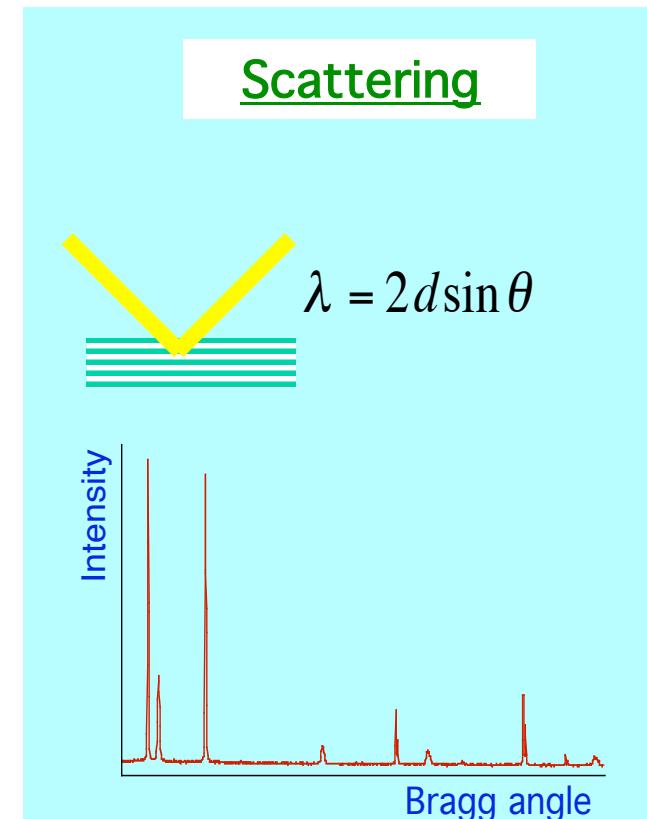
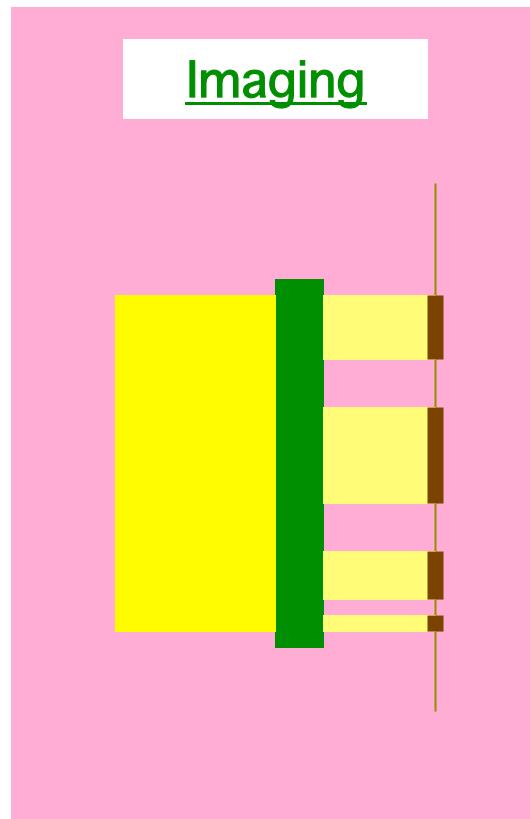


X-RAYS and X-ray techniques

Paolo
Fornasini
Univ. Trento

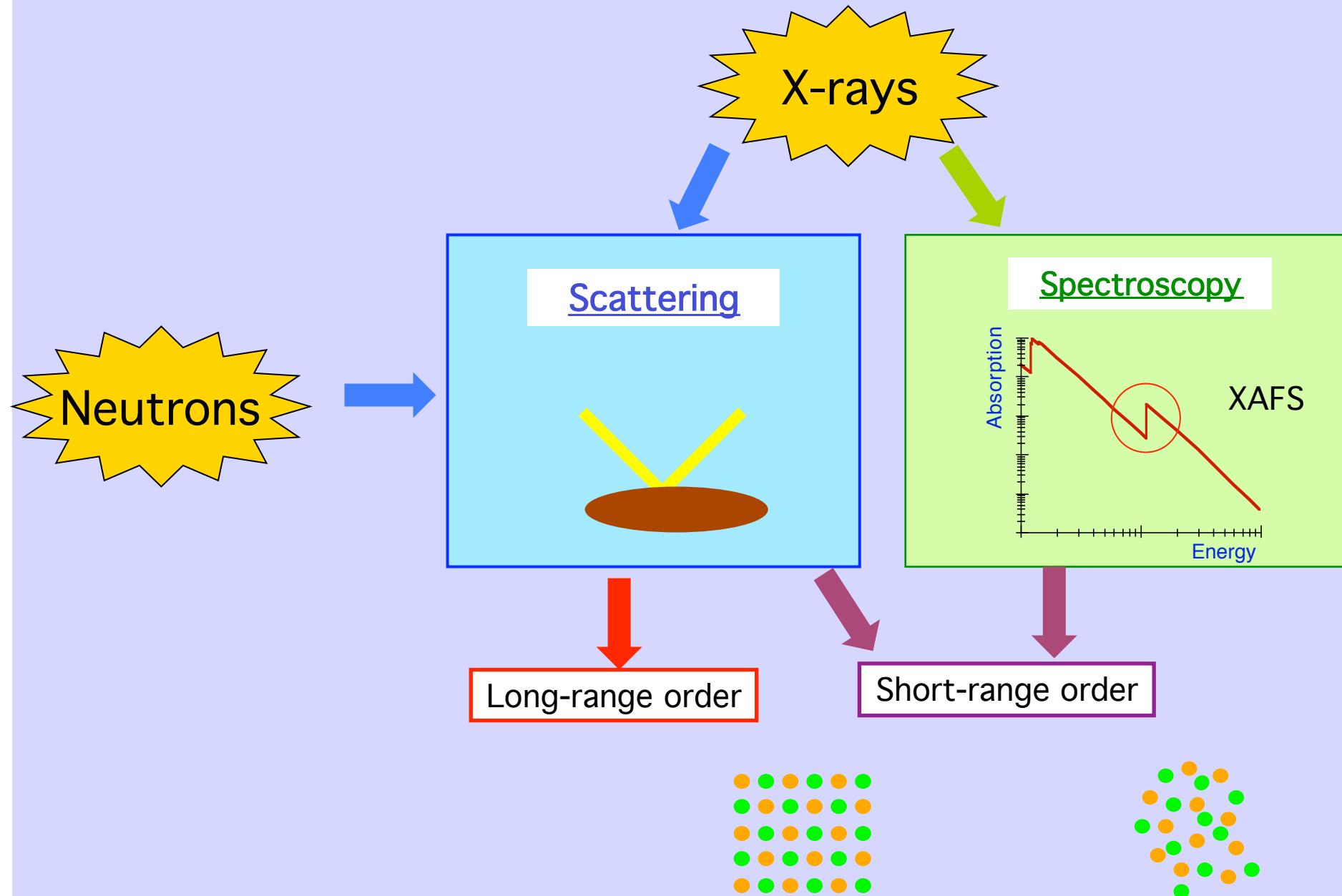


$$E[\text{keV}] = \frac{12.4}{\lambda[\text{\AA}]}$$



Structural techniques

Paolo
Fornasini
Univ. Trento



- X-rays absorption - phenomenology
- X-rays absorption - theory
- EXAFS: theoretical background
- EXAFS experiments
- EXAFS: data analysis, examples



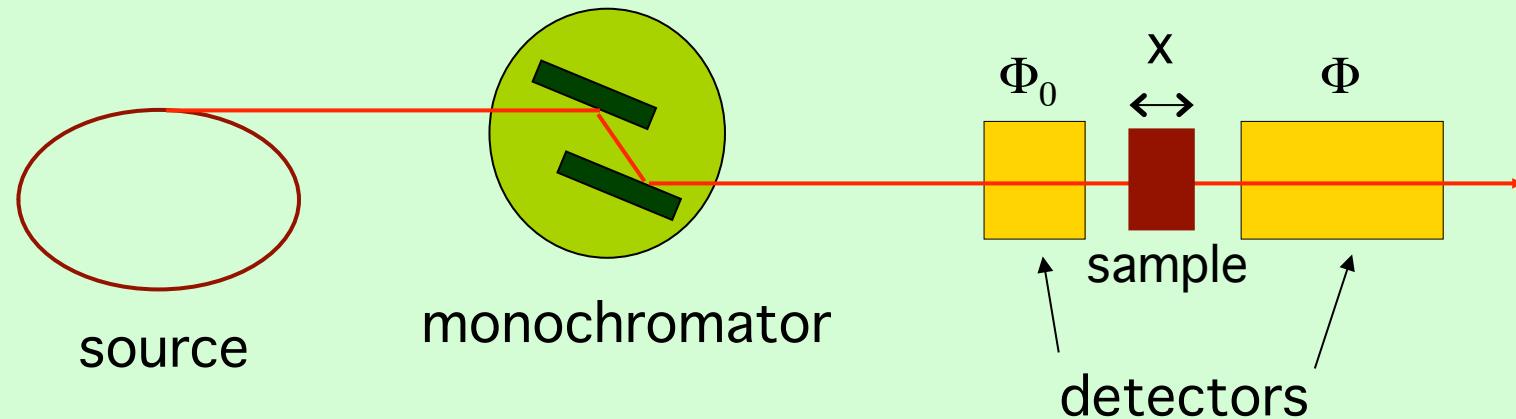


X-rays, 1897

X-rays absorption - phenomenology

Attenuation of X-rays

Paolo
Fornasini
Univ. Trento



Exponential attenuation

$$\Phi = \Phi_0 \exp[-\mu(\omega) x]$$

Attenuation coefficient

$$\mu(\omega) = \frac{1}{x} \ln \frac{\Phi_0}{\Phi}$$

Atomic cross sections

Paolo
Fornasini
Univ. Trento

$$\mu(\omega) = \frac{N_a \rho}{A} \mu_a(\omega)$$

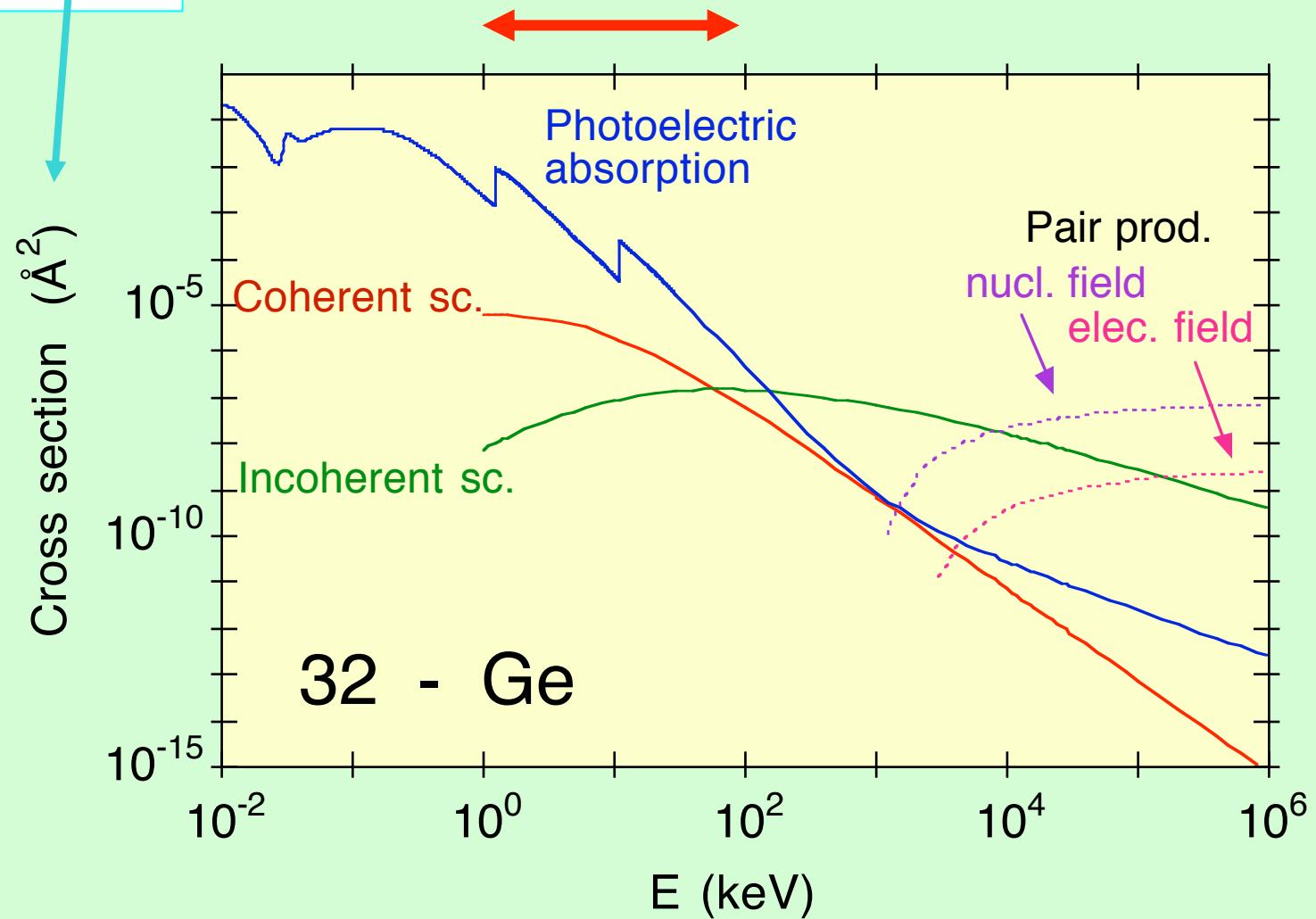
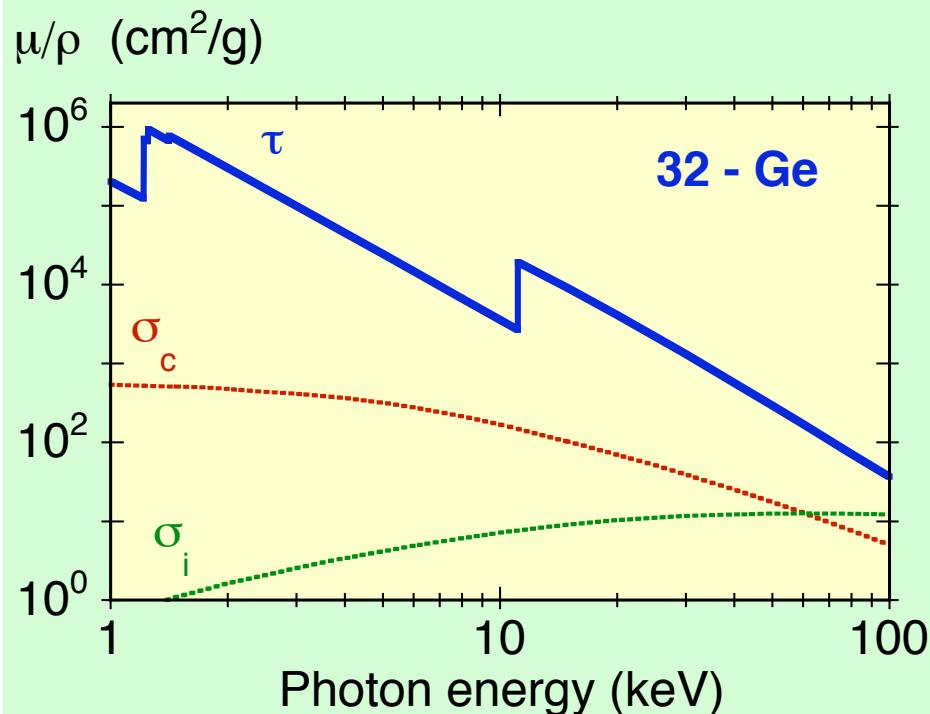


Photo-electric absorption

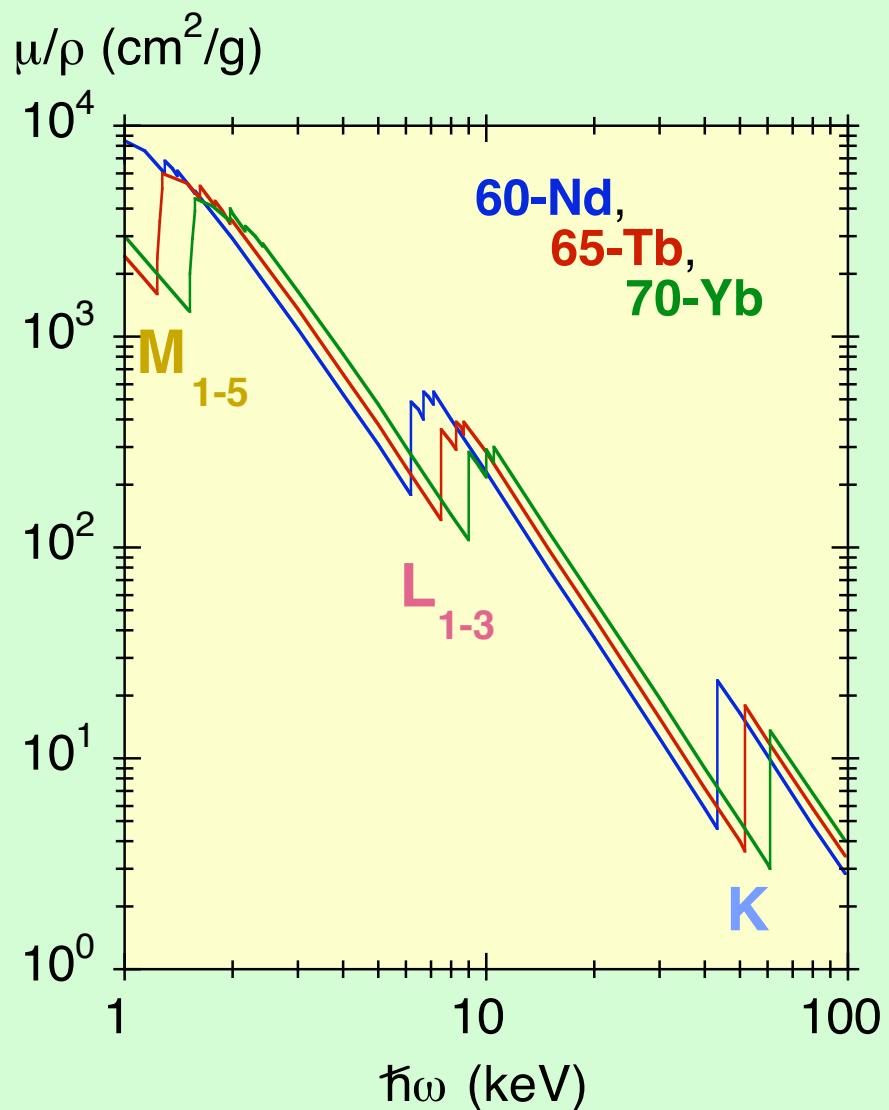
Paolo
Fornasini
Univ. Trento



$$\tau(\omega) \propto \frac{Z^4}{(\hbar\omega)^3}$$

+

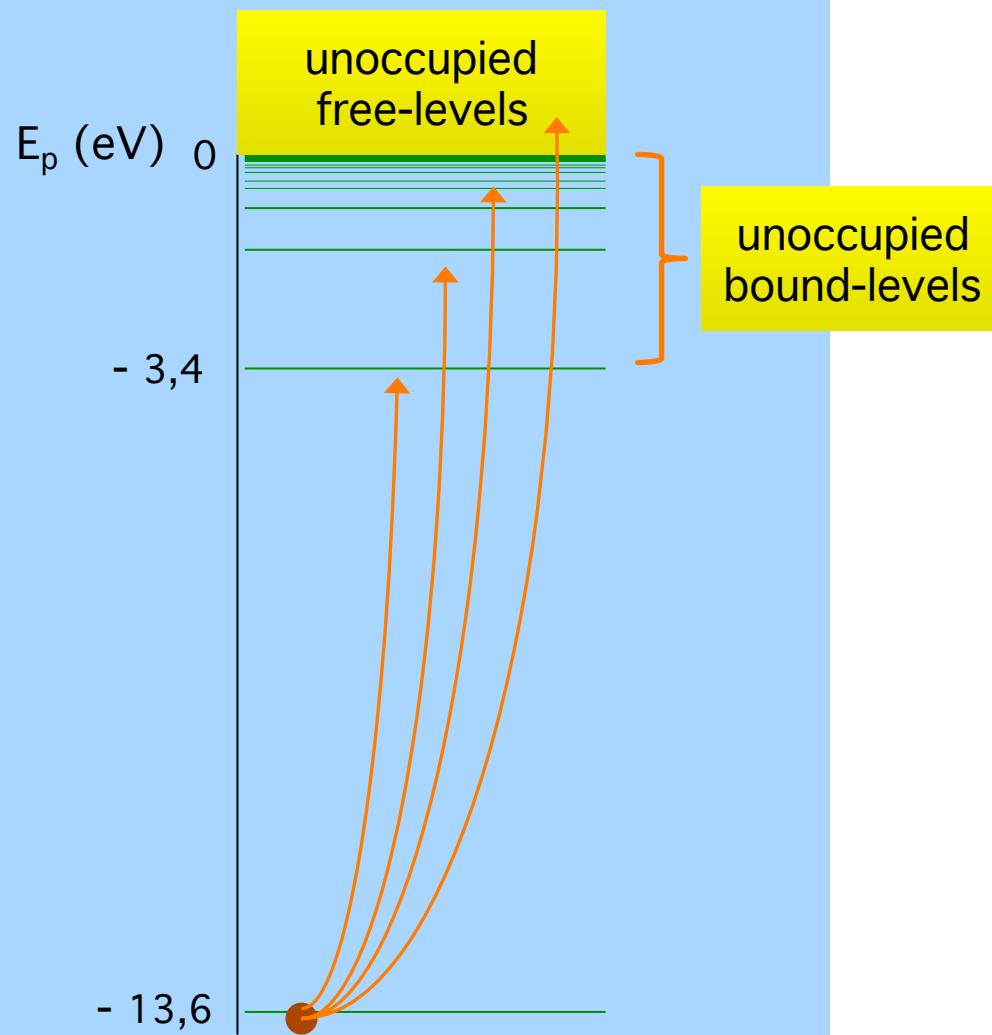
Edges



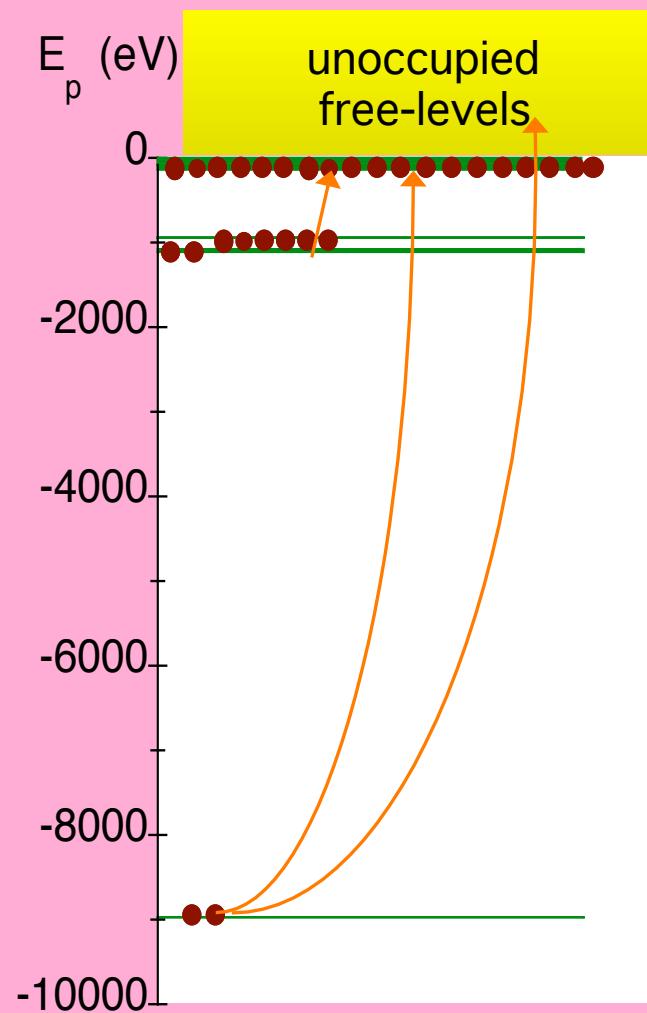
Excitation and ionization

Paolo
Fornasini
Univ. Trento

1 - Hydrogen



29 - Copper



Absorption edges

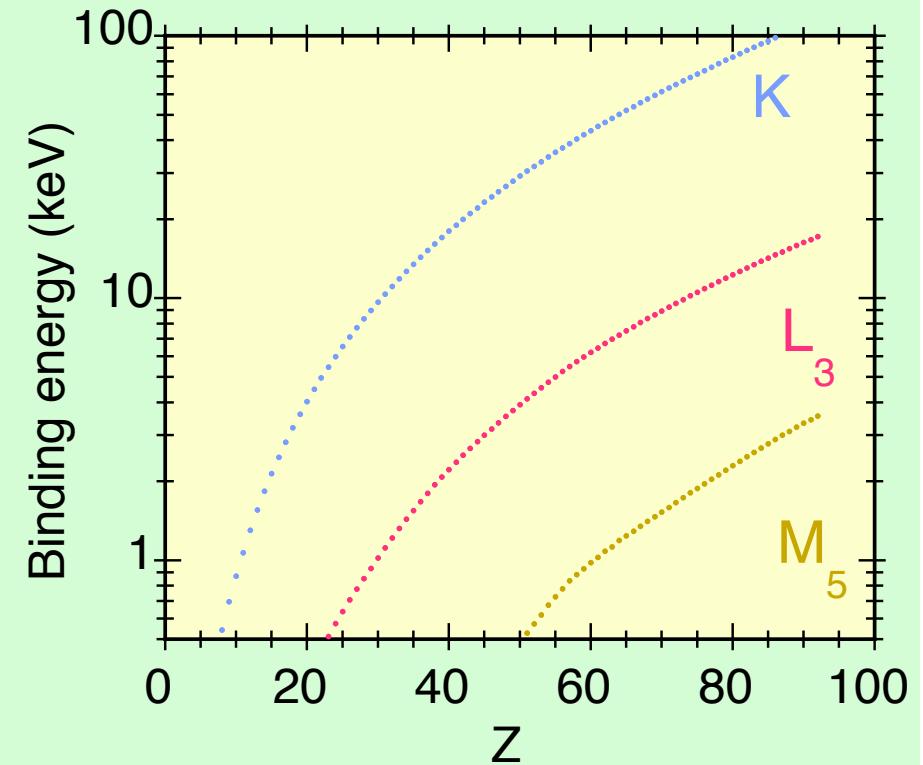
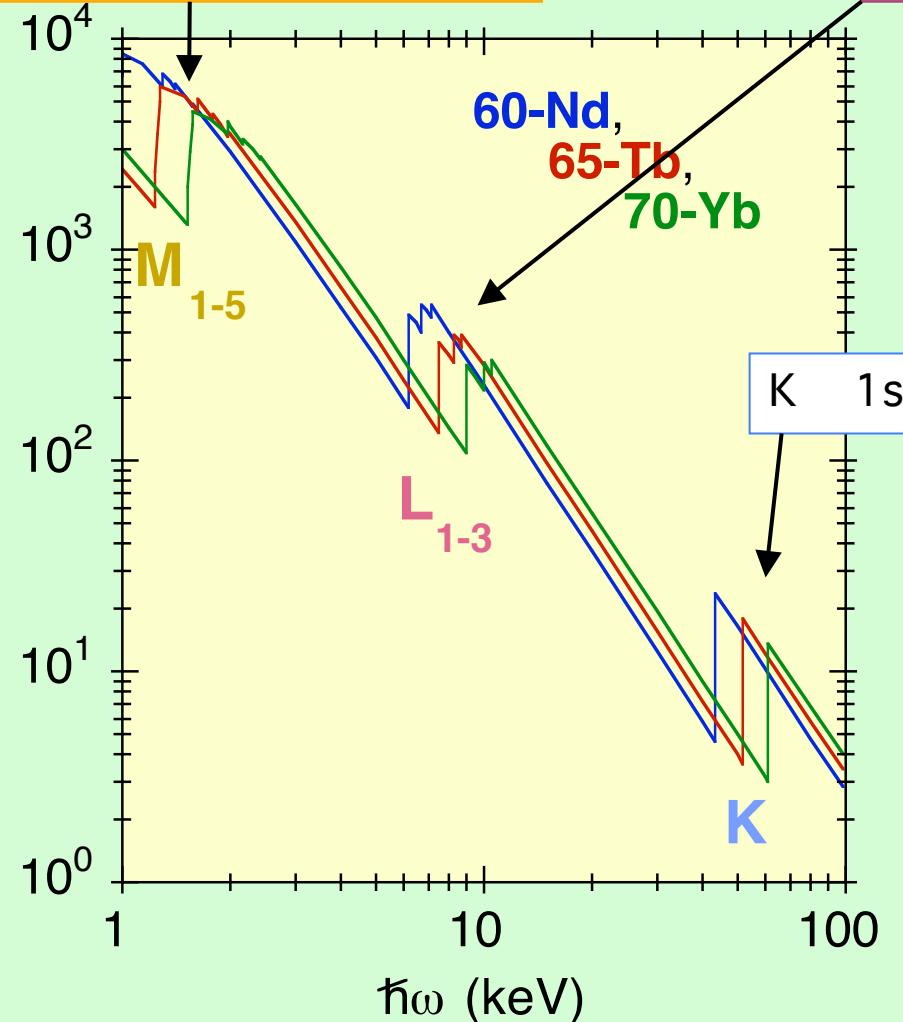
Paolo
Fornasini
Univ. Trento

M_1	$3s$	M_3	$3p_{3/2}$
M_2	$3p_{1/2}$	M_4	$3d_{3/2}$
		M_5	$3d_{5/2}$

L_1	$2s$
L_2	$2p_{1/2}$
L_3	$2p_{3/2}$

$Z > 29$

$Z > 9$



Absorption edge fine structure



Fig. 1.
Aluminium.

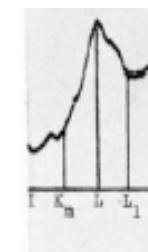


Fig. 2.
Phosphorus.

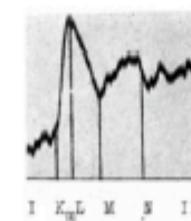


Fig. 3.
Sulphur.



Fig. 4.
Potassium.

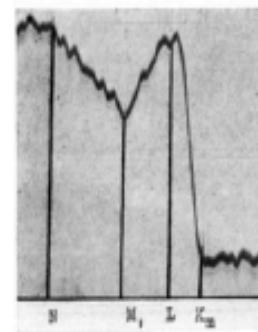


Fig. 5.
Scandium.

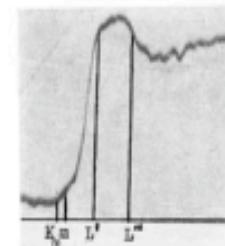


Fig. 6.
Titanium.

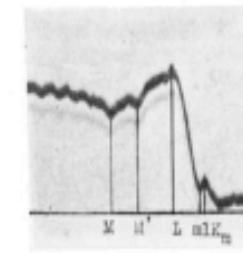


Fig. 7.
Vanadium.

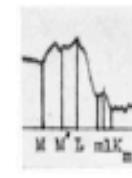


Fig. 8.
Chromium.

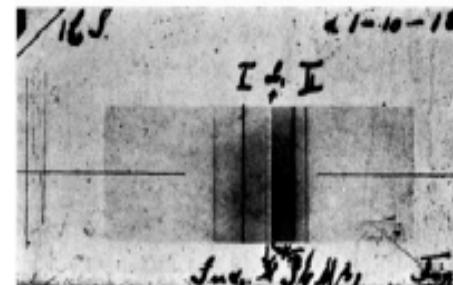


Fig. 9.
Sulphur.

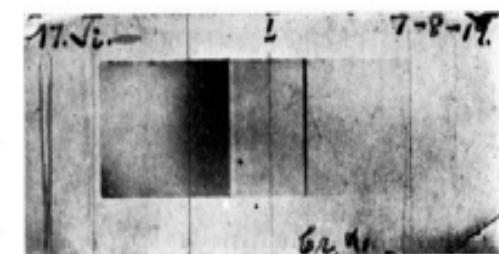
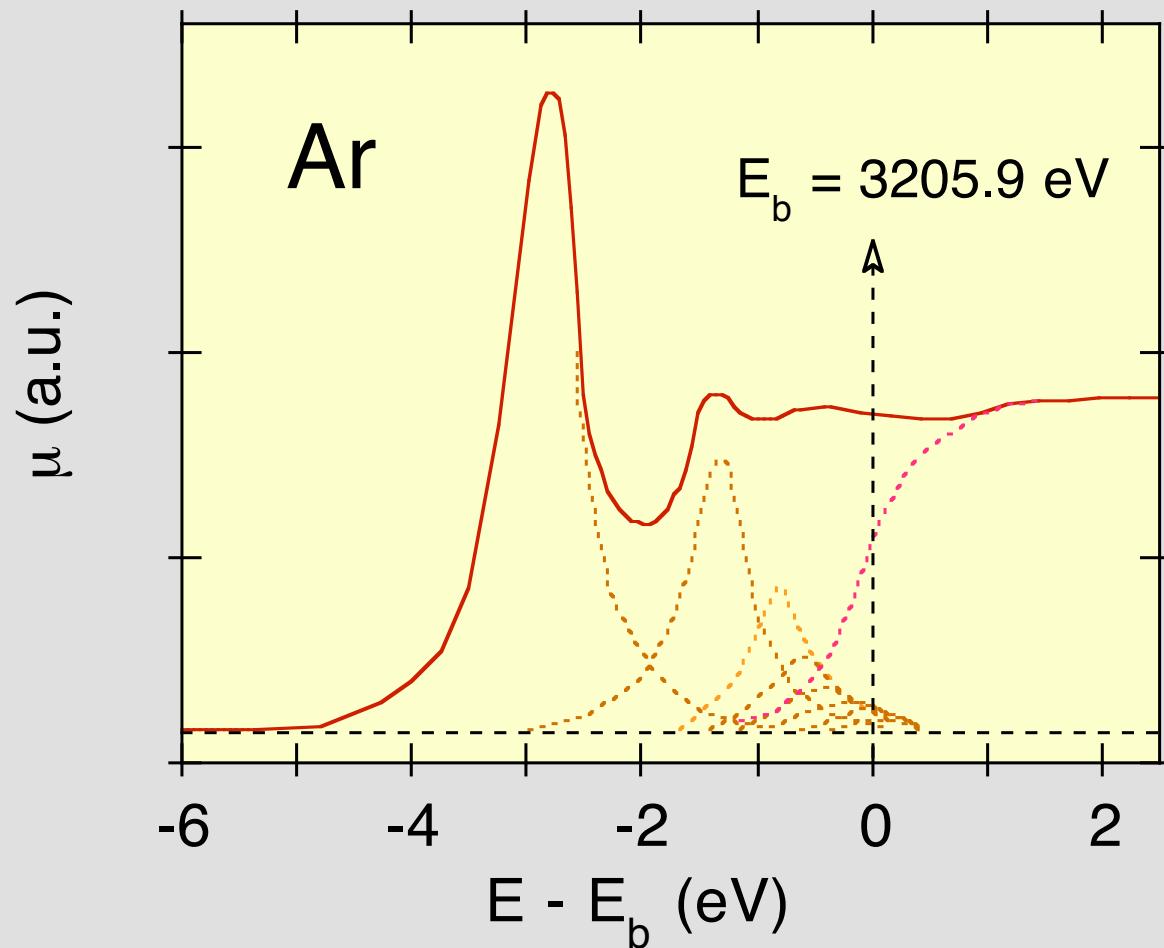


Fig. 10.
Titanium.

Atomic gases: edge fine structure

Paolo
Fornasini
Univ. Trento

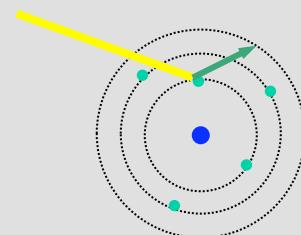


L. G. Parrat,
Phys. Rev. 56, 295 (1939)

Core level

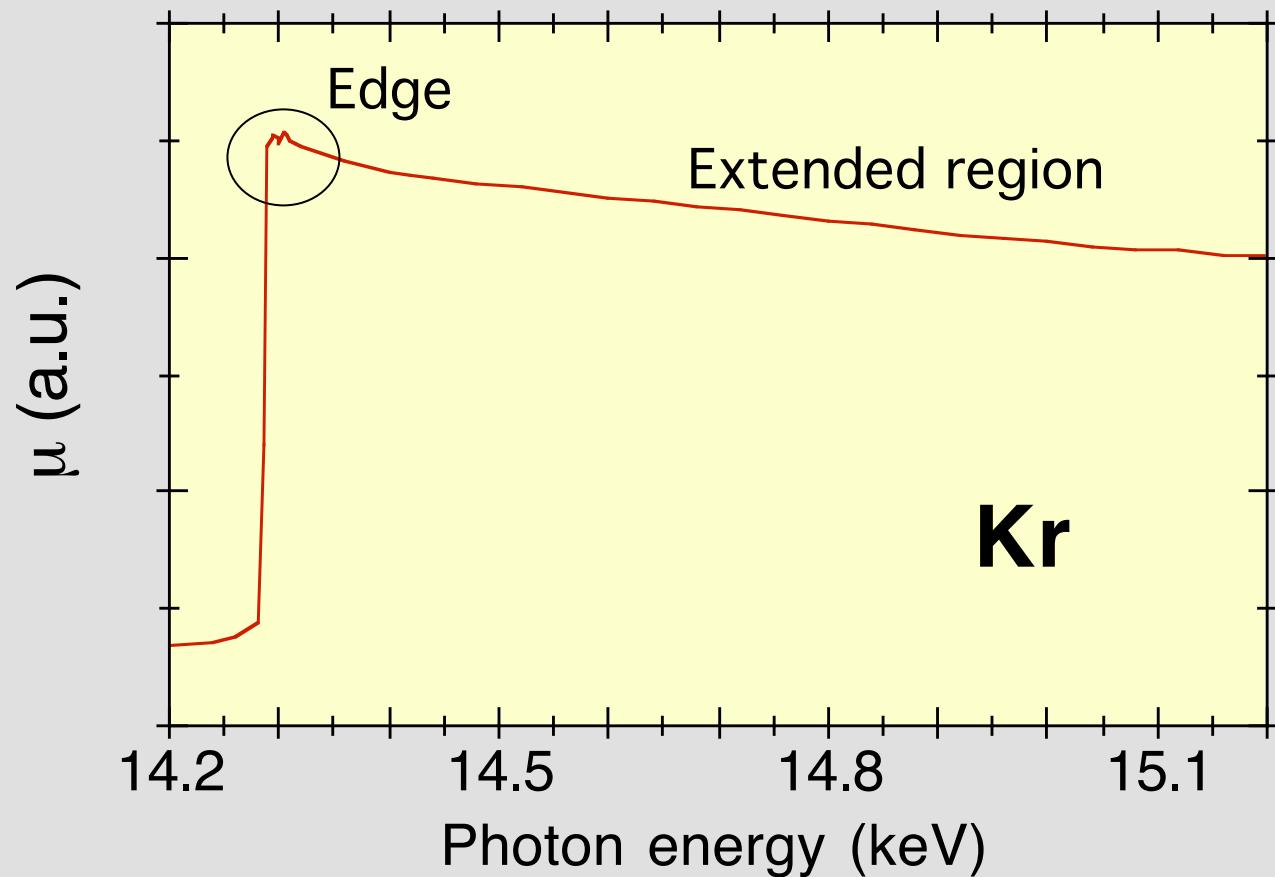


Unoccupied bound levels
(Rydberg levels)



Atomic gases: smooth absorption coefficient

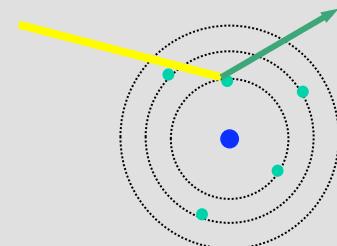
Paolo
Fornasini
Univ. Trento



Core level

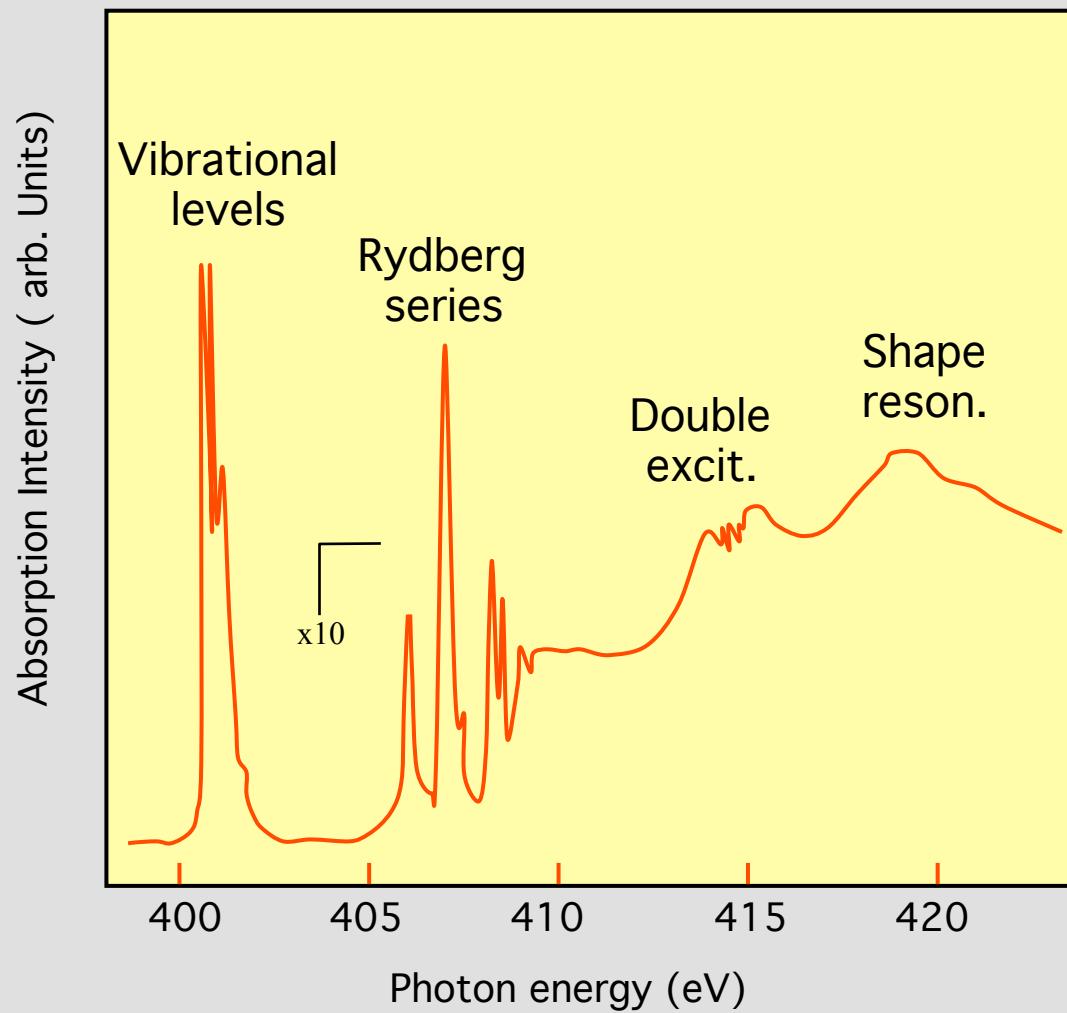


Unoccupied free levels
(continuum)

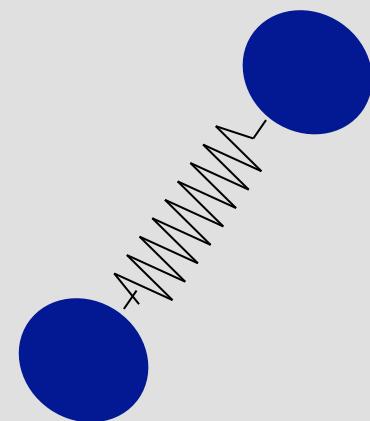


Molecular gases: Fine structure

Paolo
Fornasini
Univ. Trento



K-shell - gas-phase N_2



C.T. Chen and F. Sette,
Phys. Rev. A 40 (1989)

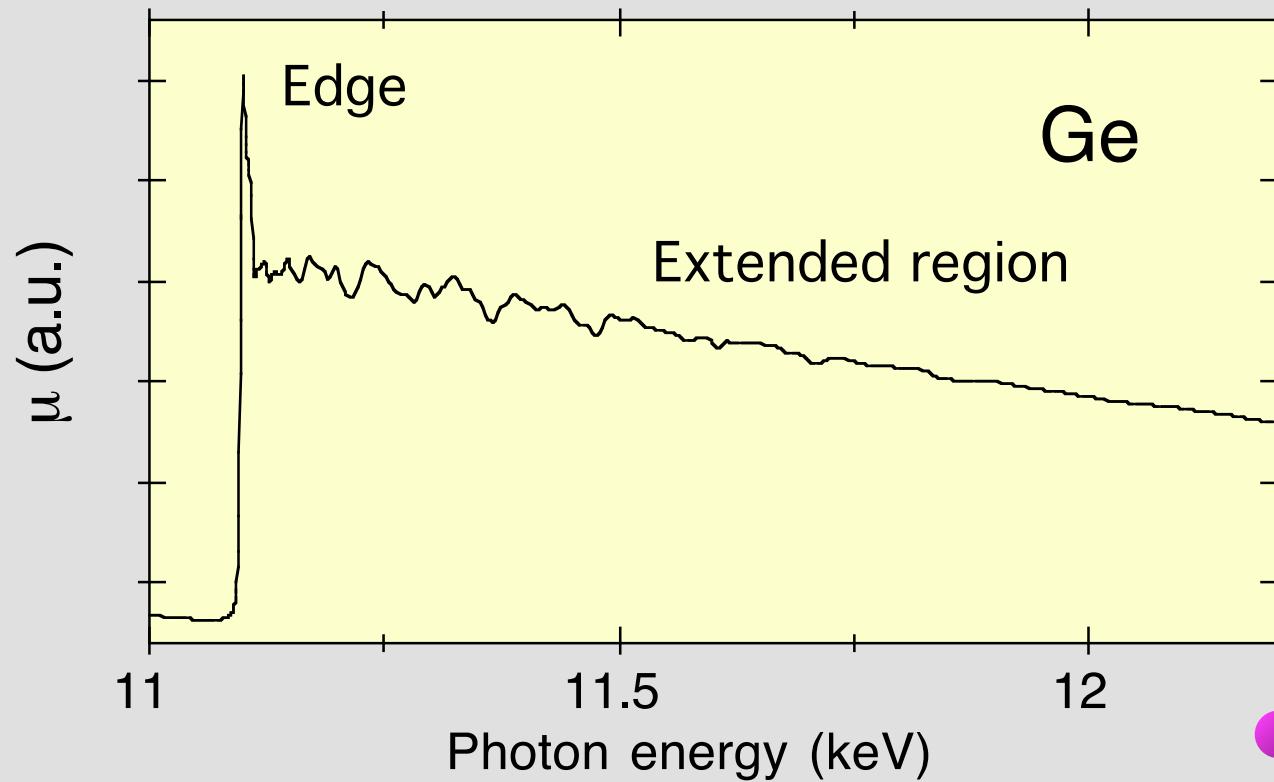
Core level



Unoccupied bound levels
Unoccupied free levels

Condensed systems: Fine structure

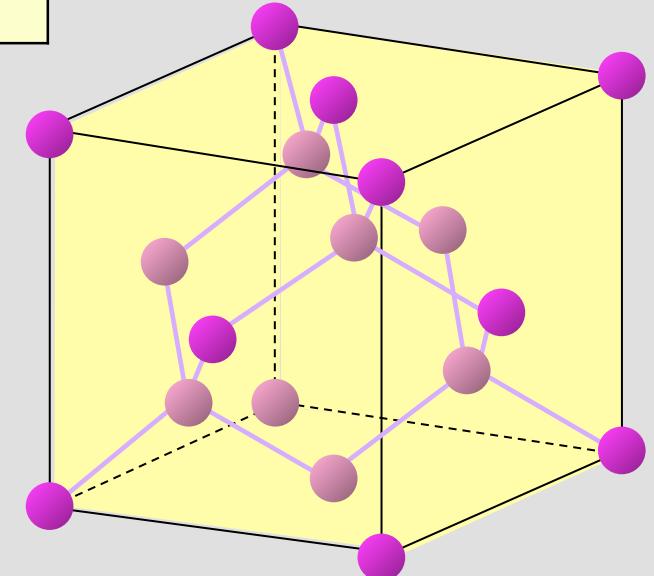
Paolo
Fornasini
Univ. Trento



Core level



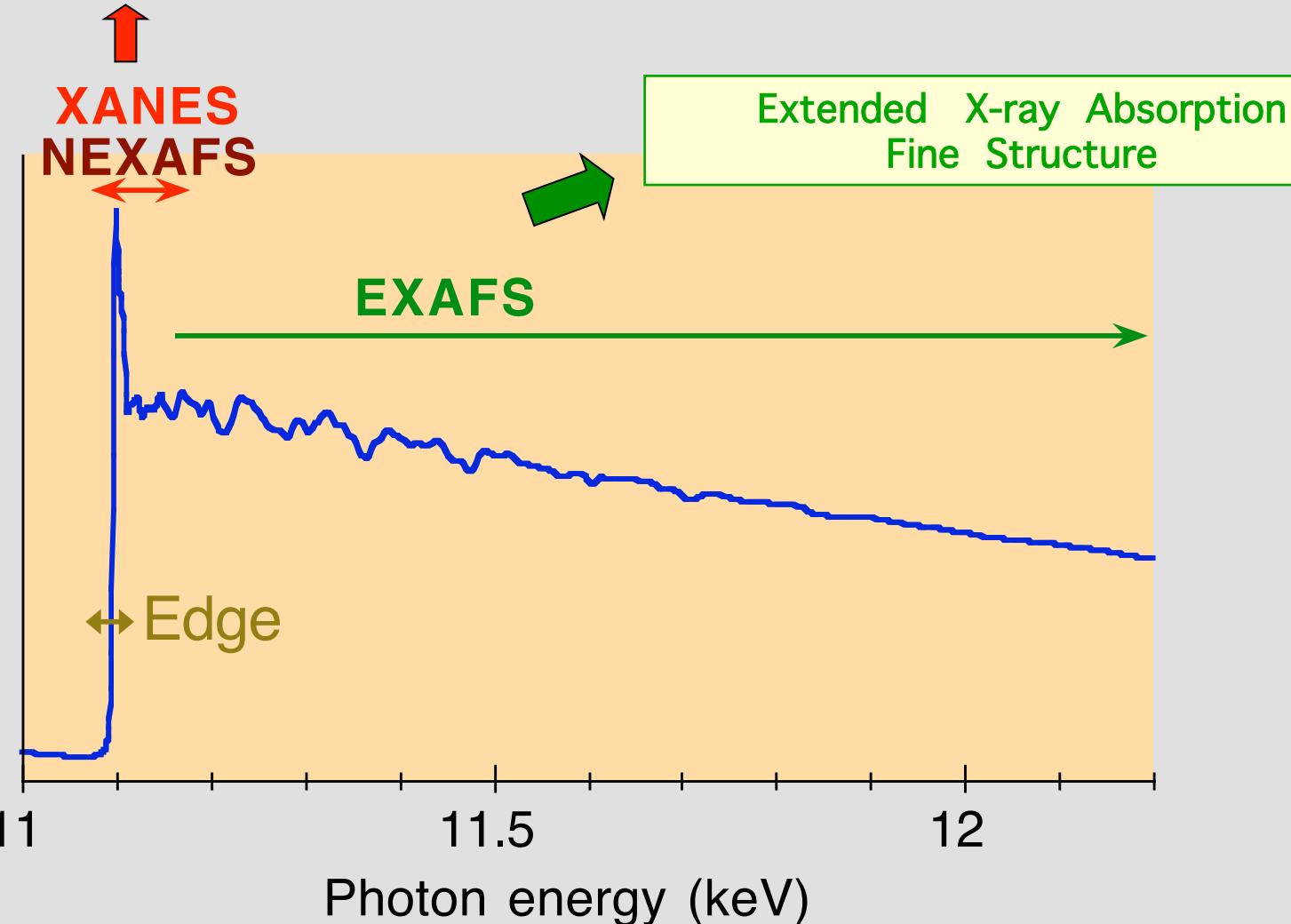
Unoccupied bound levels
Unoccupied free levels



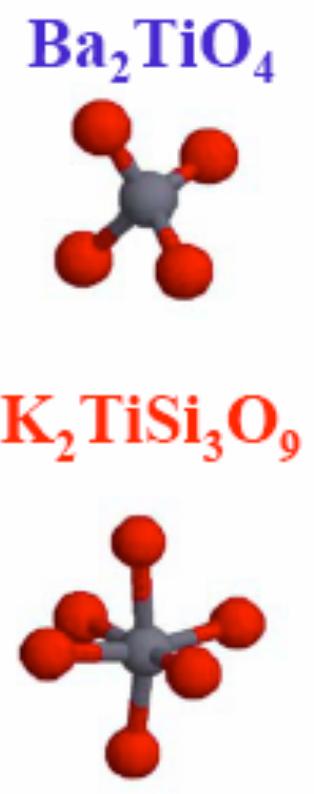
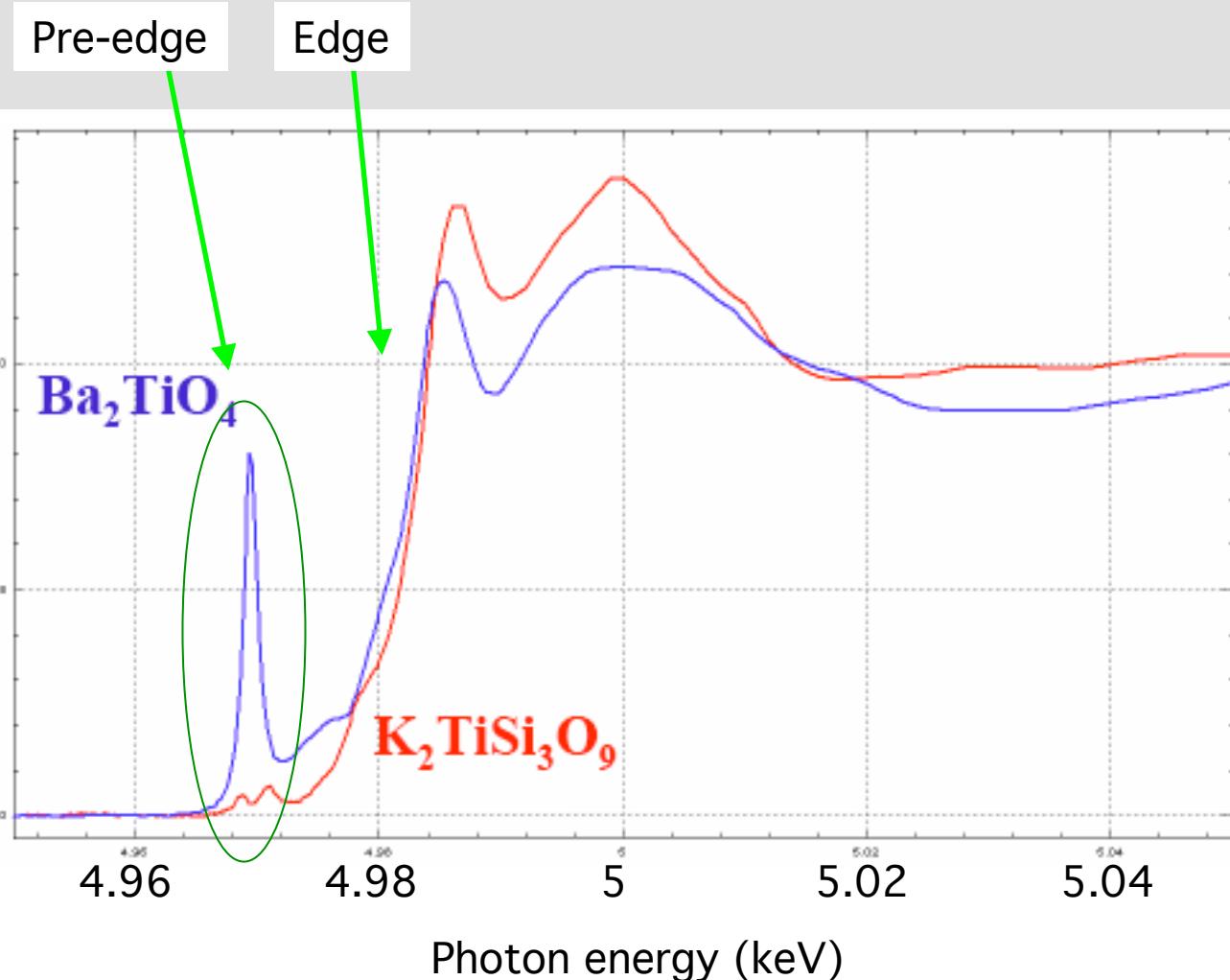
XAFS: X-ray Absorption Fine Structure

Paolo
Fornasini
Univ. Trento

X-ray Absorption Near Edge Structure
Near Edge X-ray Absorption Fine Structure



XAFS: edge and pre-edge



Lectures on XAFS



EXAFS

- introduction
- basic theory
- experiments
- data analysis

P. Fornasini

XANES

phenomenological
approach

C. Meneghini

XAFS

multiple scattering
approach

M. Benfatto

Applications

XAFS & Materials science
F. Boscherini

SR & Environmental science
P. Lattanzi

SR & Earth science
S. Quartieri

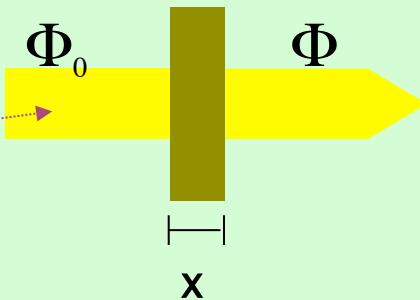
SR & Cultural heritage
S. Quartieri

SR & Chemistry
A. Martorana



X-rays absorption - theory

Energy density $u = \frac{\epsilon_0 E_0^2}{2} = \frac{\epsilon_0 \omega^2 A_0^2}{2}$



● Linear attenuation coefficient

$$\mu(\omega) = -\frac{1}{u} \frac{du}{dx} = \frac{1}{x} \ln \frac{\Phi_0}{\Phi} = \frac{N_a \rho}{A} \mu_a(\omega)$$

N_a = Avogadro number
 A = atomic weight
 r = mass density
 μ_a = atomic cross section

● Mass attenuation coefficient

Elements:

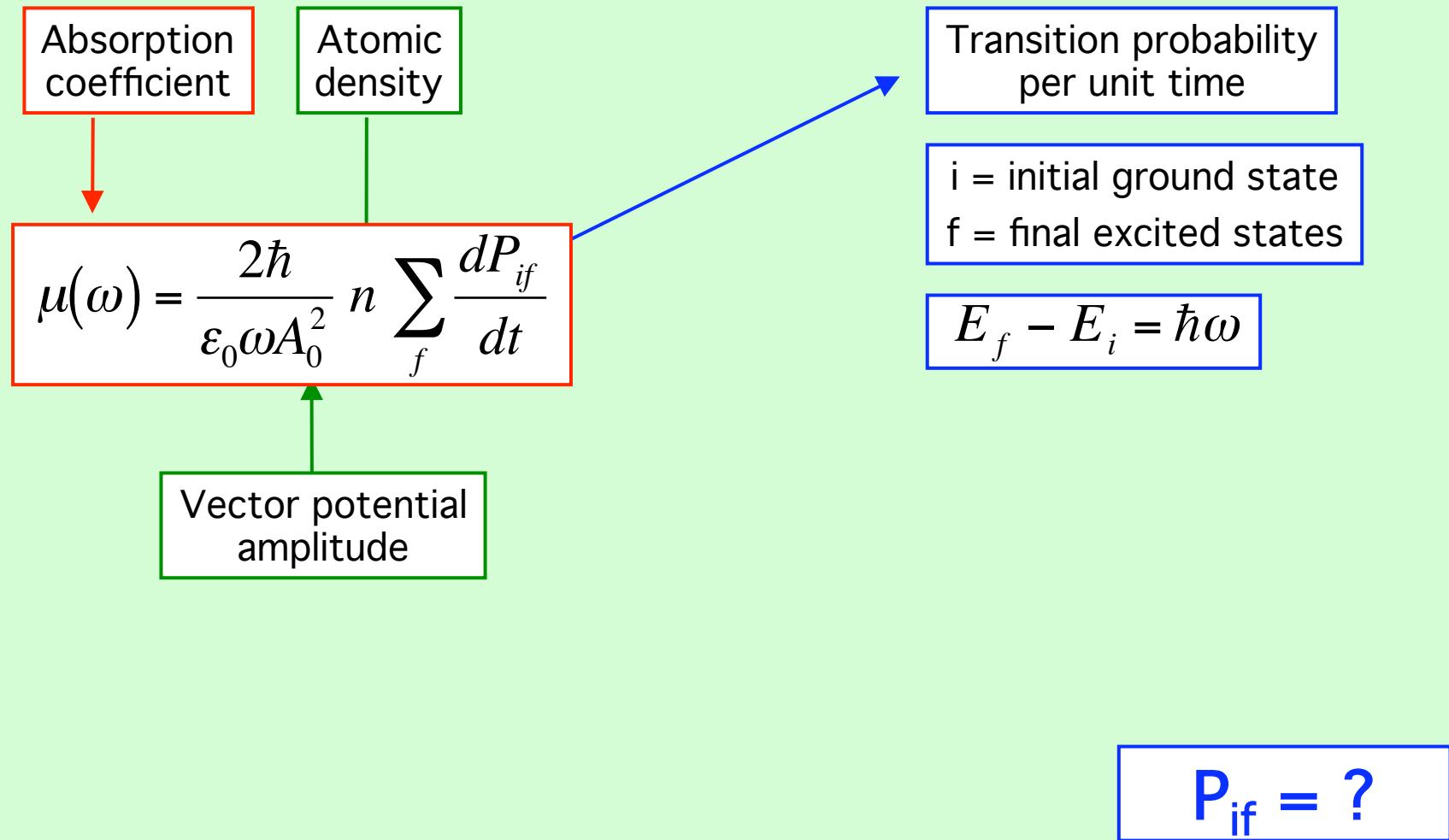
$$\frac{\mu}{\rho} = \frac{N_a}{A} \mu_a$$

Chemical compounds $P_x Q_y \dots$

$$\left(\frac{\mu}{\rho} \right)_{\text{tot}} = x \left(\frac{\mu}{\rho} \right)_P \frac{A_P}{M} + y \left(\frac{\mu}{\rho} \right)_Q \frac{A_Q}{M} + \dots$$

A_i = atomic weights, M = molecular weight

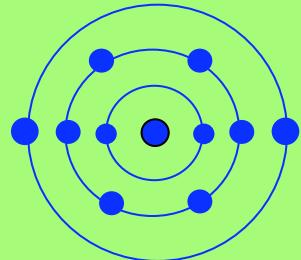
Absorption coefficient



Radiation-matter interaction

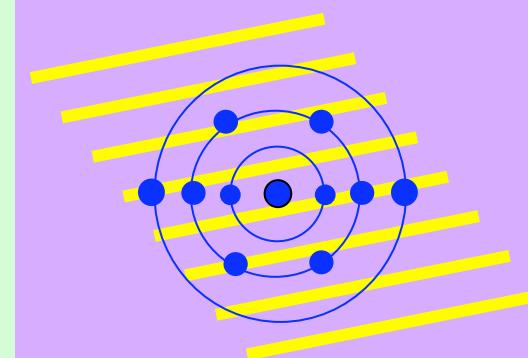
Paolo
Fornasini
Univ. Trento

Initial atomic state



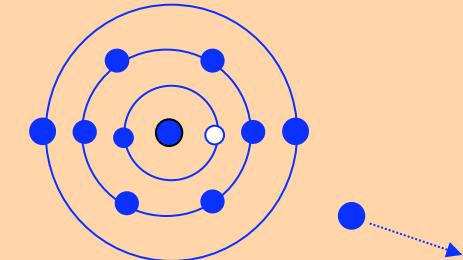
$|\Psi_i\rangle$
Stationary ground state

Interaction



$|\Psi(t)\rangle$

Final atomic state

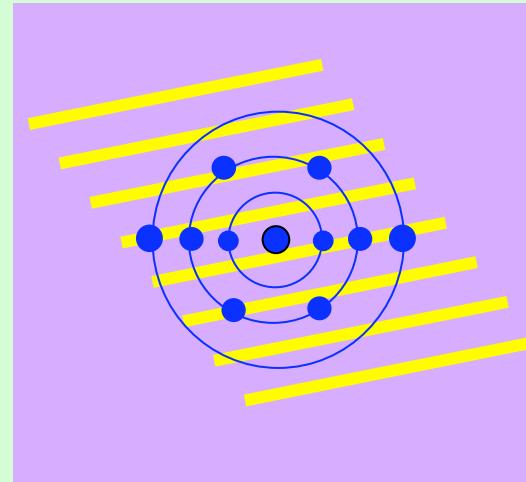


$|\Psi_f\rangle$
Stationary excited state

$$P_{if} = ?$$

Perturbation approach

System = atom (quantum treatment)



Weak perturbation = electromagnetic field
(classical treatment)

Hamiltonian for atom in e.m. field

Paolo
Fornasini
Univ. Trento

Sum over electrons

Vector potential

Electron spin

Radiation gauge

$$\vec{\nabla} \cdot \vec{A} = 0 \quad \vec{E} = \partial \vec{A} / \partial t$$

$$\Phi = 0 \quad \vec{B} = \vec{\nabla} \times \vec{A}$$

$$\vec{J} = 0 \quad \vec{\nabla} \cdot \vec{A} = \vec{A} \cdot \vec{\nabla}$$

$$H = \sum_j \left\{ \frac{1}{2m} \left[\vec{p}_j - q\vec{A}(\vec{r}_j, t) \right]^2 - \frac{q}{m} \vec{s}_j \cdot \vec{B}(\vec{r}_j, t) \right\} + V(\vec{r}_1 \dots \vec{r}_N)$$

$$= \sum_j \left[\frac{\vec{p}_j^2}{2m} + V(\vec{r}_1 \dots \vec{r}_N) \right] + \sum_j \left[\frac{e}{m} \vec{p}_j \cdot \vec{A}(\vec{r}_j, t) + \frac{e}{m} \vec{s}_j \cdot \vec{B}(\vec{r}_j, t) + \frac{e^2}{2m} A^2(\vec{r}_j, t) \right]$$

H_0

Unperturbed

H_I

Interaction

$$q = -e < 0$$

Interaction Hamiltonian

Sum over electrons

$$H_I = \sum_j \left[\frac{e}{m} \vec{p}_j \cdot \vec{A}(\vec{r}_j, t) + \frac{e}{m} \vec{s}_j \cdot \vec{B}(\vec{r}_j, t) + \frac{e^2}{2m} A^2(\vec{r}_j, t) \right]$$

Relevant terms

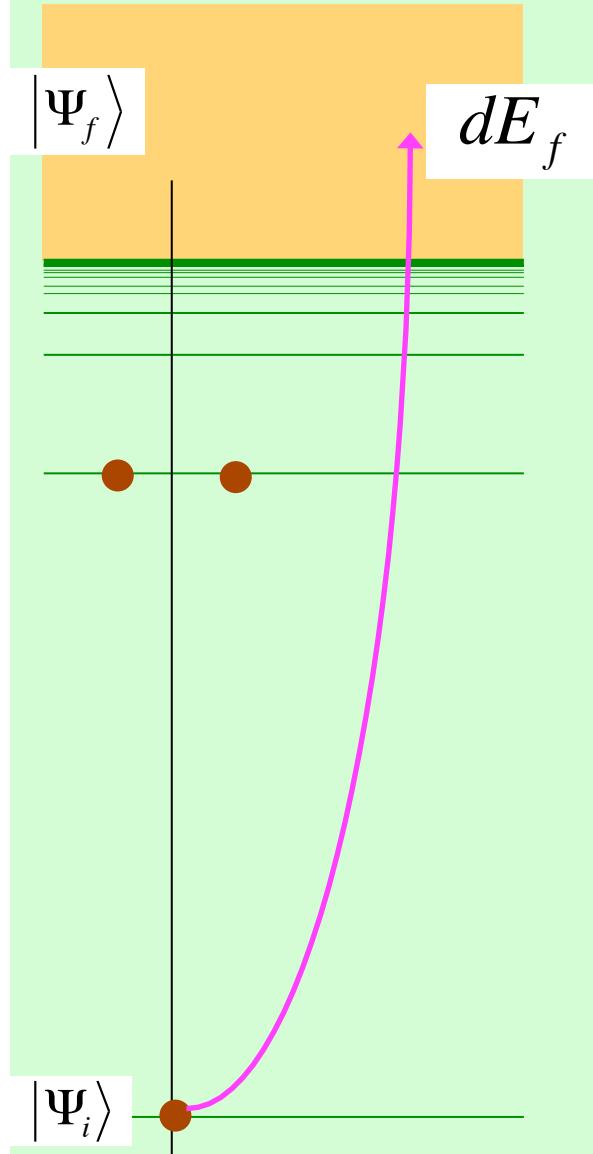
Time dependence:

$$\vec{A} = \text{Re} \left[A_0 \hat{\eta} e^{i(\vec{k} \cdot \vec{r} - \omega t)} \right]$$

sinusoidal perturbation
frequency ω

Time dependent perturbation theory

Paolo
Fornasini
Univ. Trento



1st-order perturbation

Transition to continuum states

Probability density

$$\frac{dP_{if}}{dE_f} = \rho(E_f) \left| \langle \Psi_f | H_I | \Psi_i \rangle \right|^2 t \delta(E_f - E_i - \hbar\omega) \frac{2\pi}{\hbar}$$

Density of states

Time

Energy
conservation

"Golden rule"

Probability density per unit time

$$w_{if} = \frac{dP_{if}}{dE_f dt} = \rho(E_f) \left| \langle \Psi_f | H_I | \Psi_i \rangle \right|^2 \delta(E_f - E_i - \hbar\omega) \frac{2\pi}{\hbar}$$



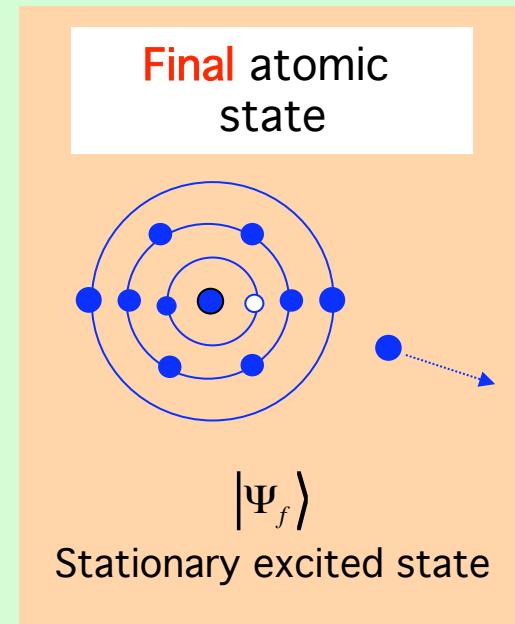
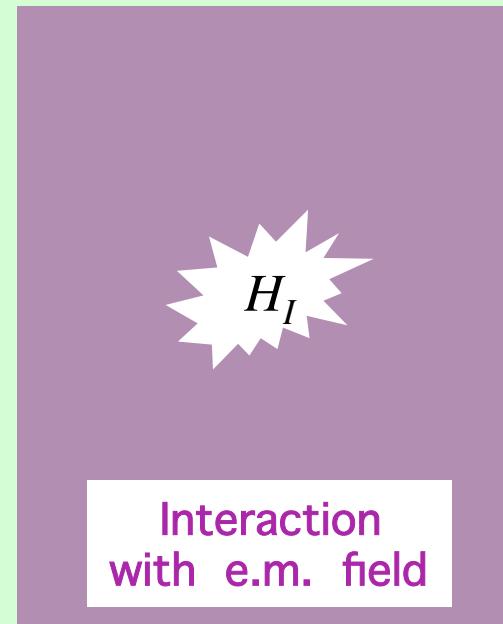
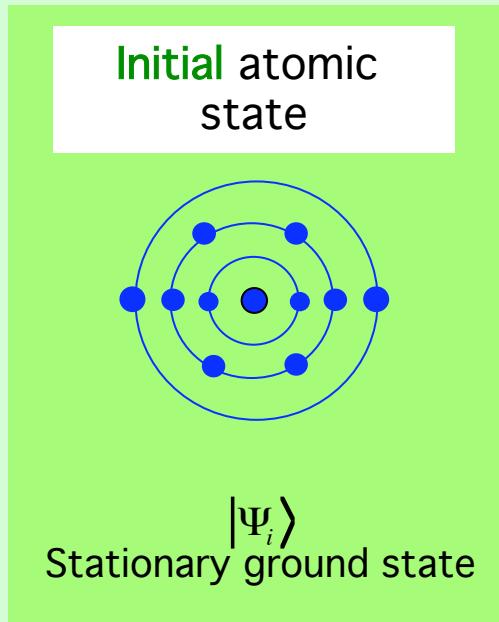
$$H_I = \sum_j \frac{e}{m} \vec{p}_j \cdot \vec{A}(r_j, t)$$

$$\vec{A} = \text{Re} \left[A_0 \hat{\eta} e^{i\vec{k} \cdot \vec{r}} \right]$$



$$w_{if} = \frac{\pi \hbar e^2}{m^2} |A_o|^2 \left| \left\langle \Psi_i \left| \sum_j e^{i\vec{k} \cdot \vec{r}_j} \hat{\eta} \cdot \vec{\nabla}_j \right| \Psi_f \right\rangle \right|^2 \rho(E_f) \delta(E_f - E_i - \hbar\omega)$$

Time-dependent perturbation theory (1st-order)



$$w_{if} \propto \left| \langle \Psi_i | H_I | \Psi_f \rangle \right|^2 \rho(E_f)$$

matrix element

density of final states

$$E_f - E_i = \hbar\omega$$

One-electron approximation

$$\mu_{\text{tot}}(\omega) = \mu_{\text{el}}(\omega) + \mu_{\text{inel}}(\omega)$$

- 1 core electron excited
- N-1 passive electrons relaxed

- 1 core electron excited
- Other electrons excited

EXAFS coherent signal

$$\mu_{\text{el}}(\omega) \propto \left| \left\langle \Psi_i^{N-1} \psi_i \right| e^{i\vec{k} \cdot \vec{r}} \hat{\eta} \cdot \vec{p} \left| \psi_f \Psi_f^{N-1} \right\rangle \right|^2 \rho(\varepsilon_f)$$

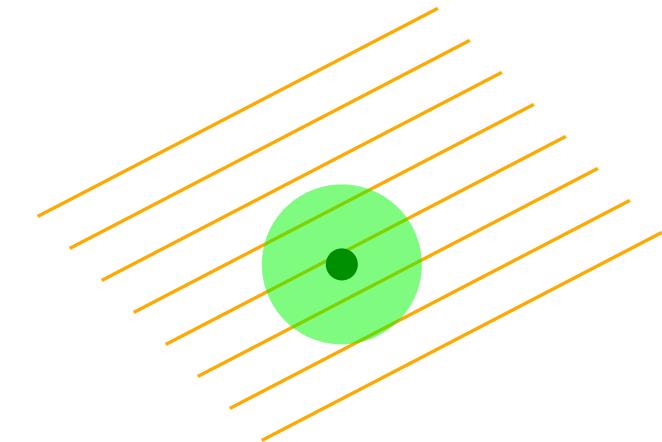
Electric dipole approximation

Paolo
Fornasini
Univ. Trento

$$e^{i\vec{k} \cdot \vec{r}} = 1 + i\vec{k} \cdot \vec{r} - \dots \approx 1$$



$$H_I \propto e^{i\vec{k} \cdot \vec{r}} \hat{\eta} \cdot \vec{p} \approx \hat{\eta} \cdot \vec{p} = \omega^2 \hat{\eta} \cdot \vec{r}$$



Dipole selection rules:

$$\begin{aligned}\Delta\ell &= \pm 1 & \Delta s &= 0 \\ \Delta j &= 0, \pm 1, & \Delta m &= 0, \pm 1\end{aligned}$$

$$\mu_{\text{el}}(\omega) \propto \left| \langle \Psi_i^{N-1} \psi_i | \hat{\eta} \cdot \vec{r} | \psi_f \Psi_f^{N-1} \rangle \right|^2$$

Validity of dipole approximation

Paolo
Fornasini
Univ. Trento

$$e^{i\vec{k} \cdot \vec{r}} = 1 + i\vec{k} \cdot \vec{r} - \dots \approx 1$$

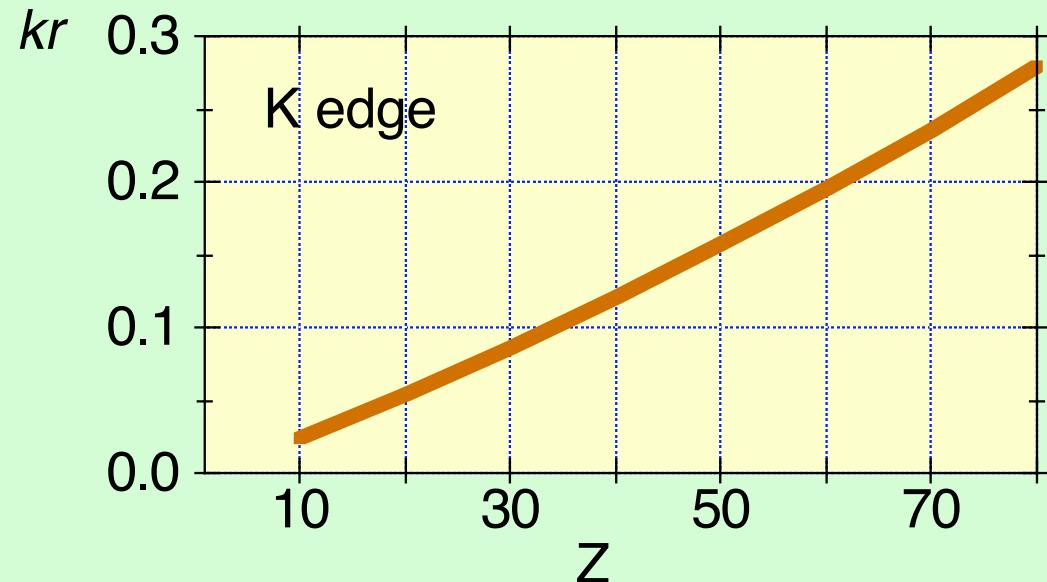


$$\lambda >> 2\pi r \quad \Leftrightarrow \quad kr \ll 1$$

1s orbital - K edge

$$r \approx \frac{a_0}{Z} \quad (a_0 = 0.53\text{\AA})$$

$$\lambda \approx \frac{1}{Z^2}$$



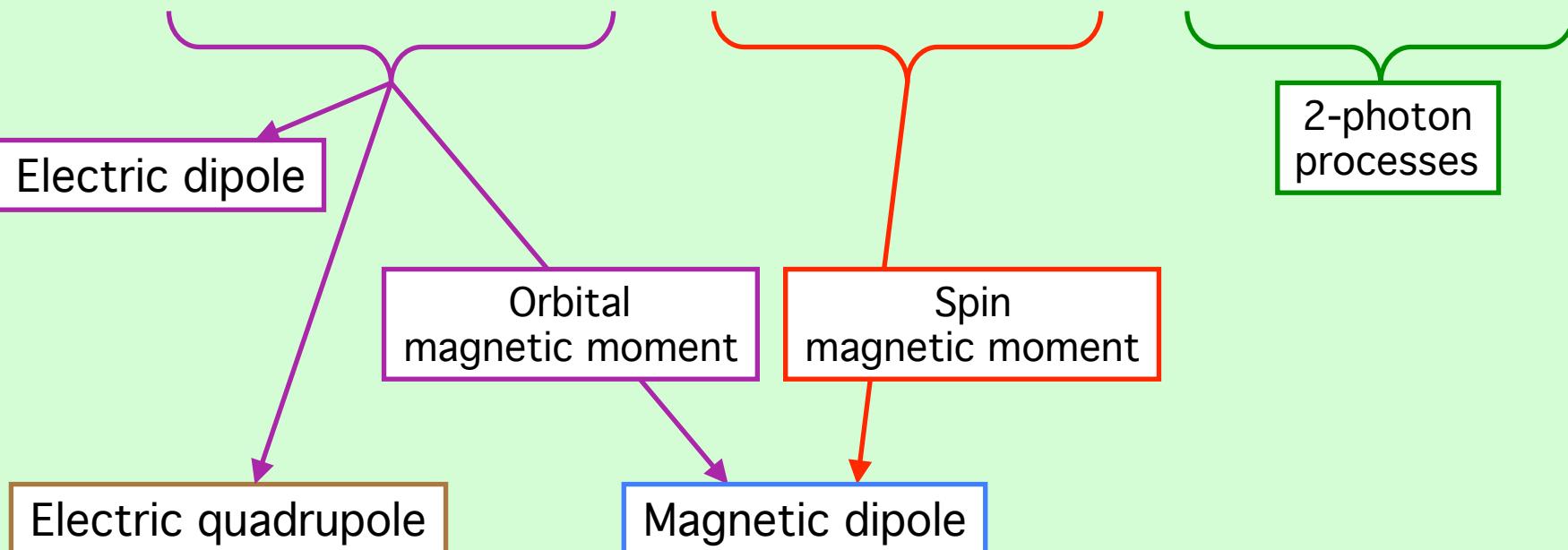
Beyond the electric dipole approximation

Paolo
Fornasini
Univ. Trento

$$e^{i\vec{k} \cdot \vec{r}} = 1 + i\vec{k} \cdot \vec{r} - \dots$$

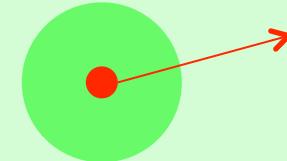
For one electron:

$$H_I = \frac{e}{m} \vec{p}_j \cdot \vec{A}(\vec{r}_j, t) + \frac{e}{m} \vec{s}_j \cdot \vec{B}(\vec{r}_j, t) + \frac{e^2}{2m} A^2(\vec{r}_j, t)$$



Sudden approximation

No interaction between **photoelectron** and **passive electrons**



$$|\Psi^{N-1}\psi\rangle = |\Psi^{N-1}\rangle|\psi\rangle$$

1 active electron

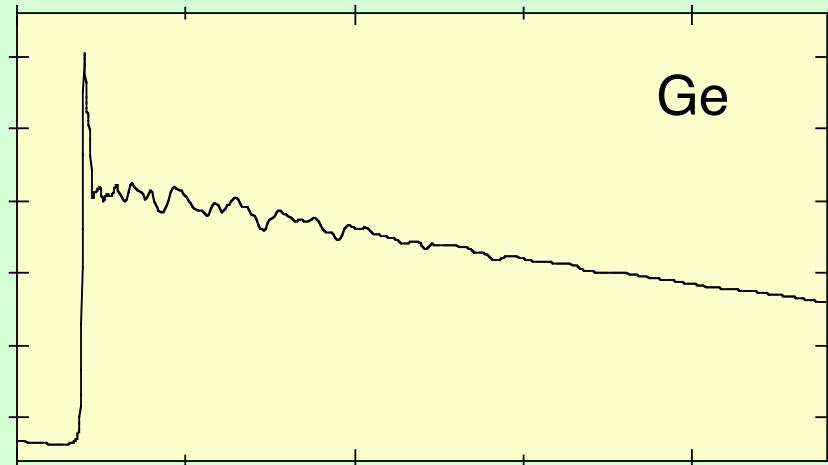
N-1 passive electrons

$$\mu_{\text{el}}(\omega) \propto \left| \langle \psi_i | \hat{\eta} \cdot \vec{r} | \psi_f \rangle \right|^2 \rho(\varepsilon_f) \left| \langle \Psi_i^{N-1} | \Psi_f^{N-1} \rangle \right|^2$$

$$S_0^2 \approx 0.6 \div 0.9$$

Structural
information

The final state



Fine structure $\longleftrightarrow |\psi_f \rangle$

at the core site

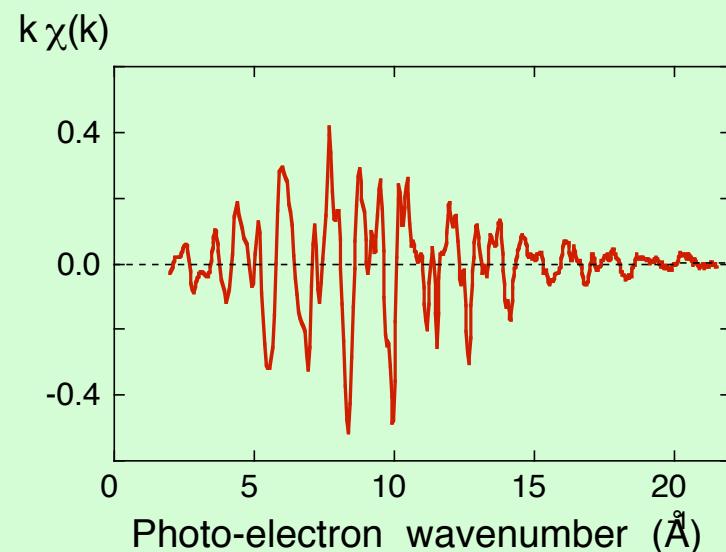
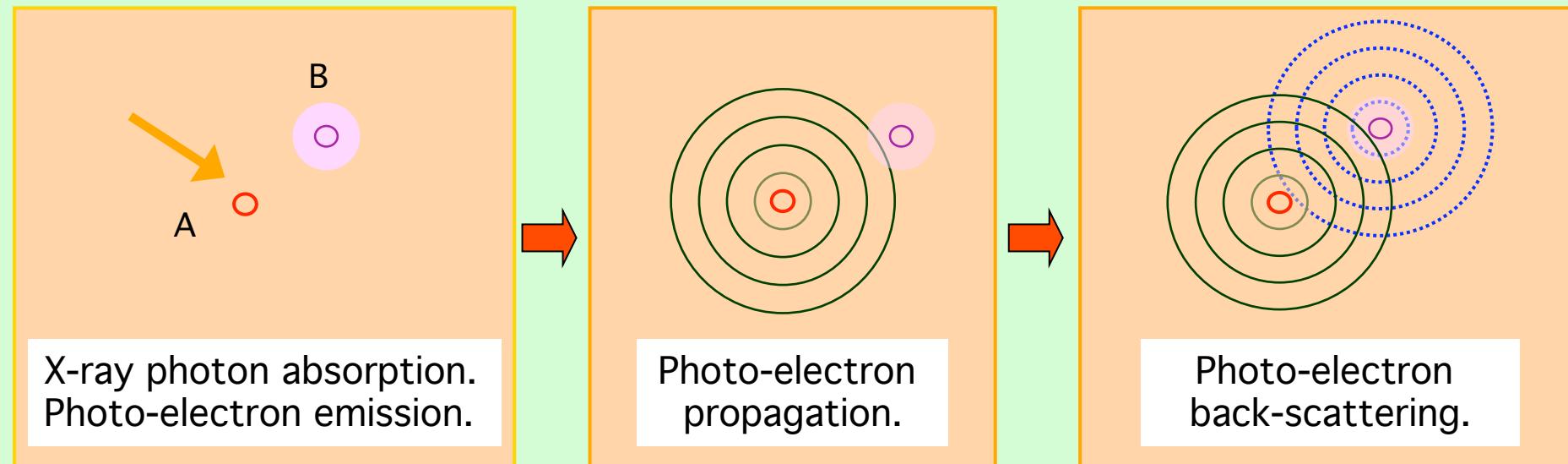
$|\psi_f \rangle$

- Molecular orbitals theories
- Band theories
- Multiple scattering approach
- Single scattering approximation

\longleftrightarrow Basic EXAFS mechanism

EXAFS: the mechanism

Paolo
Fornasini
Univ. Trento



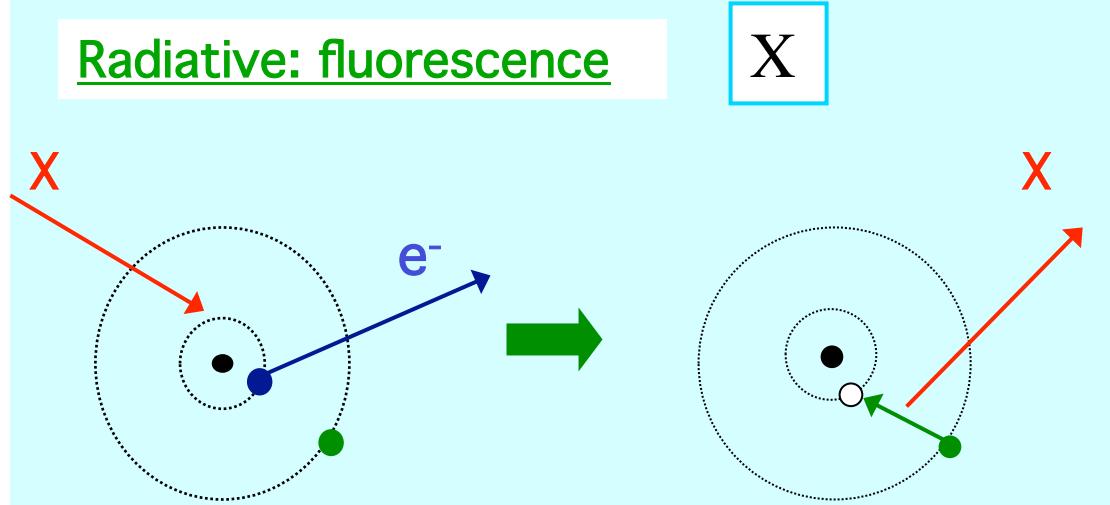
Modulation of
absorption coefficient

Superposition
at the core site.

De-excitation mechanisms

Paolo
Fornasini
Univ. Trento

Radiative: fluorescence

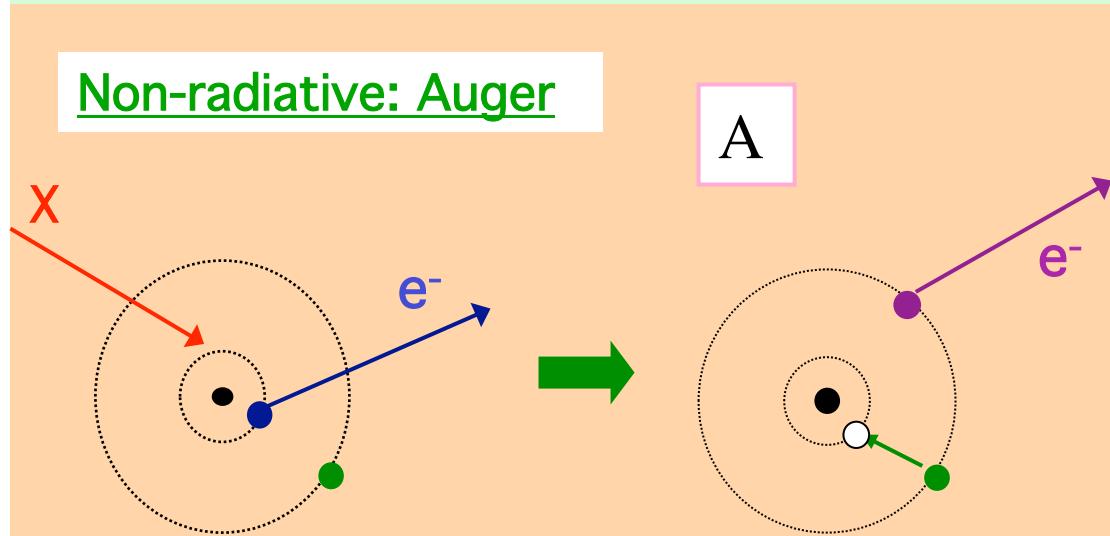


X

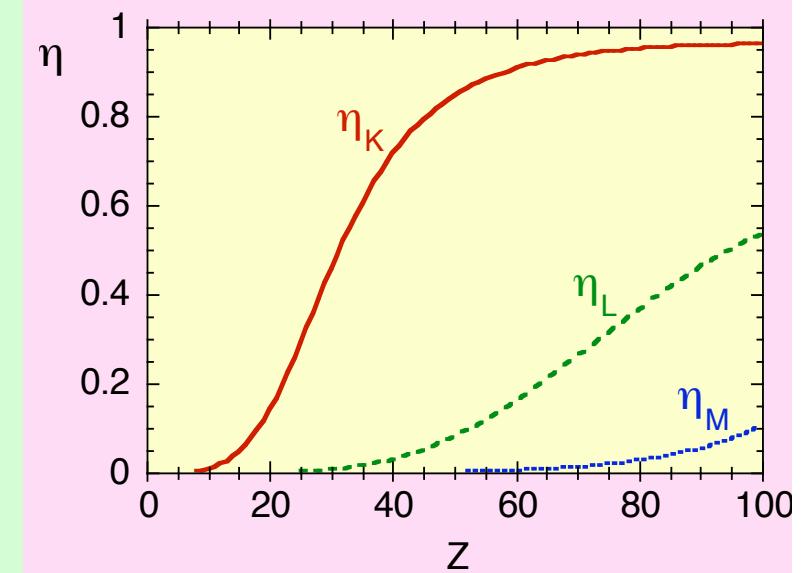
Fluorescence yield

$$\eta = \frac{X}{X + A}$$

Non-radiative: Auger



A



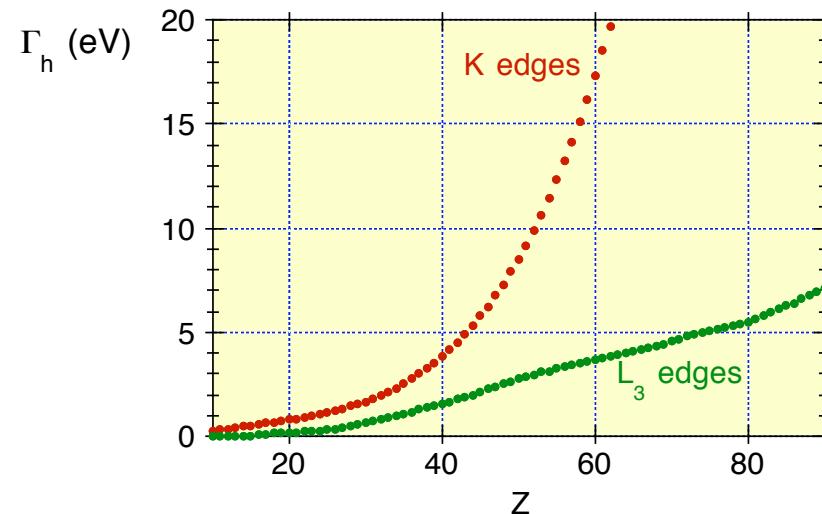
Core-hole lifetime

Paolo
Fornasini
Univ. Trento

Lifetime
of the excited state
 $\tau_h \sim 10^{-16} - 10^{-15}$ s

$$\tau_h \approx 1/\Gamma_h$$

Energy width
of the excited state
 Γ_h



τ_h

Contribution to
photo-electron life-time

Γ_h

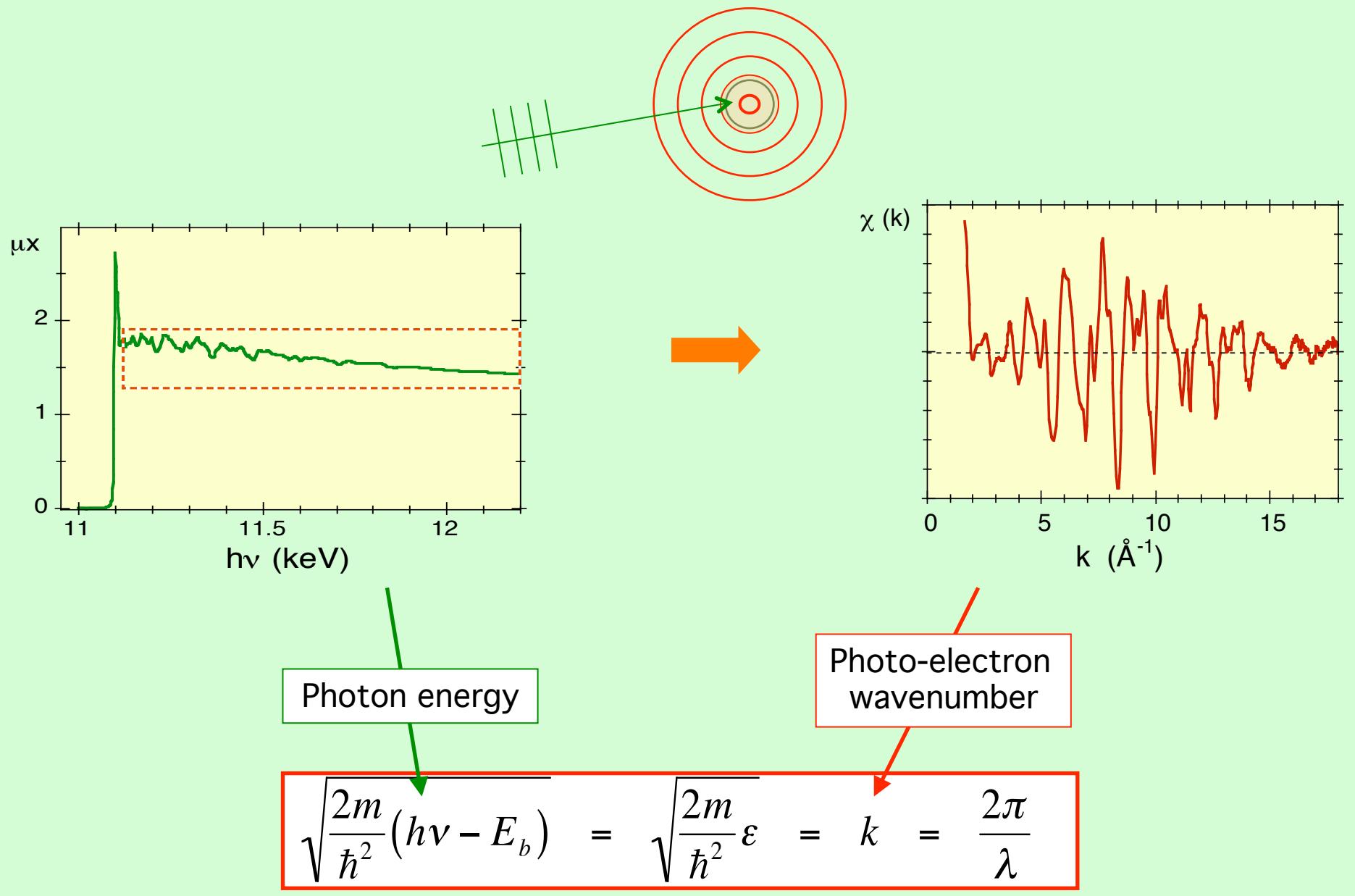
Energy resolution
of XAFS spectra

EXAFS: theoretical background



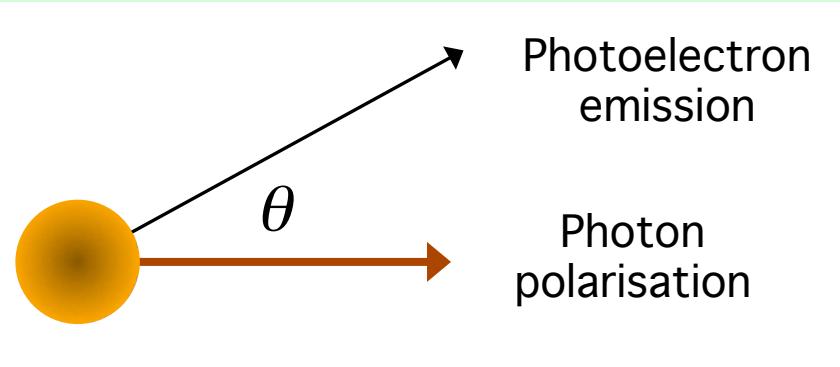
Photon → photo-electron

Paolo
Fornasini
Univ. Trento



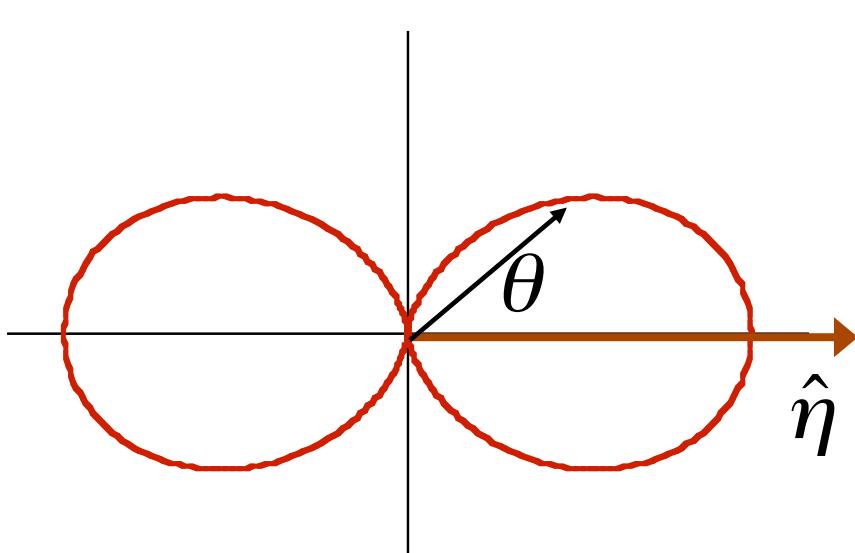
Angular emission of photo-electron

Paolo
Fornasini
Univ. Trento



asimmetry parameter

$$N(\theta) \propto 1 + \frac{\beta}{2} (3\cos^2 \theta - 1)$$



$$\beta = 2$$

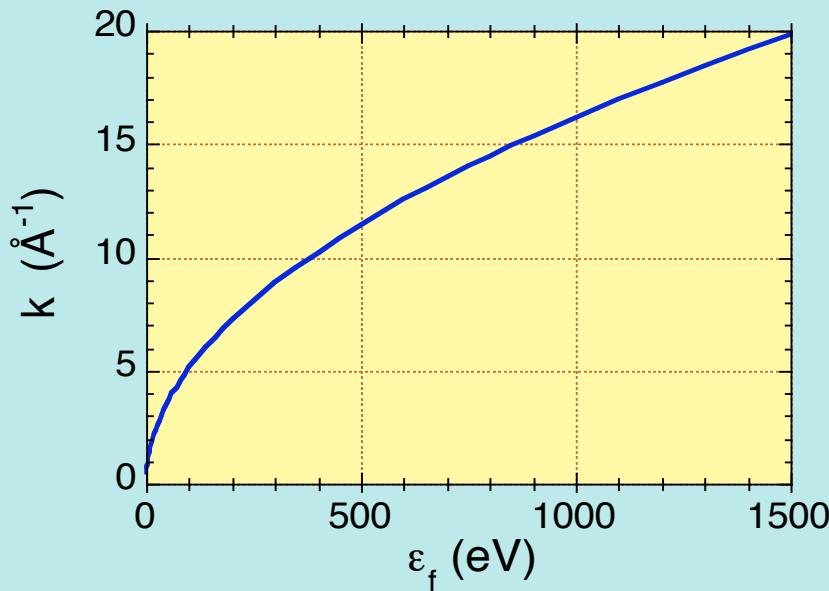
Emission from s orbitals

$$N(\theta) \propto 3\cos^2 \theta = 3|\hat{n} \cdot \hat{r}|^2$$

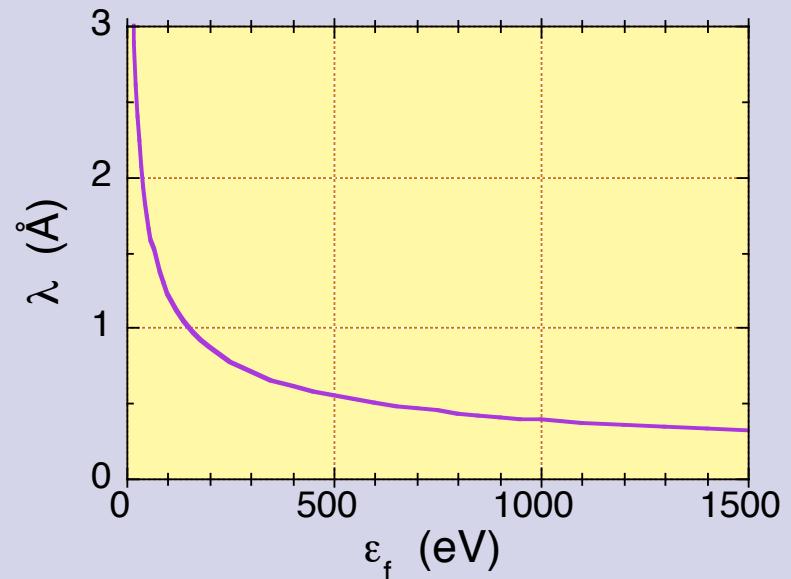
Photo-electron parameters

Paolo
Fornasini
Univ. Trento

Wave-number



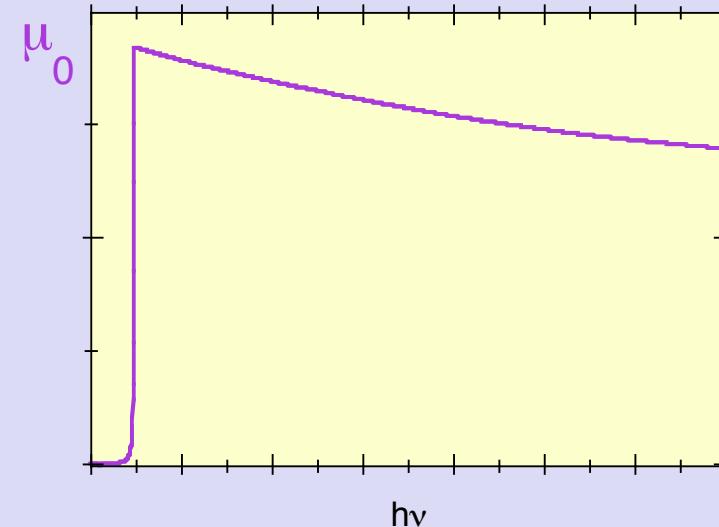
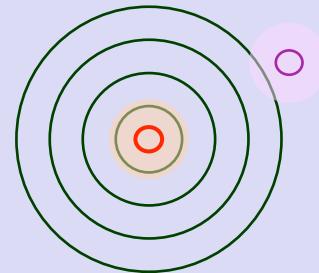
Wave-length



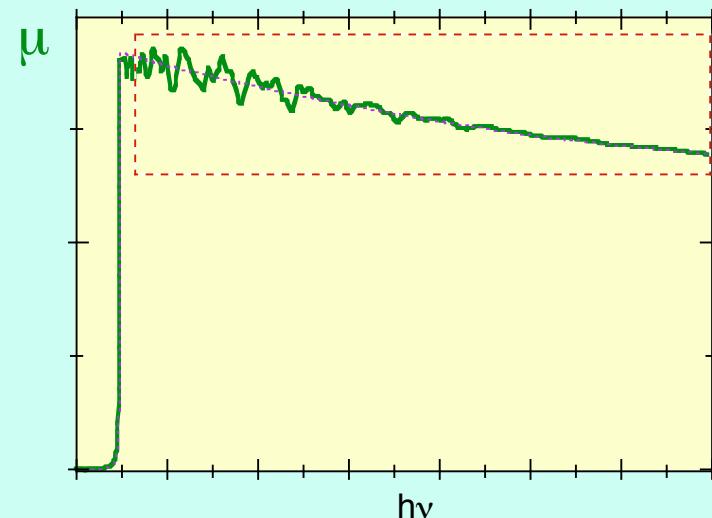
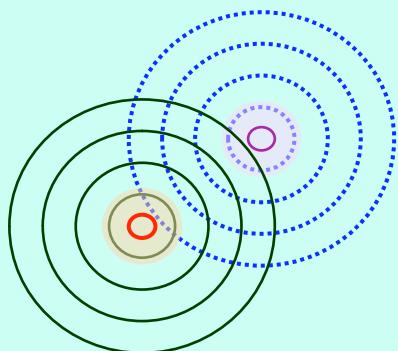
Energy

EXAFS normalisation

Paolo
Fornasini
Univ. Trento



$$\mu_0(\omega) \propto \left| \langle \psi_i | \hat{\eta} \cdot \vec{r} | \psi_f^0 \rangle \right|^2$$



$$\chi(k) = \frac{\mu - \mu_0}{\mu_0}$$

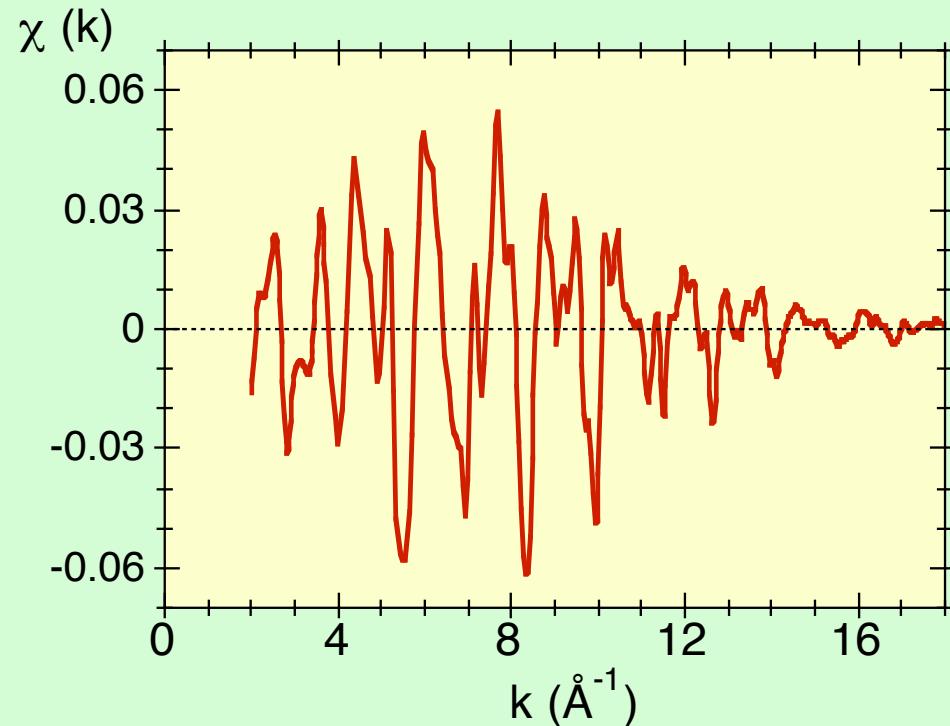


$$\mu(\omega) \propto \left| \langle \psi_i | \hat{\eta} \cdot \vec{r} | \psi_f \rangle \right|^2$$



The EXAFS function (a)

Paolo
Fornasini
Univ. Trento



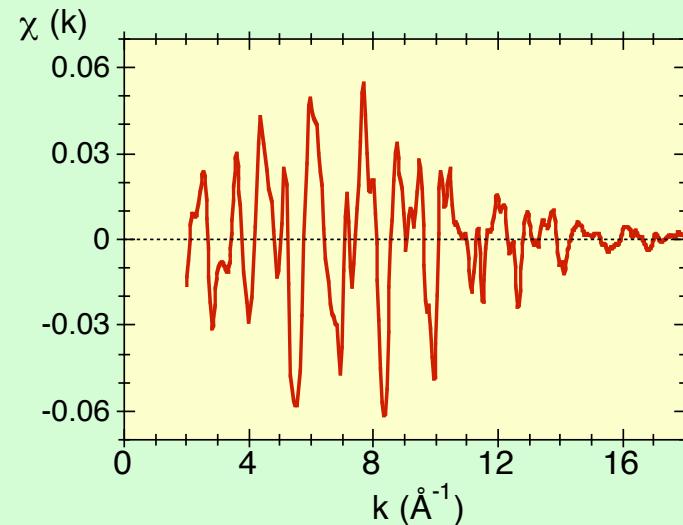
$$\chi(k) = \frac{\mu - \mu_0}{\mu_0} \quad \left\{ \begin{array}{l} \mu_0(\omega) \propto \left| \langle \psi_i | \hat{\eta} \cdot \vec{r} | \psi_f^0 \rangle \right|^2 \\ \mu(\omega) \propto \left| \langle \psi_i | \hat{\eta} \cdot \vec{r} | \psi_f \rangle \right|^2 \end{array} \right.$$

(weak interaction) $\rightarrow |\psi_f\rangle = |\psi_f^0 + \delta\psi_f\rangle$?

The EXAFS function (b)

Paolo
Fornasini
Univ. Trento

$$\chi(k) = \frac{\mu - \mu_0}{\mu_0}$$



Quantum states → wavefunctions

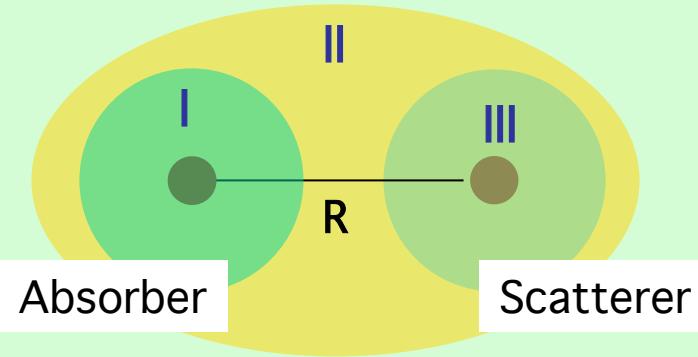
$$\chi(k) = \frac{2 \operatorname{Re} \int d\vec{r} \left(\psi_i^* \hat{\eta} \cdot \vec{r} \psi_f^0 \right) \left(\psi_i^* \hat{\eta} \cdot \vec{r} \delta\psi_f \right)}{\int d\vec{r} \left| \psi_i^* \hat{\eta} \cdot \vec{r} \psi_f^0 \right|^2}$$

?

Core orbital = **source** & **detector**

EXAFS: Two-atomic system (a)

Paolo
Fornasini
Univ. Trento



- Scattering theory in plane-wave approximation
- Muffin tin potential

At the absorber core site

Interaction

$$\delta\psi_f \propto \psi_f^0 i e^{i\delta} \frac{\exp(ikR)}{2kR} f(k, \pi) \frac{\exp(ikR)}{R} e^{i\delta}$$

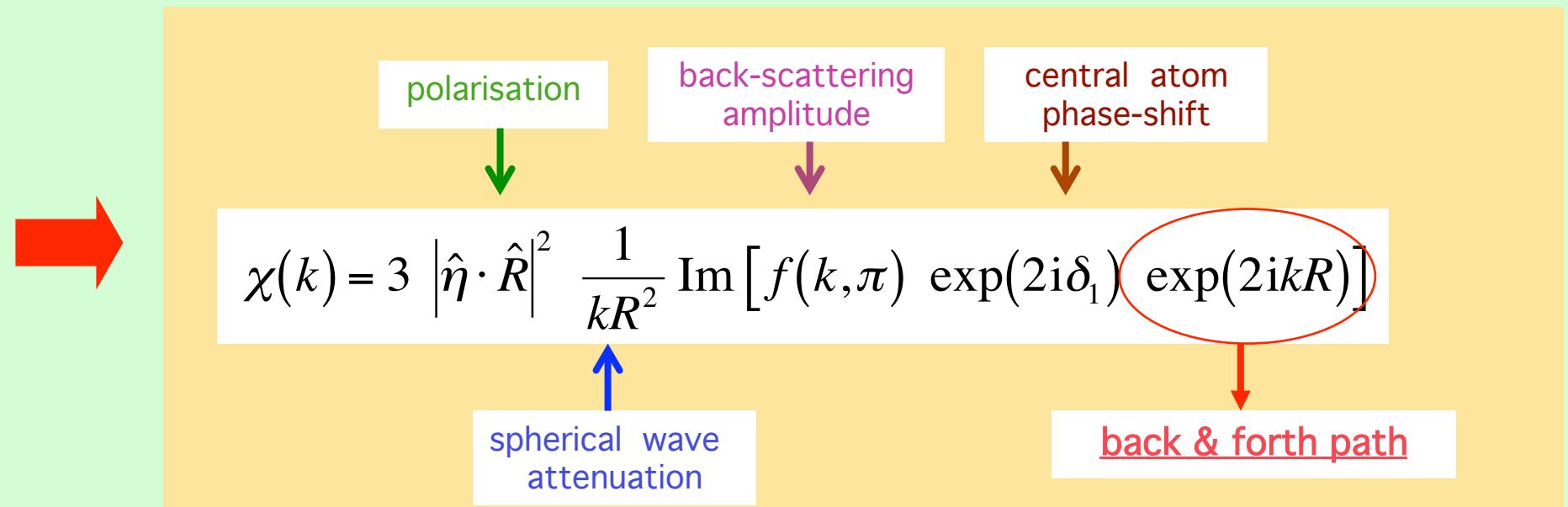
Propagators

EXAFS: Two-atomic system (b)

Paolo
Fornasini
Univ. Trento

$$\delta\psi_f \propto \psi_f^0 i e^{i\delta} \frac{\exp(ikR)}{2kR} f(k,\pi) \frac{\exp(ikR)}{R} e^{i\delta}$$

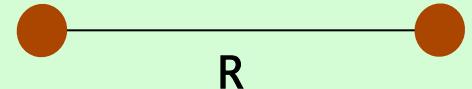
$$\chi(k) = \frac{2 \operatorname{Re} \int d\vec{r} (\psi_i \hat{\eta} \cdot \vec{r} \psi_f^0)^* (\psi_i^* \hat{\eta} \cdot \vec{r} \delta\psi_f)}{\int d\vec{r} |\psi_i^* \hat{\eta} \cdot \vec{r} \psi_f^0|^2}$$



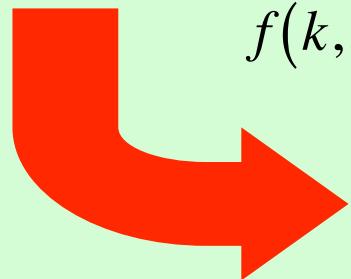
Basic interference effect

Paolo
Fornasini
Univ. Trento

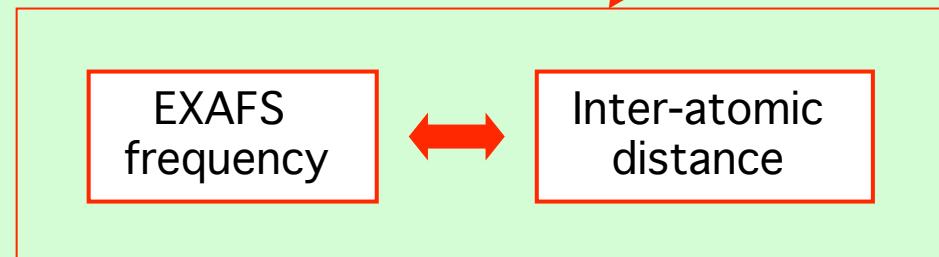
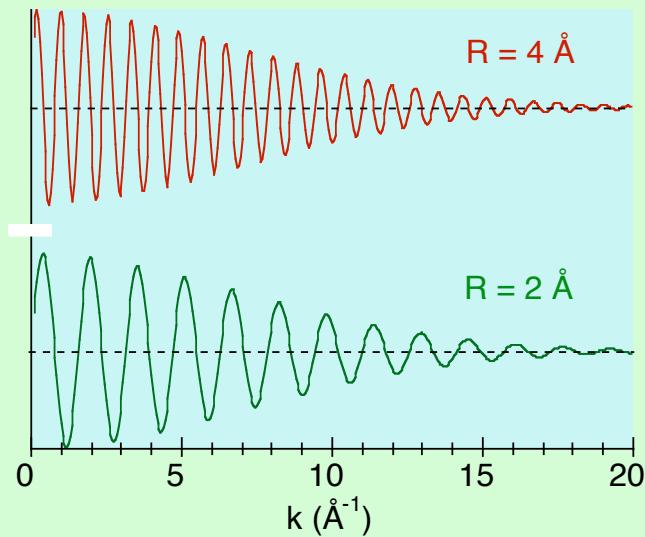
$$\chi(k) = 3 |\hat{\eta} \cdot \vec{R}| \frac{1}{kR^2} \text{Im} [f(k, \pi) \exp(2i\delta_1) \exp(2ikR)]$$



$$f(k, \pi) e^{2i\delta} = |f(k, \pi)| e^{i\phi}$$



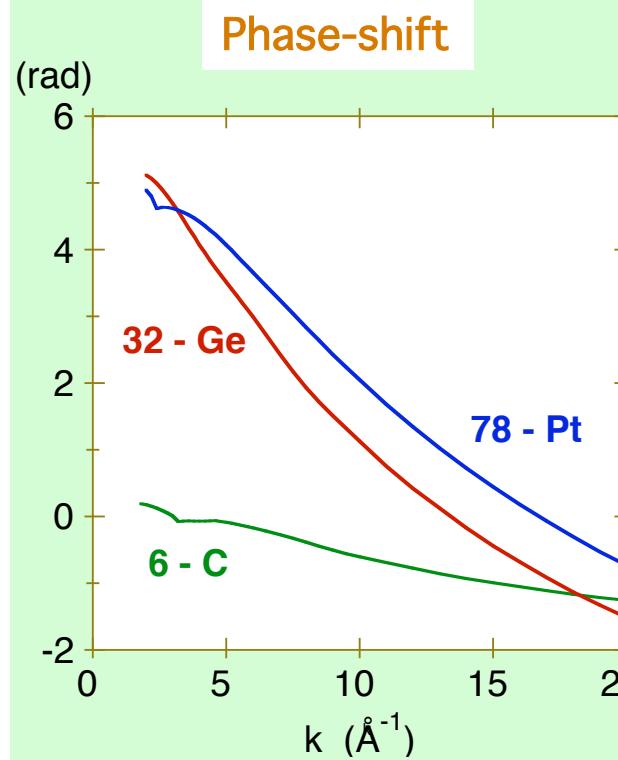
$$\chi(k) = 3 |\hat{\eta} \cdot \hat{R}|^2 \frac{1}{kR^2} |f(k, \pi)| \sin [2kR + \phi(k)]$$



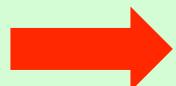
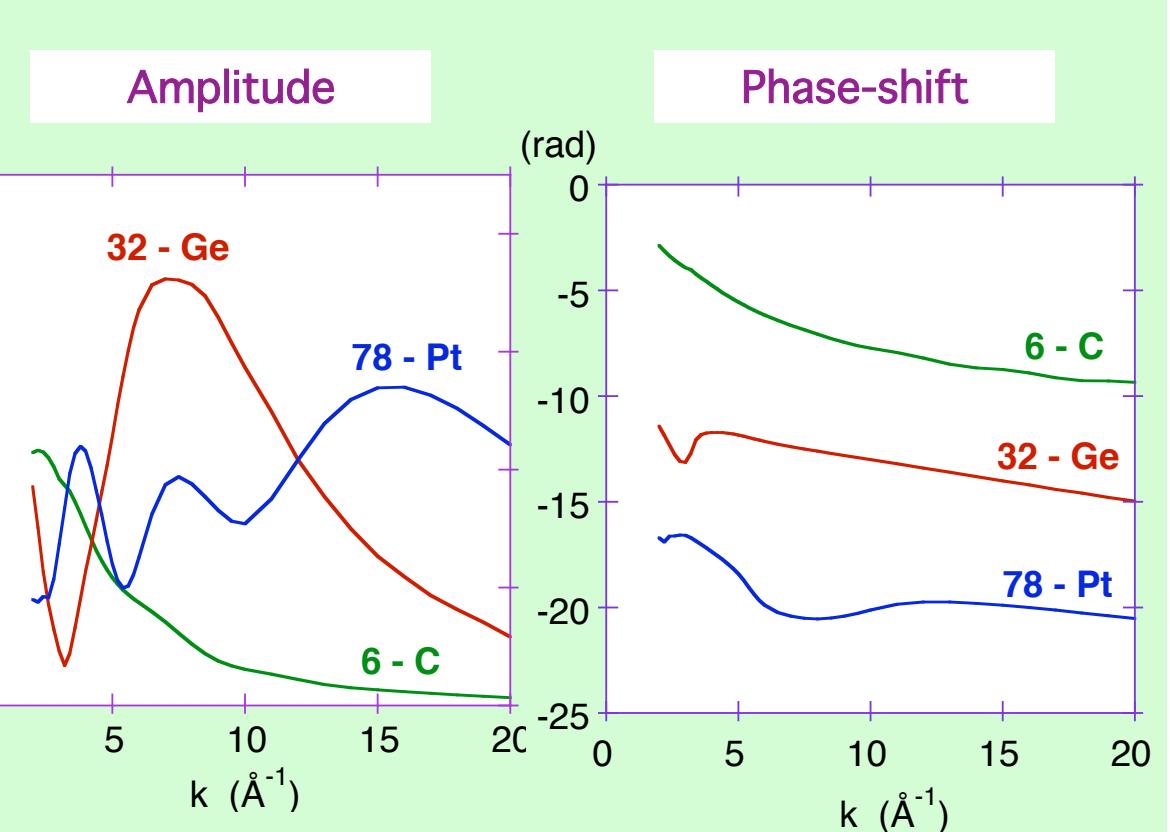
Amplitudes and phase-shifts

Paolo
Fornasini
Univ. Trento

Central-atom



Back-scattering



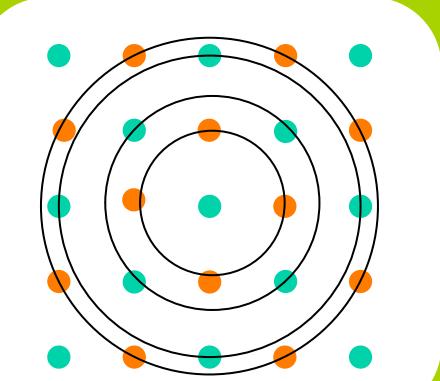
Z dependence

[Calculated by FEFF 6.01]

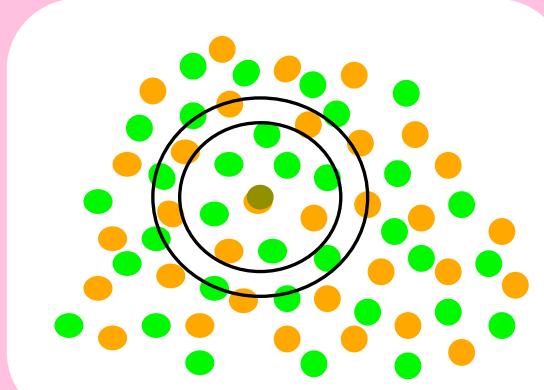
Many-atomic systems

Paolo
Fornasini
Univ. Trento

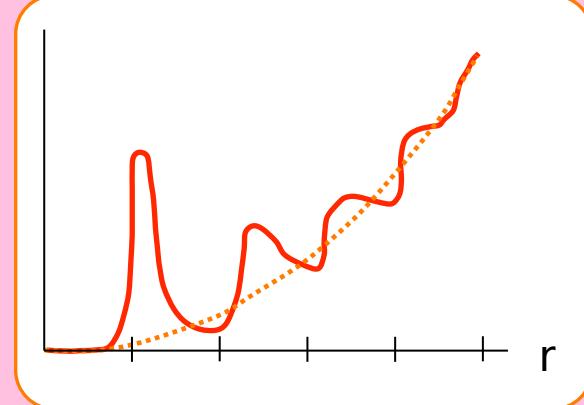
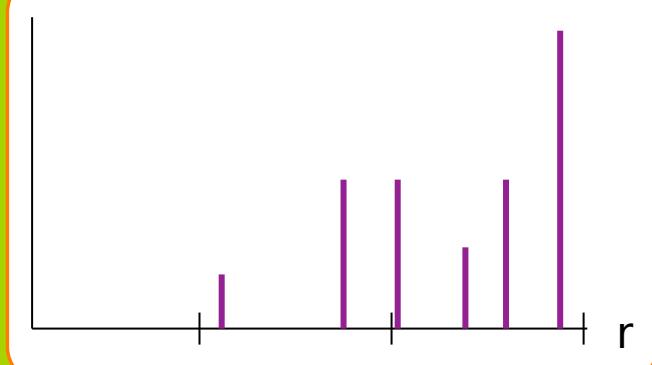
Crystals



Amorphous systems



Radial Distribution Functions



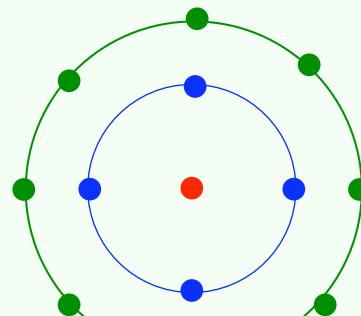
Coordination shells

Coordination shells

Paolo
Fornasini
Univ. Trento

$$\chi(k) = 3 |\hat{\eta} \cdot \vec{R}| \frac{1}{kR^2} \operatorname{Im} [f(k, \pi) e^{2i\delta_1} \exp(2ikR)]$$

Coordination shells



Isotropic samples: $\langle 3 |\hat{\eta} \cdot \vec{R}|^2 \rangle = 1$

$$\chi(k) = \frac{1}{k} \sum_{shell} N_s \operatorname{Im} [f_s(k, \pi) e^{2i\delta_1} \frac{1}{R_s^2} \exp(2ikR_s)]$$

Coordination number

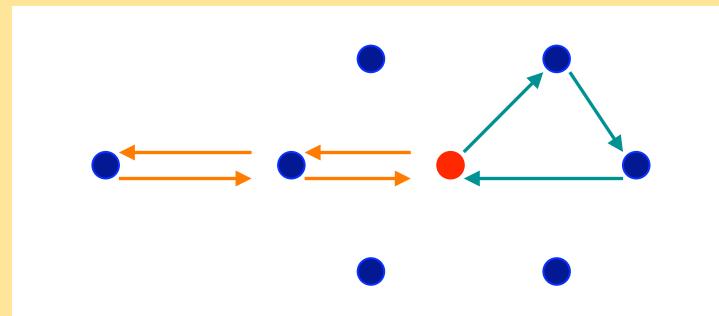
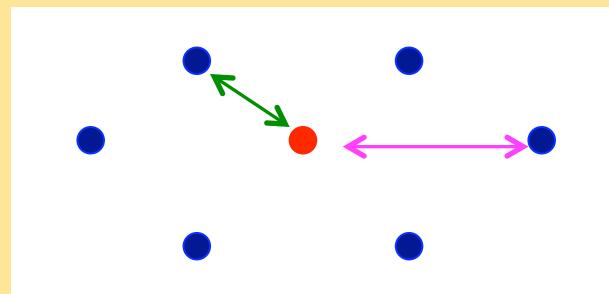
Single and multiple scattering

Paolo
Fornasini
Univ. Trento

Scattering paths

SS = Single scattering

MS = Multiple scattering



Multiple scattering series

Paolo
Fornasini
Univ. Trento

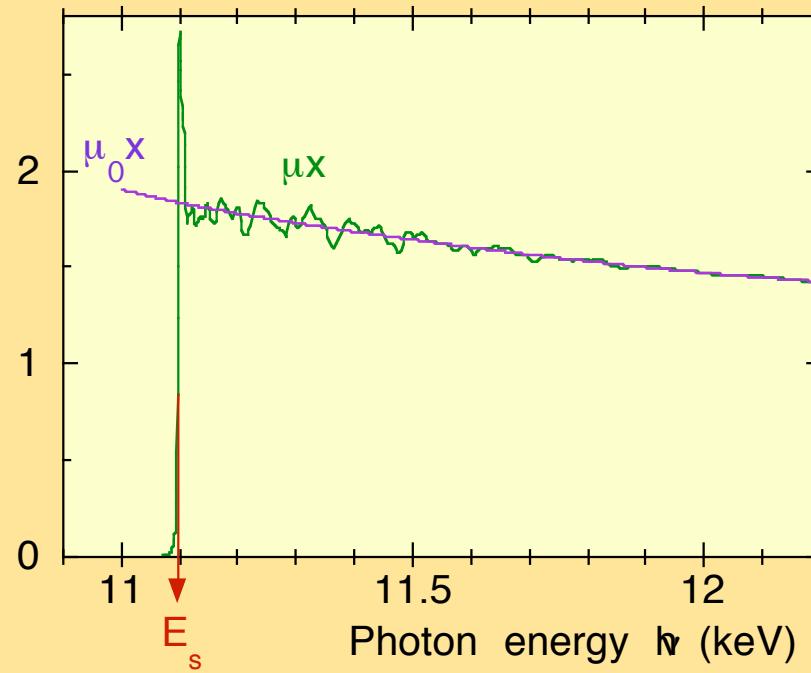
$$\chi(k) = \frac{\mu - \mu_0}{\mu_0}$$

Single Scattering

$$\mu(k) = \mu_0(k)[1 + \chi(k)]$$

Multiple Scattering

$$\mu(k) = \mu_0(k)[1 + \chi_2(k) + \chi_3(k) + \chi_4(k) + \dots]$$



Multiple scattering paths

Paolo
Fornasini
Univ. Trento

$$\chi(k) = \frac{\mu - \mu_0}{\mu_0}$$

Single Scattering

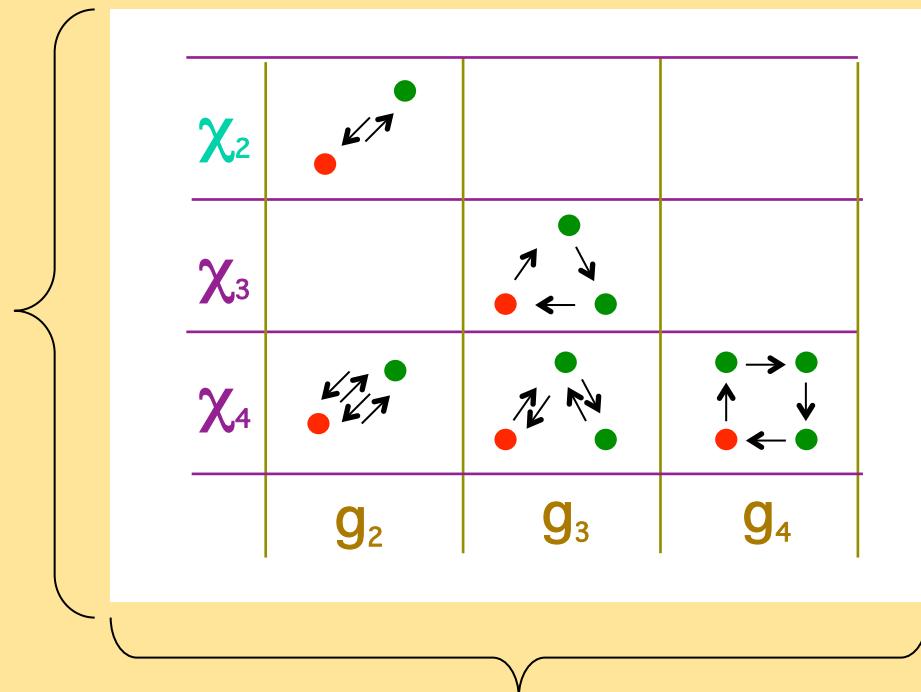
$$\mu(k) = \mu_0(k) [1 + \chi(k)]$$

Multiple Scattering

$$\mu(k) = \mu_0(k) [1 + \chi_2(k) + \chi_3(k) + \chi_4(k) + \dots]$$

$$\chi_n(k)$$

Contribution from all n-order paths

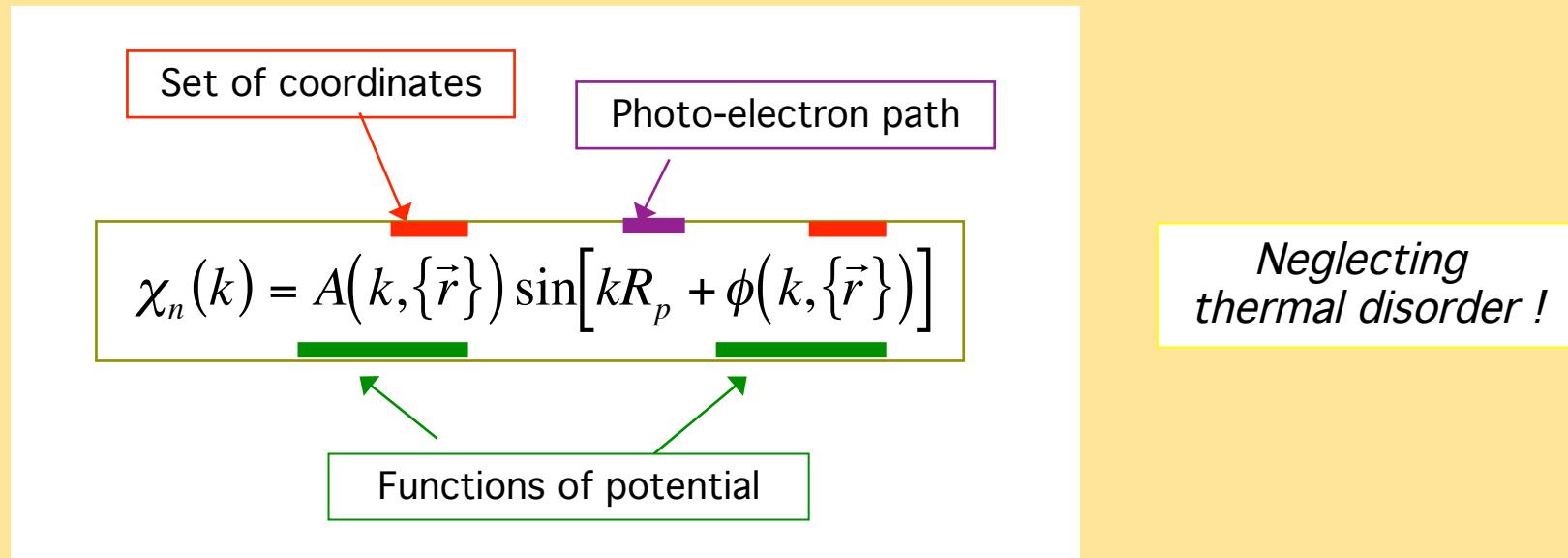


$g_n = n\text{-body correlation function}$

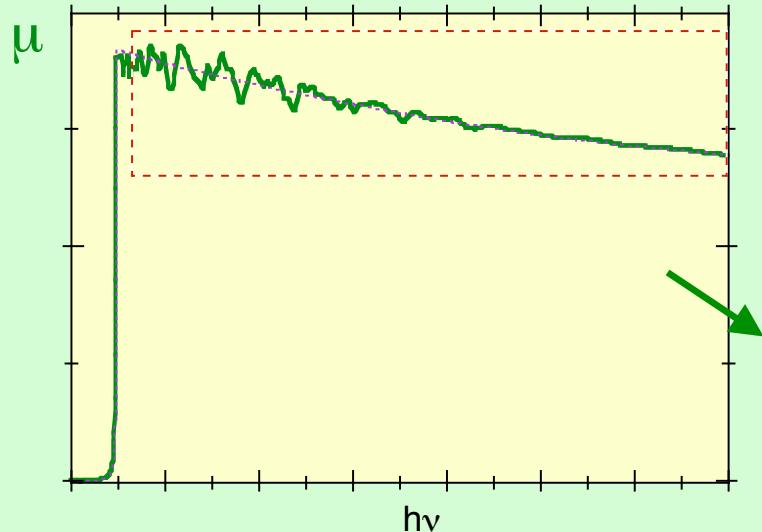
Multiple scattering contributions

Paolo
Fornasini
Univ. Trento

$$\mu(k) = \mu_0(k) [1 + \chi_2(k) + \chi_3(k) + \dots \chi_n(k) + \dots]$$



Intrinsic losses



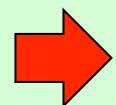
$$\mu_{\text{tot}}(\omega) = \mu_{\text{el}}(\omega) + \mu_{\text{inel}}(\omega)$$

From experiment

One-electron theory

$$\chi_{\text{exp}}(k) = \frac{\mu - \mu_0}{\mu_0} < \chi_{\text{th}}(k)$$

$$\chi_{\text{exp}}(k) = S_0^2 \chi_{\text{th}}(k)$$

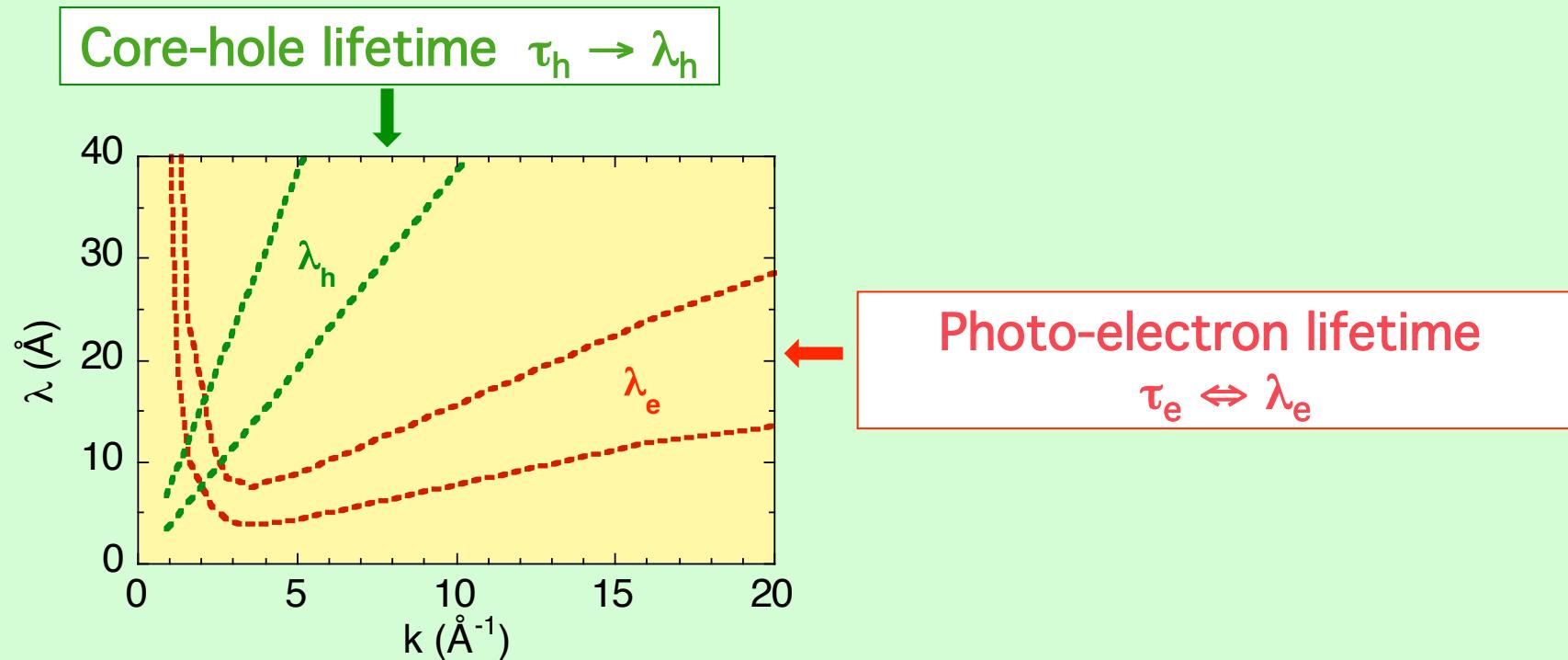


Attenuation factor

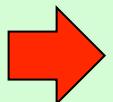
$$S_0^2 = \left| \langle \Psi_i^{N-1} | \Psi_f^{N-1} \rangle \right|^2 \approx 0.6 \div 0.9$$

Photo-electron mean-free-path

Paolo
Fornasini
Univ. Trento



$$\frac{1}{\lambda} = \frac{1}{\lambda_h} + \frac{1}{\lambda_e}$$



Attenuation factor

$$\exp\left[-\frac{2R}{\lambda(k)}\right]$$

EXAFS and inelastic effects

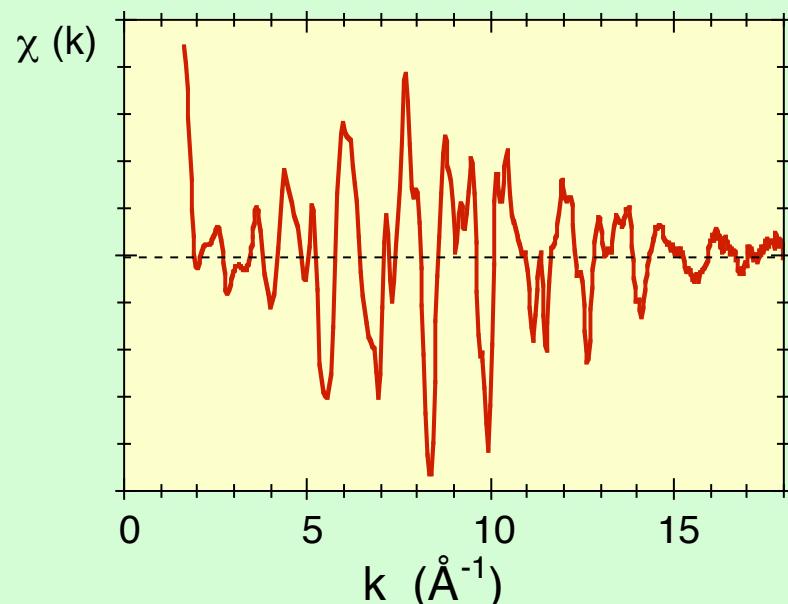
Paolo
Fornasini
Univ. Trento

Intrinsic
inelastic effects

Photo-electron
mean-free-path

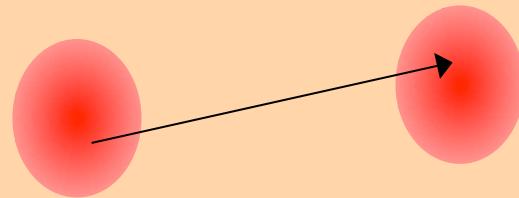
$$\chi(k) = \frac{S_0^2}{k} \sum_{shell} N_s \operatorname{Im} \left[f_s(k, \pi) e^{2i\delta_1} \frac{e^{-2R_s/\lambda(k)}}{R_s^2} \exp(2ikR_s) \right]$$

Atoms frozen in equilibrium positions !

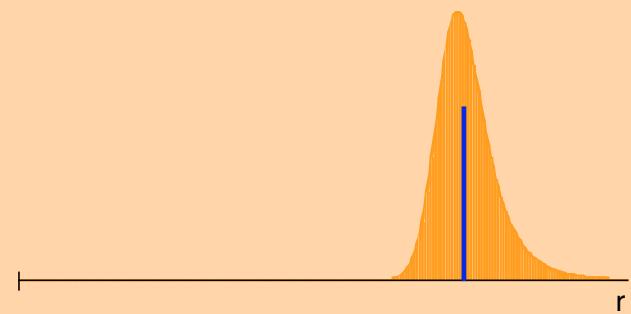


Disorder effects ?

Thermal disorder

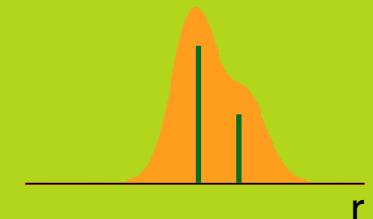
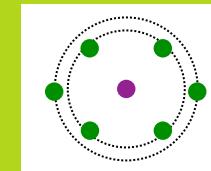


$$\tau_{\text{vib}} \approx 10^{-12} \text{ s}$$
$$\tau_{\text{exafs}} \approx 10^{-15} \text{ s}$$

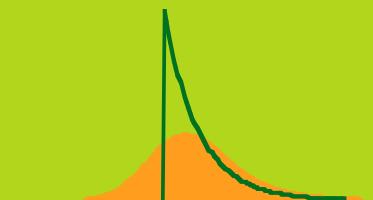
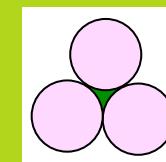


Structural disorder - examples

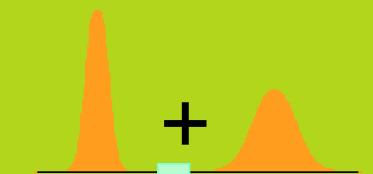
- Distorted coordination shells



- Free-volume models



- Sites disorder



Distance

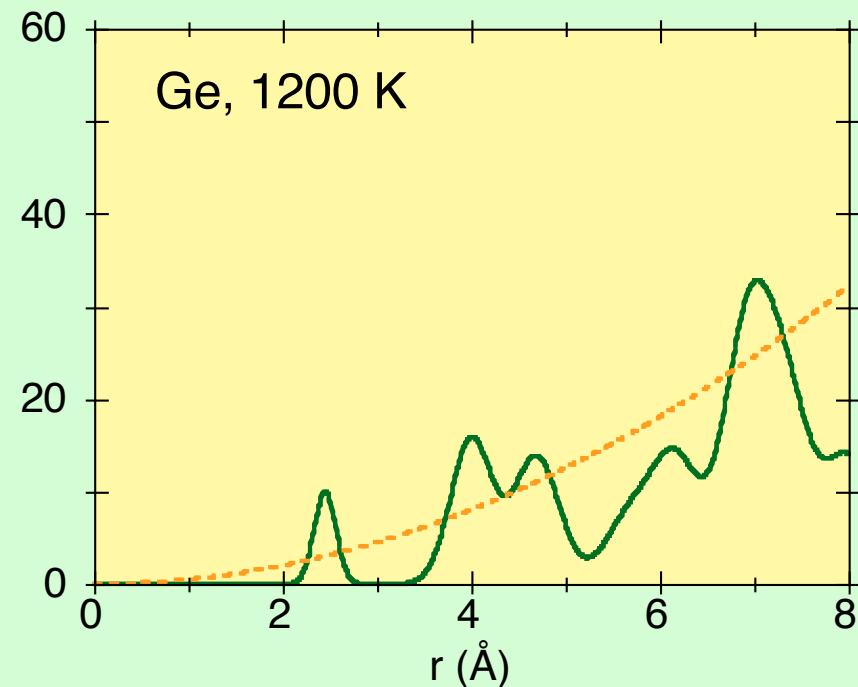
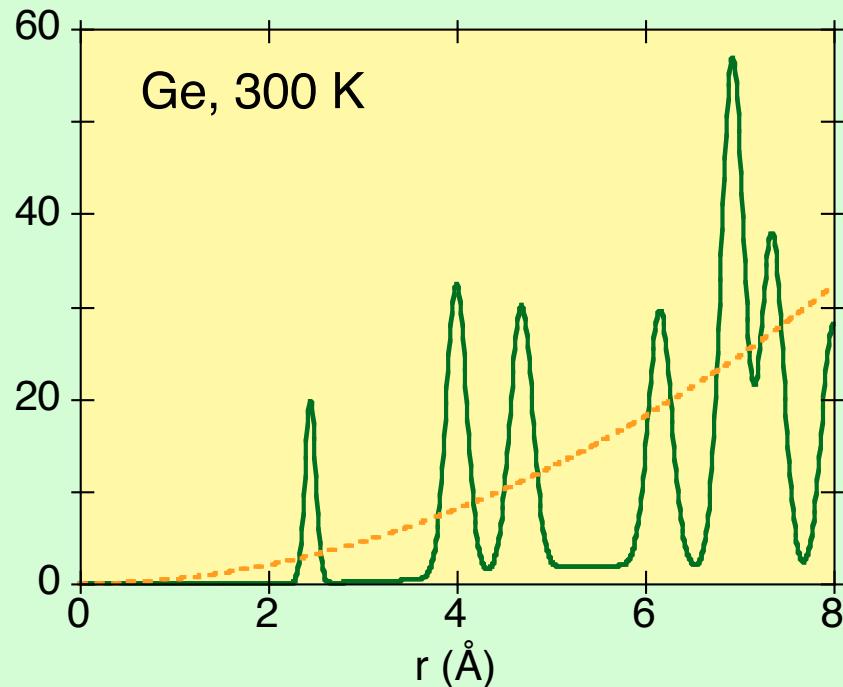


Distribution of distances

Thermal disorder in crystals

Paolo
Fornasini
Univ. Trento

Simulated distributions for c-Ge



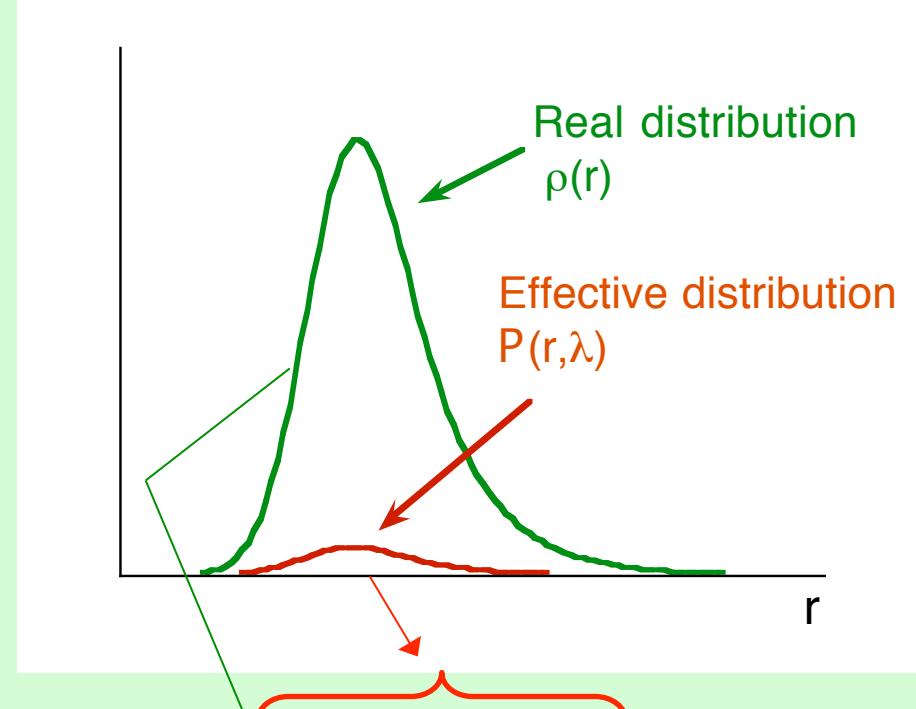
Separability of coordination shells ?

Distributions of distances

Paolo
Fornasini
Univ. Trento

Thermal + structural disorder

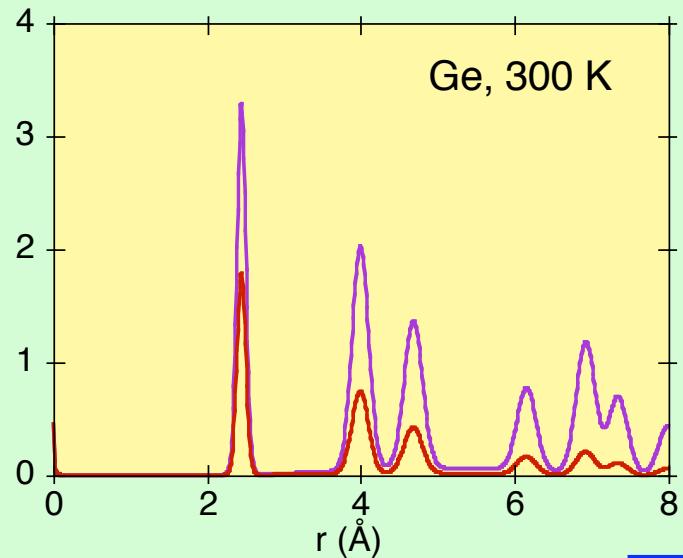
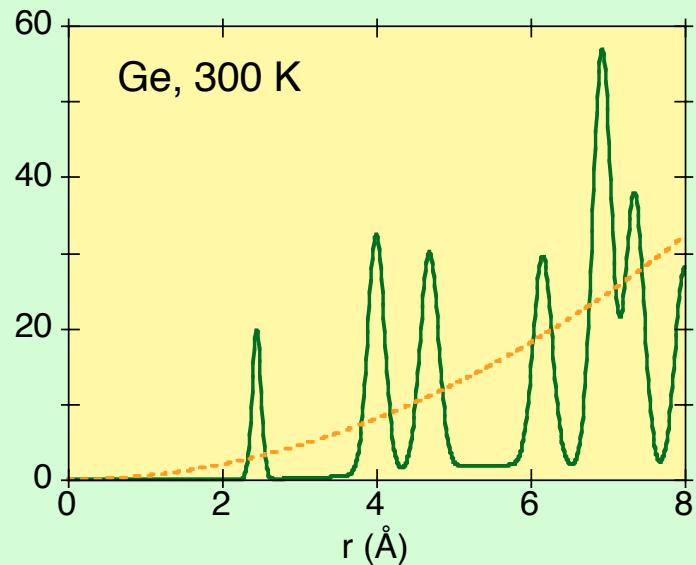
⇒ distribution of distances



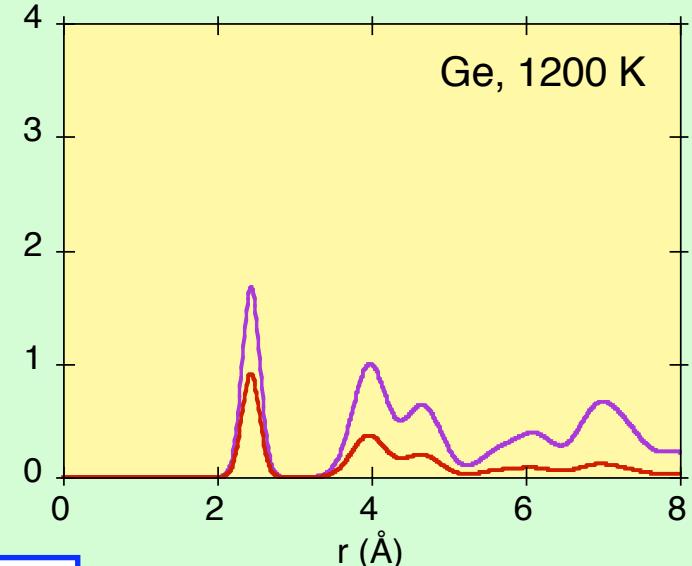
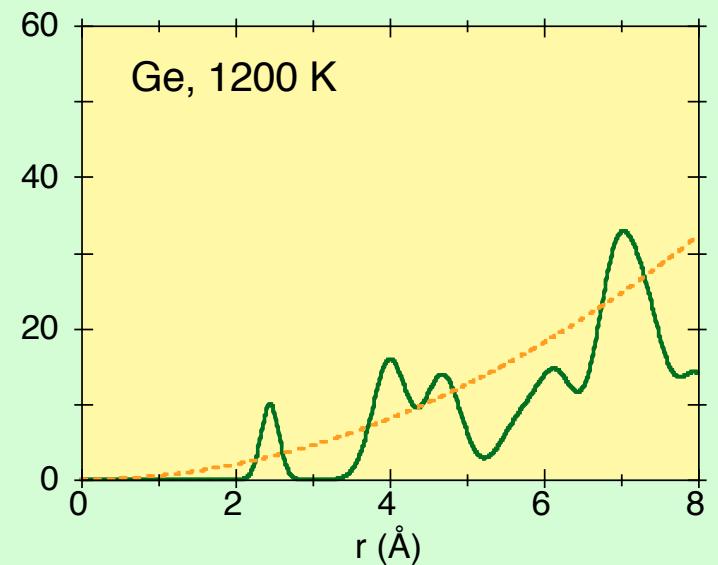
$$\chi(k) = \frac{S_0^2}{k} \sum_{shell} N_s \operatorname{Im} \left[f_s(k, \pi) e^{2i\delta_l} \int_0^\infty \rho_s(r) \frac{e^{-2r_s/\lambda(k)}}{r_s^2} e^{2ikr_s} dr \right]$$

Real and effective distributions

Paolo
Fornasini
Univ. Trento



$$P(r, \lambda) = \frac{\rho(r)}{r^2} e^{-2r/\lambda}$$
$$(\lambda = 8\text{ \AA})$$

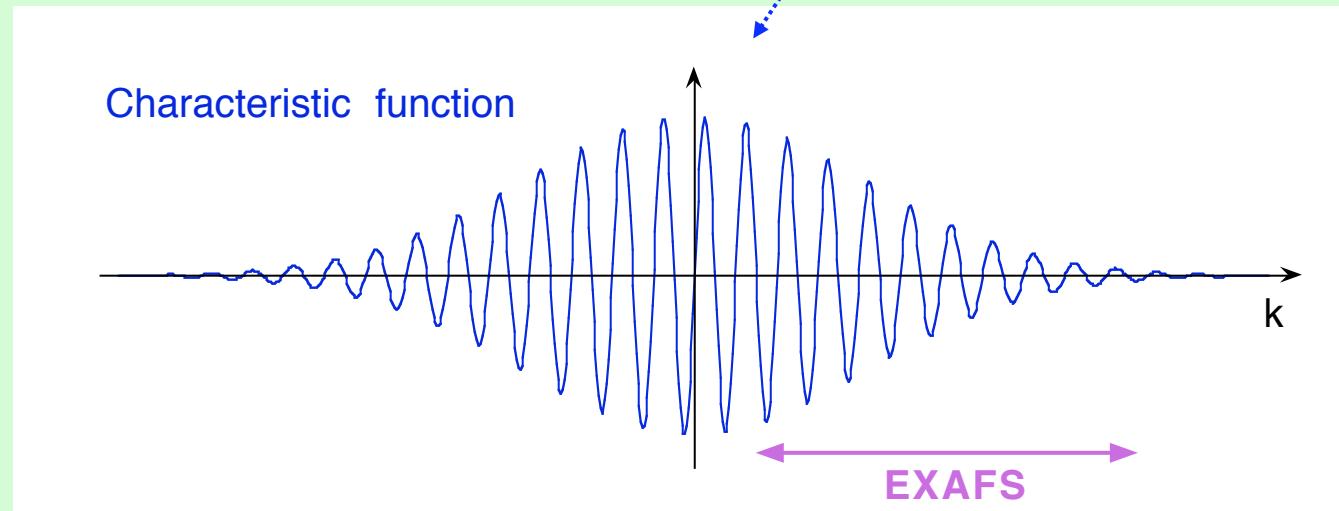


EXAFS = short-range probe

The inversion problem

Paolo
Fornasini
Univ. Trento

$$\chi(k) = \frac{S_0^2}{k} \sum_{shell} N_s \operatorname{Im} \left[f_s(k, \pi) e^{2i\delta_l} \int_0^\infty \rho_s(r) \frac{e^{-2r_s/\lambda(k)}}{r_s^2} e^{2ikr_s} dr \right]$$

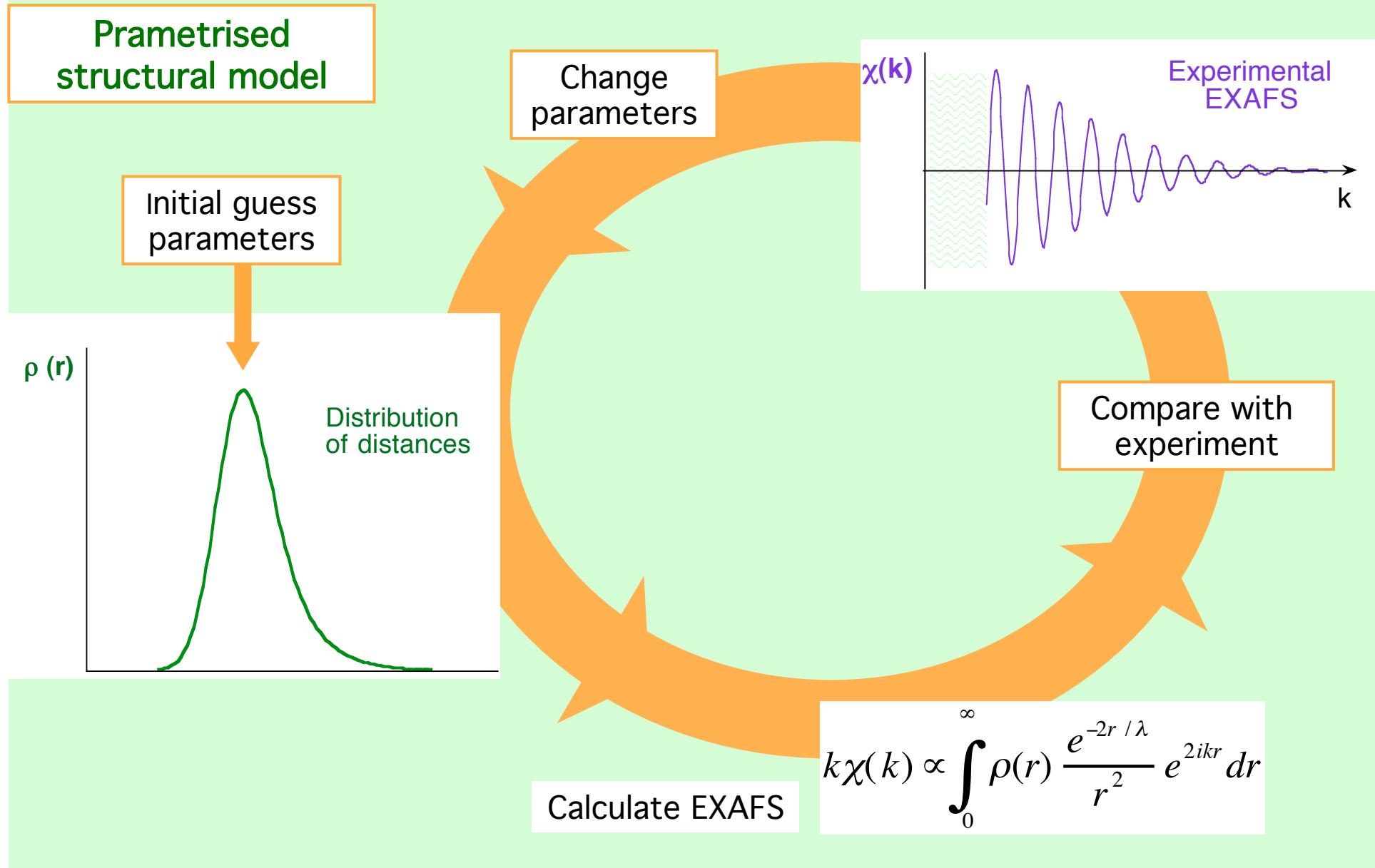


$$\chi(k) \Rightarrow \rho(r)$$



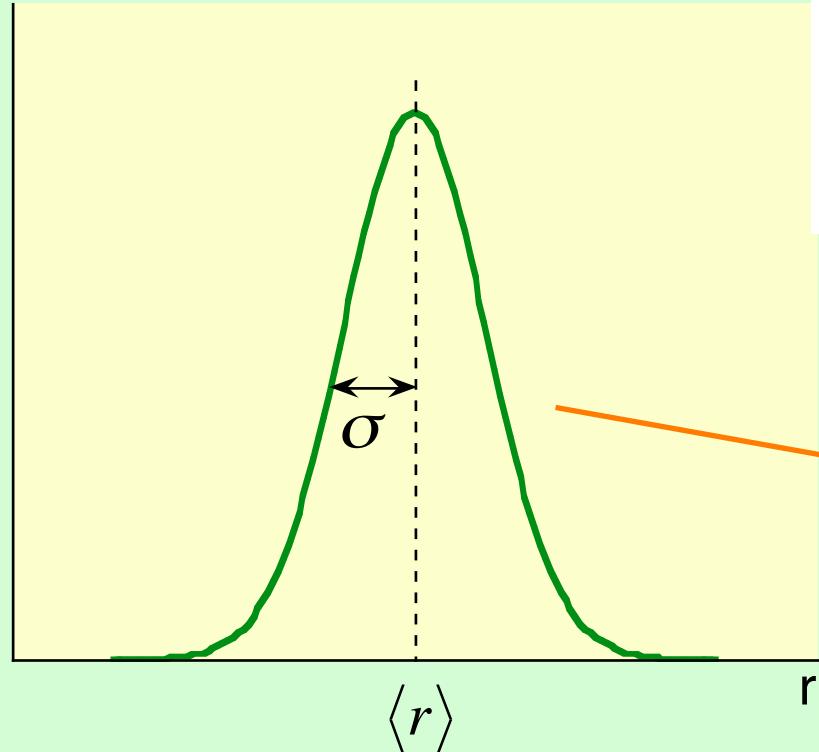
Structural models and fitting procedure

Paolo
Fornasini
Univ. Trento



The simplest model: gaussian approximation

Paolo
Fornasini
Univ. Trento



$$P(r, \lambda) = \frac{1}{\sigma \sqrt{2\pi}} \exp \left[\frac{(r - \langle r \rangle)^2}{2\sigma^2} \right]$$

$$C_2 = \sigma^2 = \langle (r - \langle r \rangle)^2 \rangle$$

Distribution width
(EXAFS Debye-Waller factor)

$$C_1 = \langle r \rangle_{\text{eff}} = \langle r \rangle_{\text{real}} - \frac{2\sigma^2}{\langle r \rangle} \left(1 - \frac{\langle r \rangle}{\lambda} \right)$$

Average distance

Gaussian parametrization of EXAFS (one shell)

Paolo
Fornasini
Univ. Trento

Approx.: Single Scattering
Plane waves

- Theory (interaction potentials + scattering theory)
- Experiment (reference samples)

Inelastic
terms

Back-scattering
amplitude

Total
phase-shift

$$k \chi(k) = \frac{S_0^2 e^{-2C_1/\lambda}}{C_1^2} |f(k, \pi)| N \exp[-2k^2\sigma^2] \sin[2kC_1 + \phi(k)]$$

Coordination number

N

Debye-Waller

σ^2

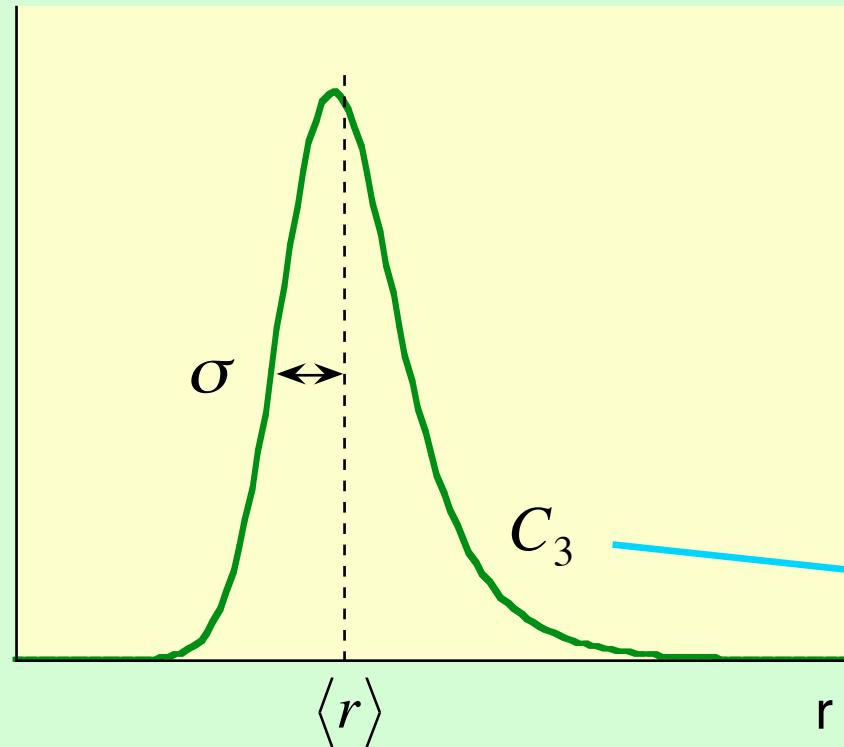
Average distance

C_1

Including weak asymmetry

Paolo
Fornasini
Univ. Trento

Asymmetric distribution



$$C_2 = \sigma^2 = \langle (r - \langle r \rangle)^2 \rangle$$

$$C_3 = \langle (r - \langle r \rangle)^3 \rangle$$

Third cumulant
Asymmetry parameter

$$C_1 = \langle r \rangle_{\text{eff}} = \langle r \rangle_{\text{real}} - \frac{2\sigma^2}{\langle r \rangle} \left(1 - \frac{\langle r \rangle}{\lambda} \right)$$

Better for first shell

EXAFS including asymmetry (one shell)

Paolo
Fornasini
Univ. Trento

Approx.: Single Scattering
Plane waves

- Theory (interaction potentials + scattering theory)
- Experiment (reference samples)

Inelastic
terms

Back-scattering
amplitude

Total
phase-shift

$$k \chi(k) = \frac{S_0^2 e^{-2C_1/\lambda}}{C_1^2} |f(k, \pi)| N \exp[-2k^2\sigma^2] \sin\left[2kC_1 - \frac{4}{3}k^3C_3 + \phi(k)\right]$$

Coordination number

N

Debye-Waller

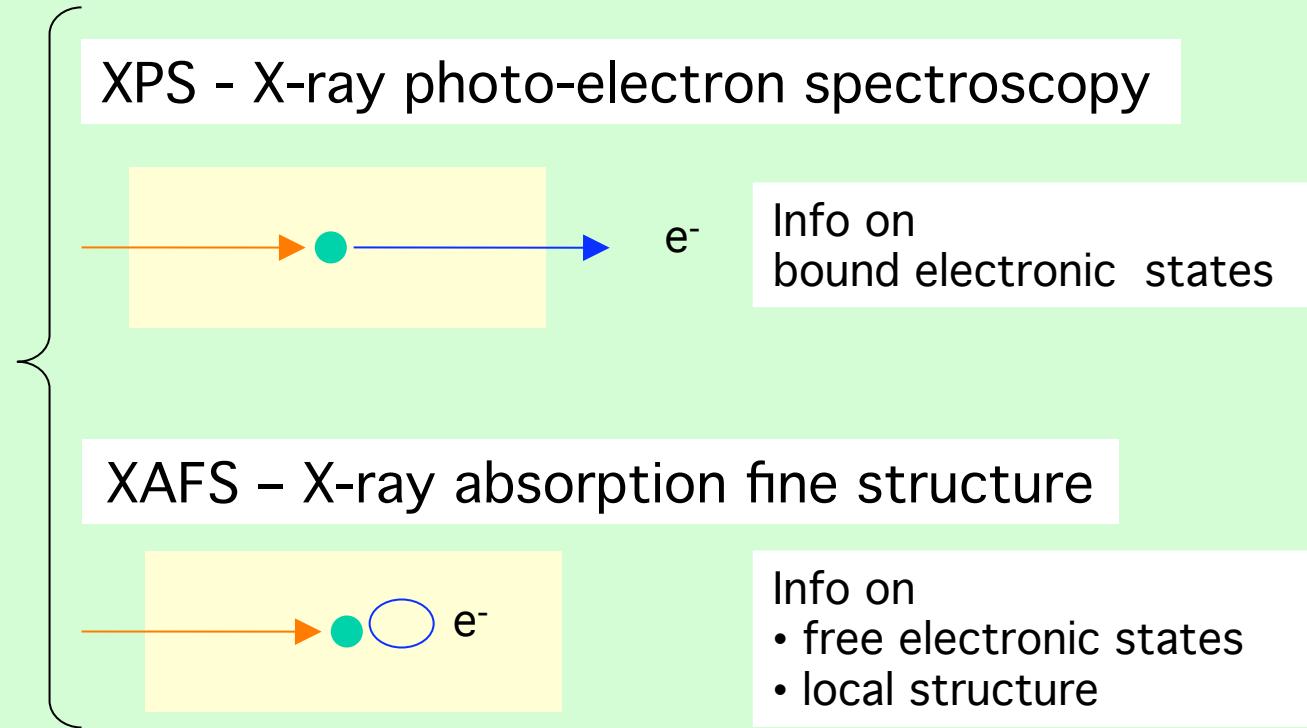
σ^2

Average distance and asymmetry

C_1

C_3

Photo-ionization

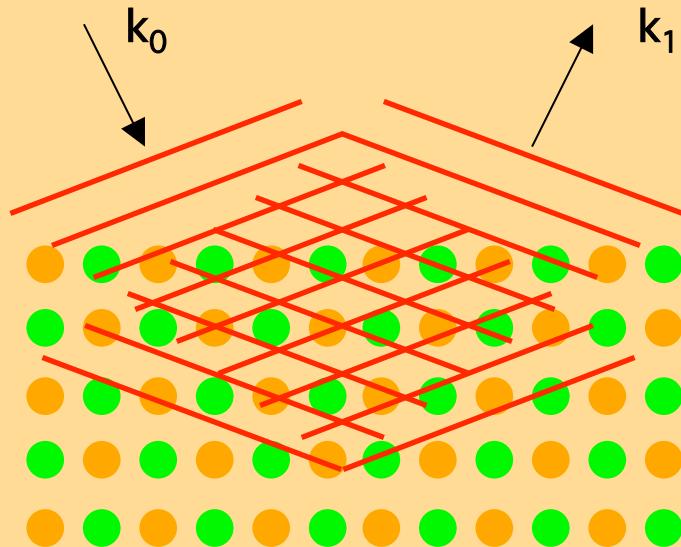


XAFS = structural probe – Comparison with diffraction ?

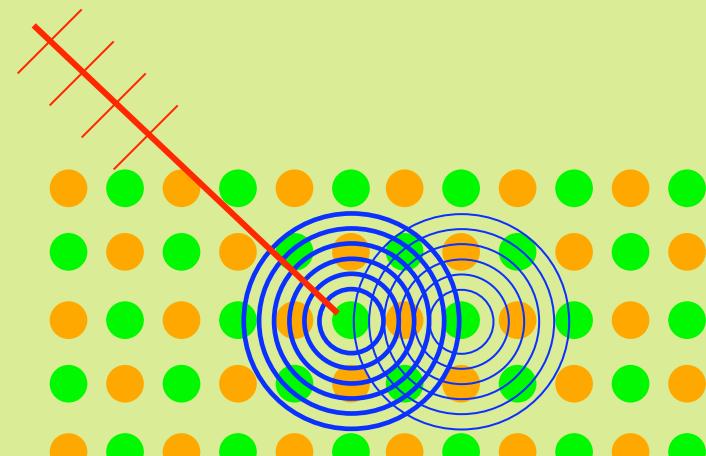
Bragg diffraction .vs. EXAFS

Paolo
Fornasini
Univ. Trento

Bragg diffraction



EXAFS



X-ray or neutron plane waves

Structural probe

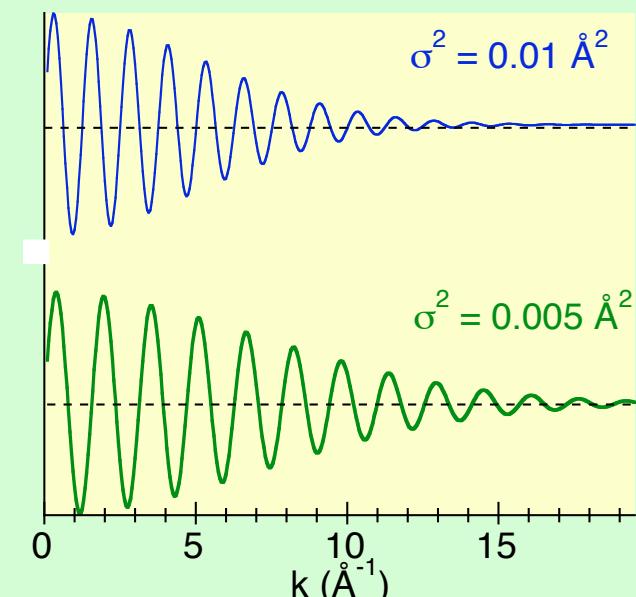
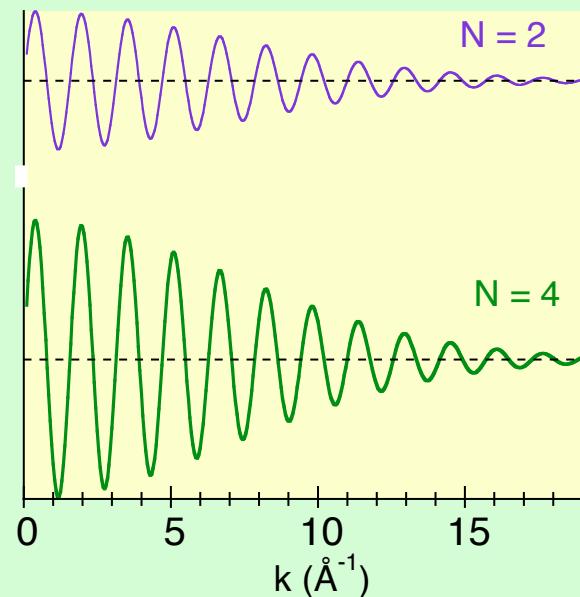
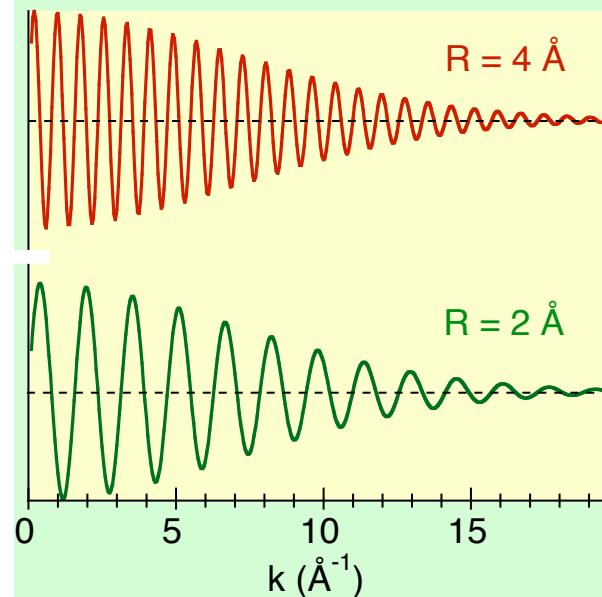
photo-electron spherical wave

- long-range sensitivity
- atomic positions
- atomic thermal factors

- short-range sensitivity
- inter-atomic distances
- relative displacements

EXAFS: a structural probe

Paolo
Fornasini
Univ. Trento



Frequency



Inter-atomic
distance

Amplitude



Coordination
number

Damping



Disorder

- Selectivity of atomic species
- Insensitivity to long-range order

EXAFS applications

Paolo
Fornasini
Univ. Trento

Non-crystalline
materials

mono-atomic

many-atomic

Active sites
embedded
in a matrix

- Inorganic heterogeneous catalysts
- Metallo-proteins
- Impurities in semiconductors
- Luminescent atoms

Local properties
different from
average properties

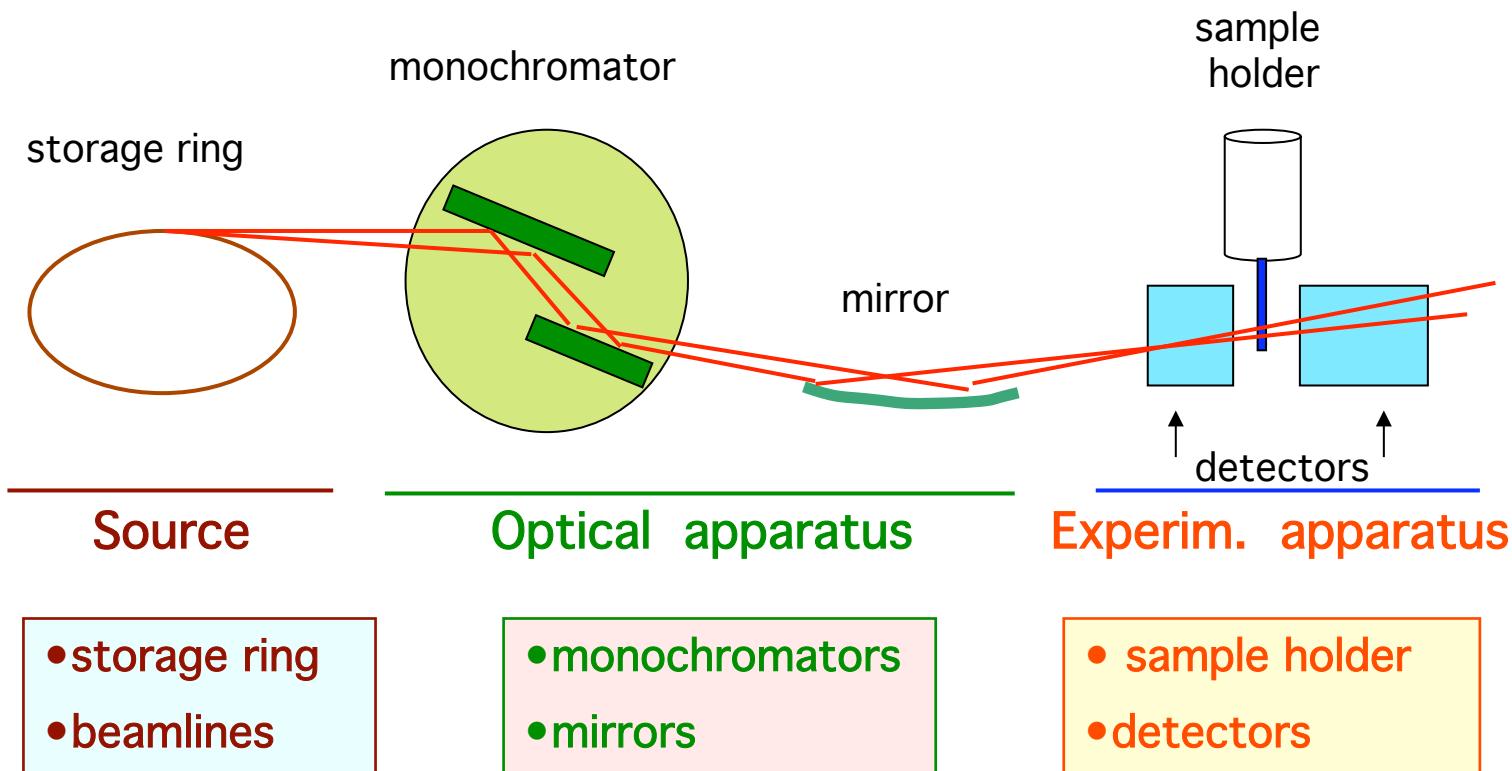
- Crystalline ternary random alloys
- Lattice dynamics studies
- Negative thermal expansion



EXAFS experiments

XAFS: experimental layout

Paolo
Fornasini
Univ. Trento



Alternative layouts

- dispersive EXAFS
- refl-EXAFS
-

Sample conditioning:

cryostat
oven
reactor
manipulators

Detection:

transmission
fluorescence
electron yield
.....

XAFS: experimental

- ♠ Monochromators and mirrors

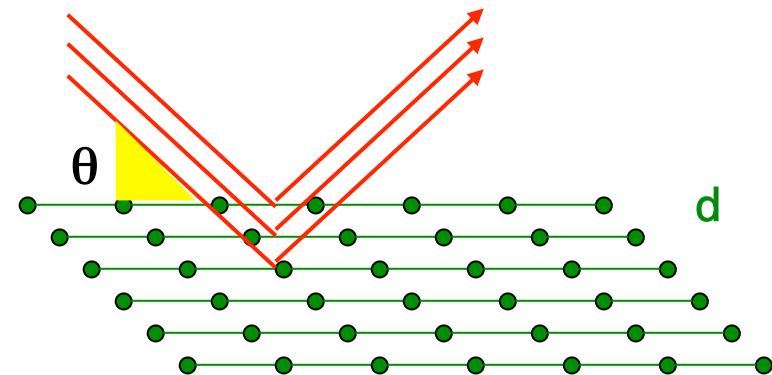
X-ray crystal monochromators

Paolo
Fornasini
Univ. Trento

Bragg law

$$2d_{hkl} \sin \theta = n\lambda$$

Incidence angle \Leftrightarrow wavelength



2d

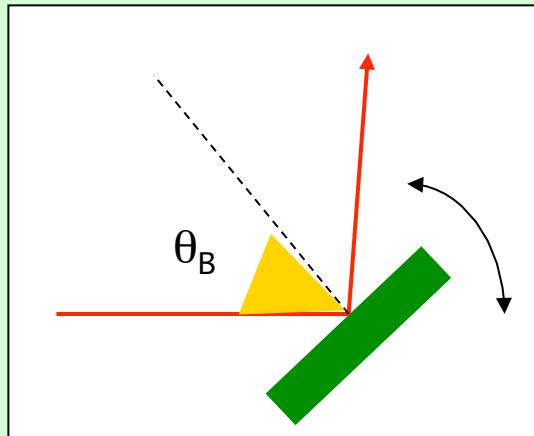
	2d
Si (111)	6.2708
Si (220)	3.84
Si (311)	3.28
Si (331)	2.5
Si (511)	2.08
Ge (111)	6.5328
Ge (220)	4.0004



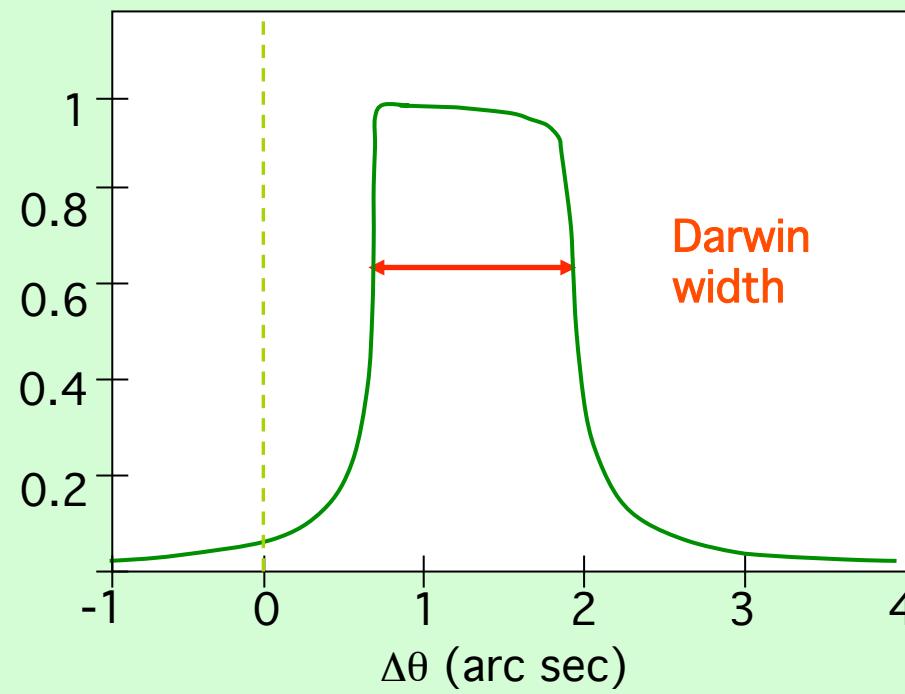
- Forbidden 'reflections'
- Harmonics
- Spurious reflections

Crystal reflectivity

Paolo
Fornasini
Univ. Trento



Rocking curve
(from dynamical theory of diffraction)

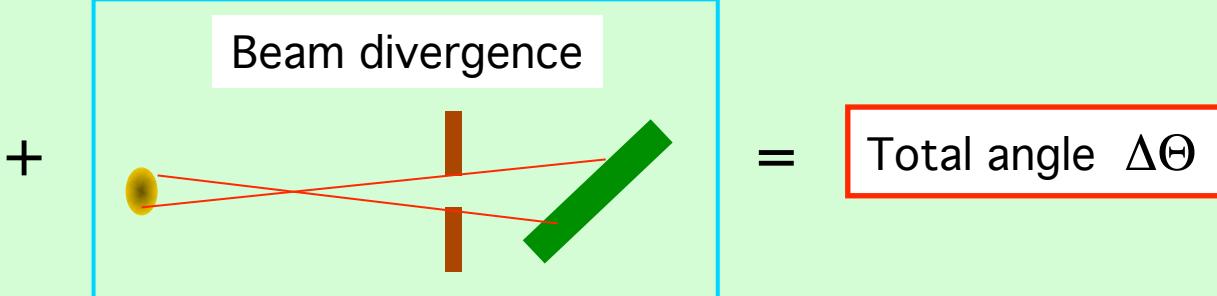


Higher order reflections have narrower rocking curves.

Energy resolution

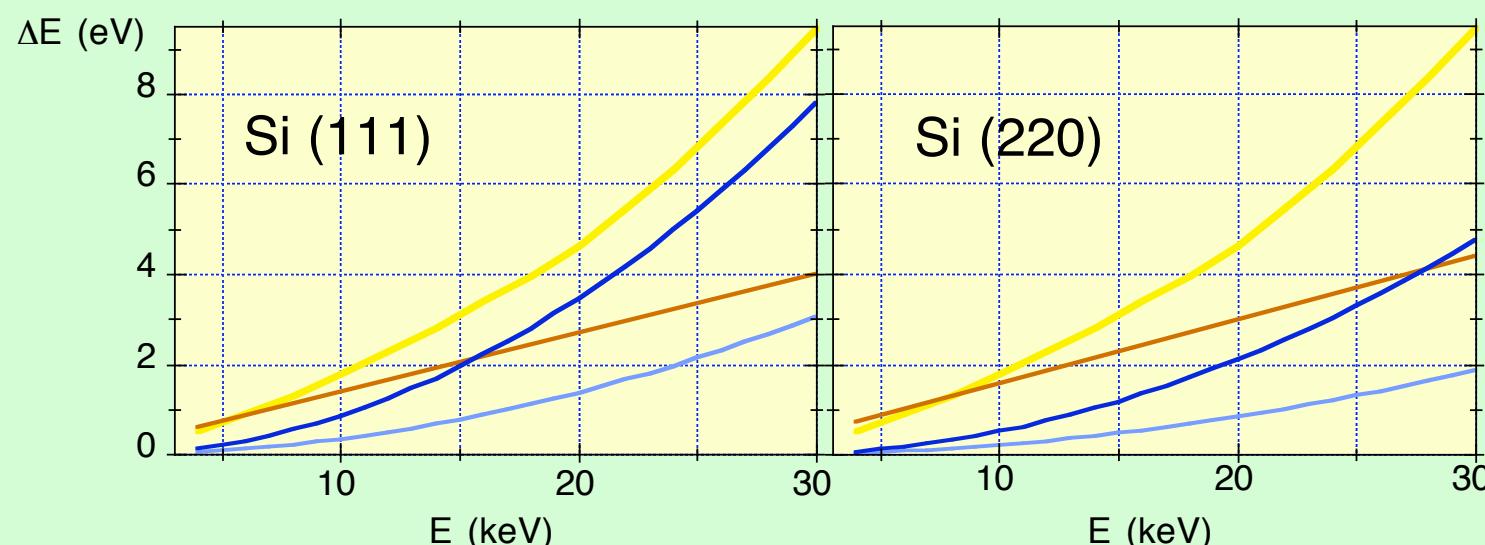
Paolo
Fornasini
Univ. Trento

Darwin width
(Intrinsic resolution)



Total angle $\Delta\Theta$

$$\frac{\Delta E}{E} = \frac{\Delta\lambda}{\lambda} = \Delta\Theta \cotg\theta_B$$



Core-level
width

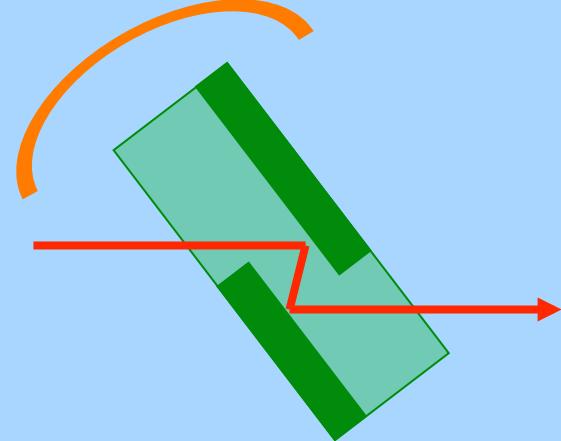
Darwin width

Two-crystal monochromators

Paolo
Fornasini
Univ. Trento

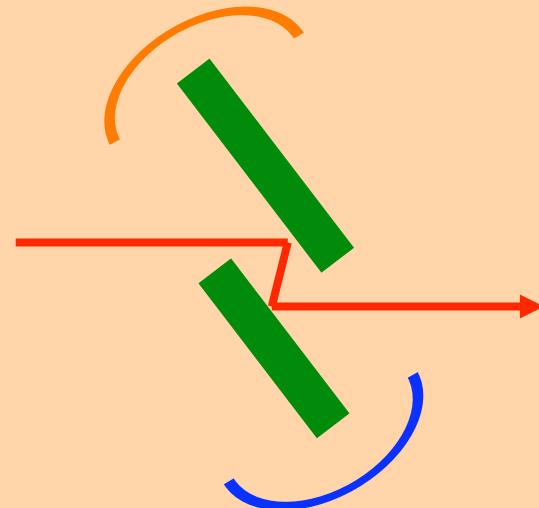
Horizontal output beam

“Channel-cut”



- ☺ Mechanical simplicity
- ☺ Stability
- ☹ Harmonics
- ☹ Spurious reflections

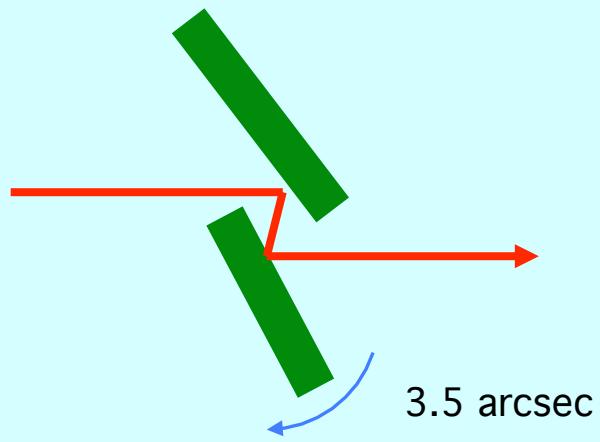
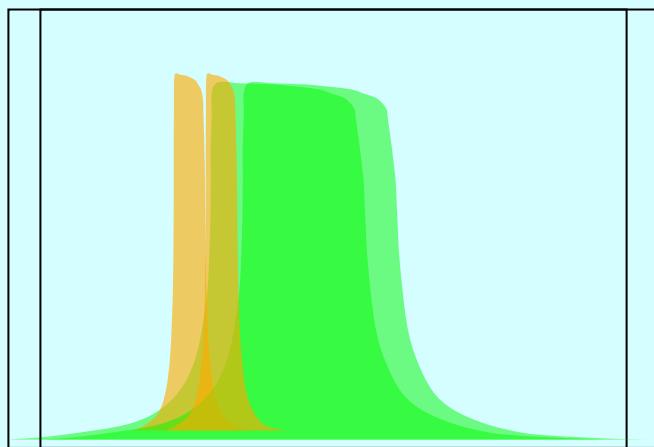
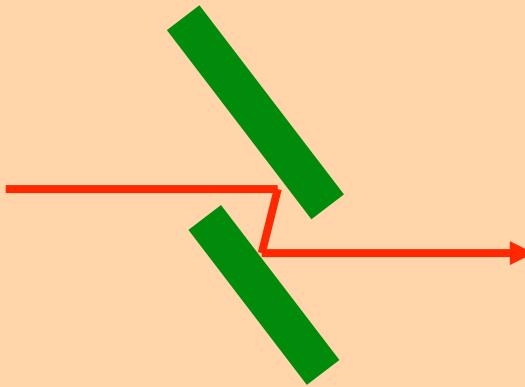
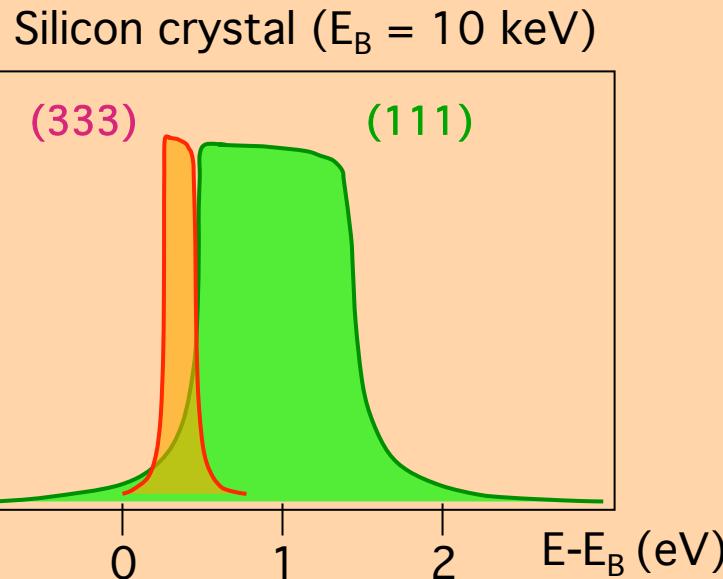
Independent crystals



- ☺ Detuning: harmonic reduction
- ☺ Possibility of focussing
- ☹ Mechanical complexity
- ☹ Instability

Crystals detuning

Paolo
Fornasini
Univ. Trento



X-ray mirrors

Paolo
Fornasini
Univ. Trento

Complex refractive index

$$n = 1 - \delta - i\beta$$

$\delta \approx 10^{-6} \div 10^{-5}$
for x - rays

absorption

Total external reflection : $\theta < \theta_c$



$$\theta_c = \sqrt{2\delta} \propto \lambda \sqrt{\rho}$$

grazing incidence
 $\theta \approx 10^{-3}$ rad

harmonics rejection

Surface bending



Beam collimation
and
focalisation

XAFS: experimental

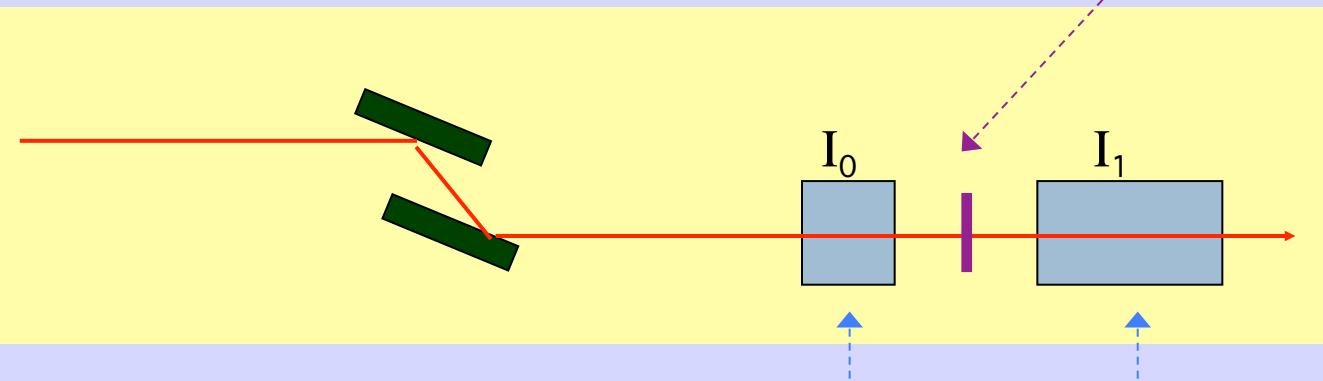
♠ Detection schemes

XAFS: direct transmission measurements

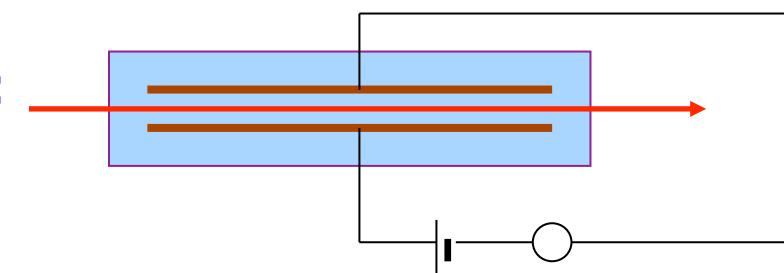
Paolo
Fornasini
Univ. Trento

Sample:

- Powders or thin films
- Thickness $\approx 10 \mu\text{m}$
- No holes or inhomogeneities



Detectors: ionisation chambers



$$V \approx 100 \text{ V/cm}$$

$$I \approx 10^{-10} \div 10^{-8} \text{ A}$$

Direct transmission measurements

Paolo
Fornasini
Univ. Trento

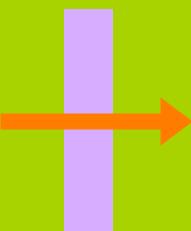
Bulk information
(not from surface)
from:

Thin samples

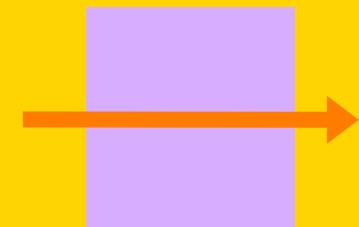
Non-diluted samples

Homogeneous samples

High accuracy attainable



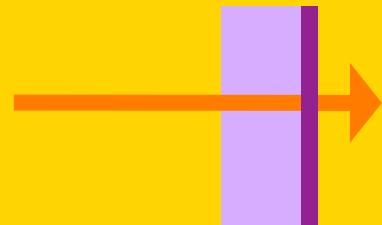
Thick samples



Diluted samples

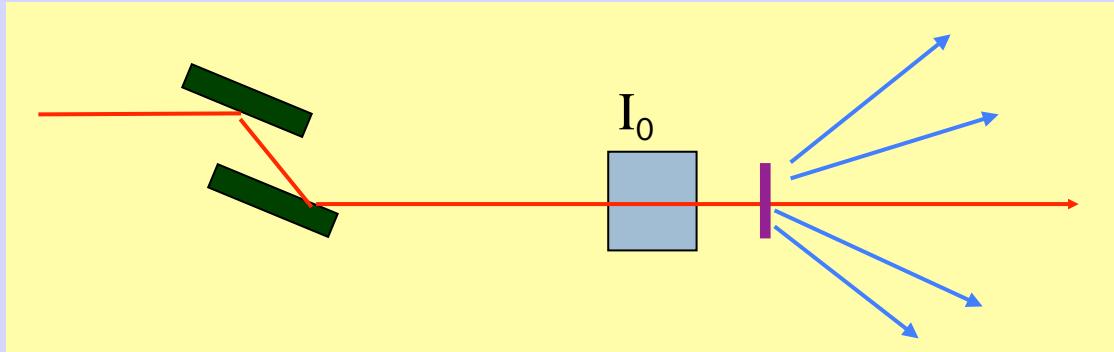


Surface
information



Indirect detection methods

Paolo
Fornasini
Univ. Trento



Detection of decay products

• X-ray fluorescence

FLY = FLuorescence Yield

• Electrons

{

- AEY = Auger Electron Yield
- PEY = Partial Eletron Yield
- TEY = Total Electron Yield

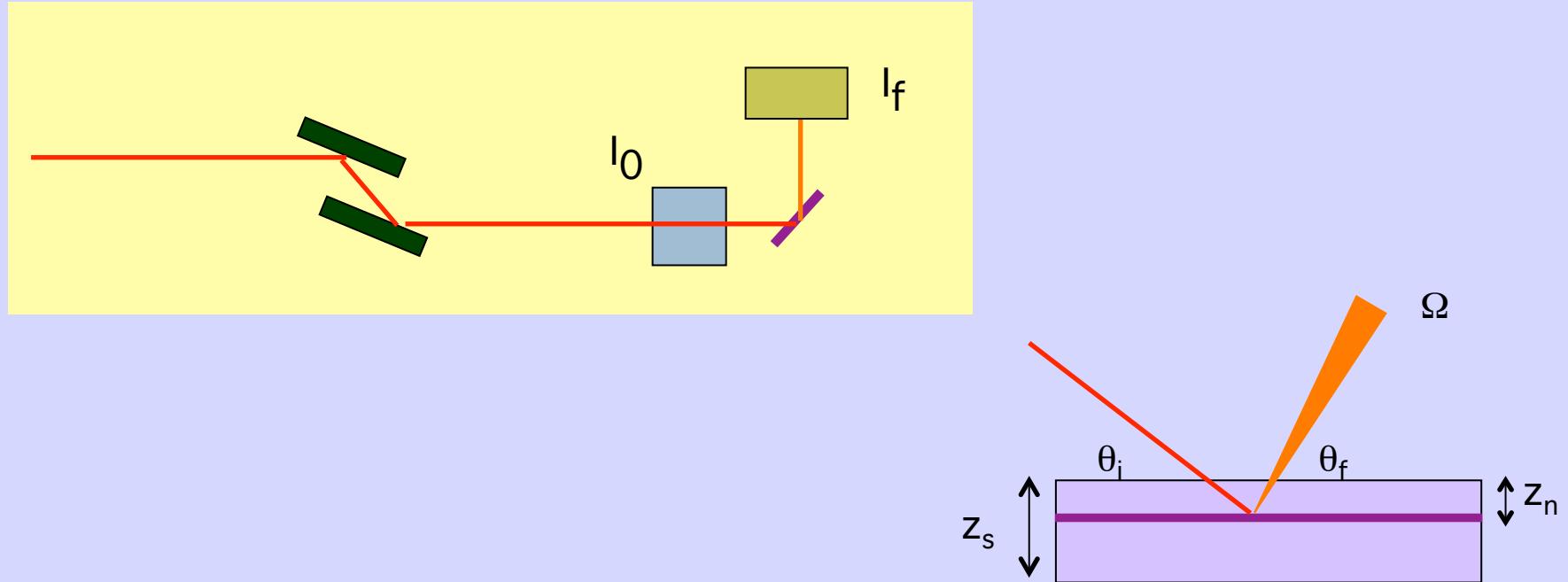
• Optical luminescence

XEOL-PLY =

X-ray Ecxitied Optical Luminescence
Photo Luminescence Yield

XAFS: fluorescence detection (FLY)

Paolo
Fornasini
Univ. Trento



$$I_f(z_n) dz = I_0(\omega) \exp\left[-\frac{\mu_s(\omega) z_n}{\sin \theta_i}\right] \eta_f \mu_a(\omega) \frac{dz}{\sin \theta_i} \exp\left[-\frac{\mu_s(\omega_f) z_n}{\sin \theta_f}\right] \frac{\Omega}{2\pi}$$

Absorption

Fluorescence

Absorption

Fluorescence: total intensity

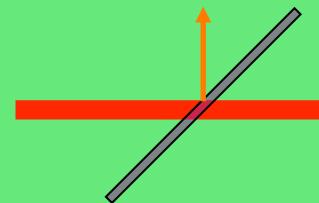
Paolo
Fornasini
Univ. Trento

$$I_f = I_0(\omega) \eta_f \frac{\Omega}{4\pi} \frac{\mu_a(\omega)}{\mu_s(\omega) + \mu_s(\omega_f)} \{1 - \exp(-A)\}$$

Sample of thickness z_s
 $\theta_i = \theta_f = 45^\circ$

$$A = -\sqrt{2} z_s [\mu_s(\omega) + \mu_s(\omega_f)]$$

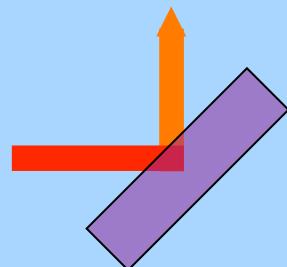
Thin samples



$$1 - \exp(-A) \approx 1 - 1 - A = -A$$

$$I_f \propto \mu_a(\omega)$$

Thick samples



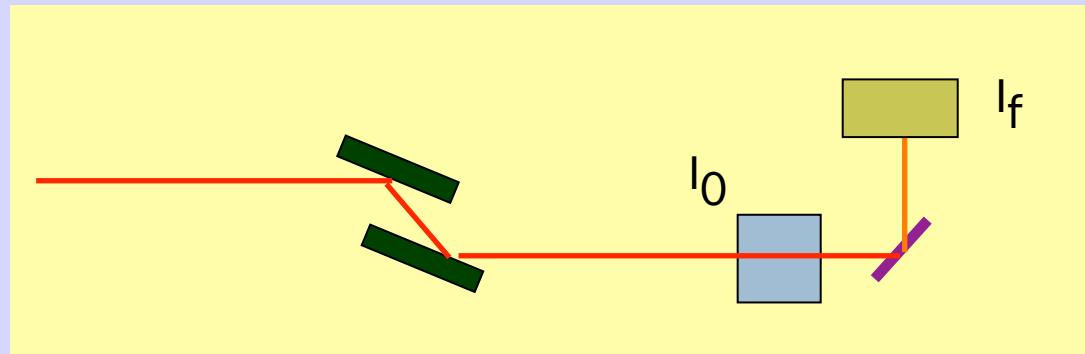
$$1 - \exp(-A) \approx 1$$

$$I_f = I_0(\omega) \eta_f \frac{\Omega}{4\pi} \frac{\mu_a(\omega)}{\mu_s(\omega) + \mu_s(\omega_f)}$$

OK for diluted samples (< 1%)

Fluorescence signal

Paolo
Fornasini
Univ. Trento



Background signals

Elastic scattering
Compton scattering
Other fluorescences

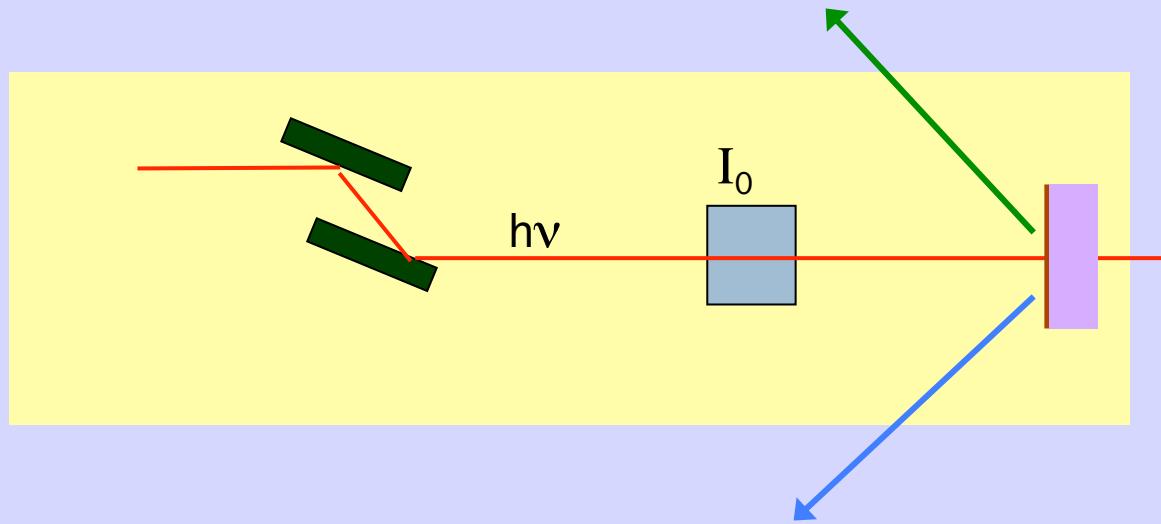
Energy selective detectors
Filters + Soller slits

XAFS: electron detection (a)

Paolo
Fornasini
Univ. Trento

Photo-electrons:

- Energy varies with $h\nu$
 - Intensity $\propto \mu_x$
- \Rightarrow XAFS signal



Auger electrons:

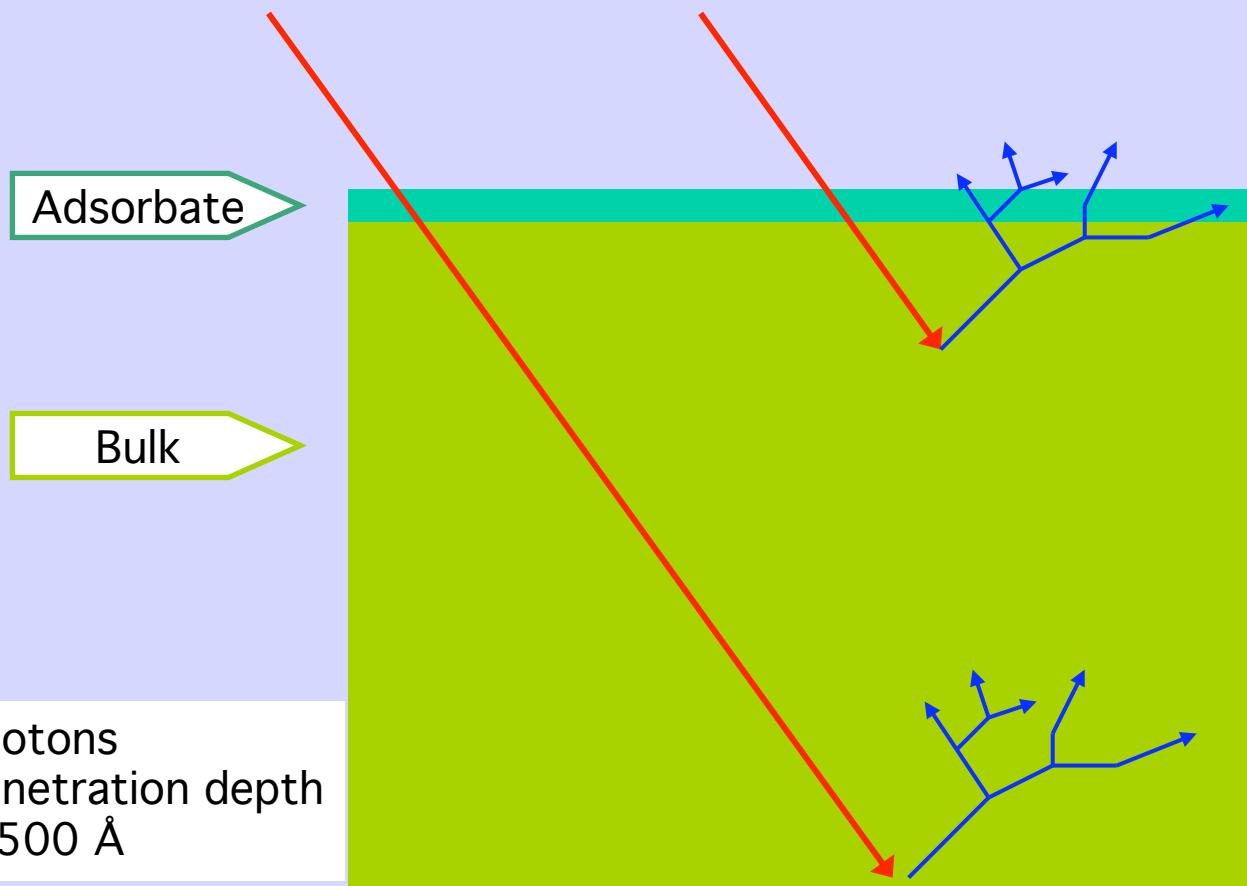
- Fixed energy \Rightarrow atomic selectivity
 - Intensity $\propto \mu_x$
- \Rightarrow XAFS signal

Electron mean free path:

- adsorbates
- thin layers

Indirect processes and escape depth

Paolo
Fornasini
Univ. Trento

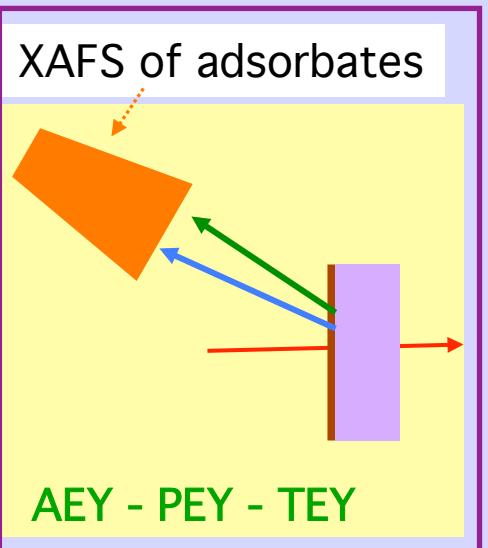


Primary electrons
mean free path
~ 5 - 10 Å

Secondary electrons
escape depth
~ 50 - 100 Å

XAFS: electron detection (b)

Paolo
Fornasini
Univ. Trento



AEY = Auger Electron Yield

- narrow energy window
- only direct Auger electrons
- spurious structures from photoelectrons

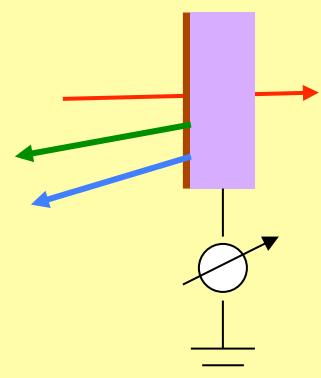
PEY = Partial Electron Yield

- large energy window
- Auger (direct + secondary) = XAFS signal
- Photoel. (direct + secondary) = background

TEY = Total Electron Yield

- all electrons collected
 - Auger (direct + second.) = XAFS signal
 - Photoel. (direct + second.) = background
- XAFS from Auger and photoel.

Bulk materials

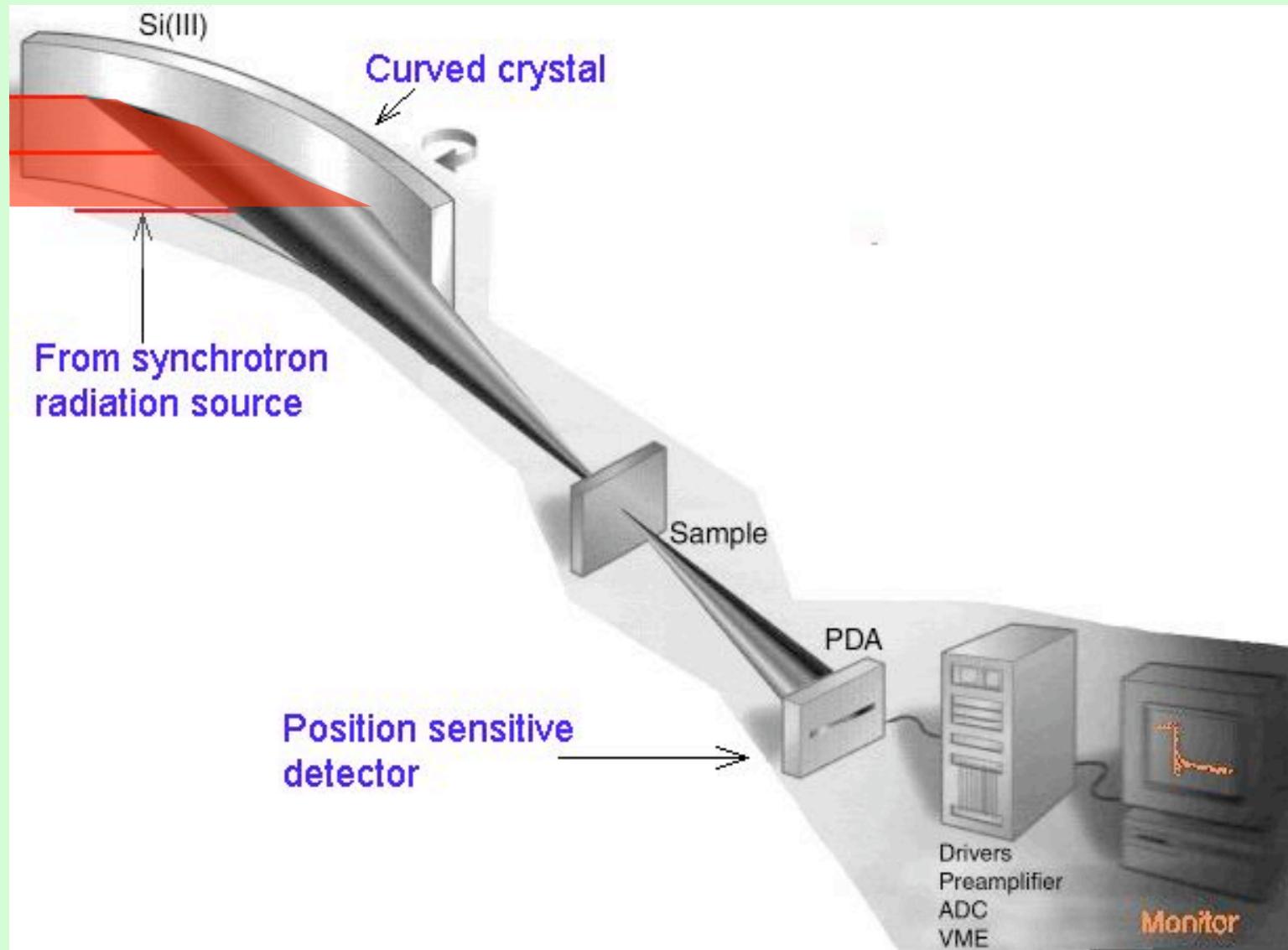


XAFS: experimental

♠ Alternative layouts

Dispersive XAFS (a)

Paolo
Fornasini
Univ. Trento

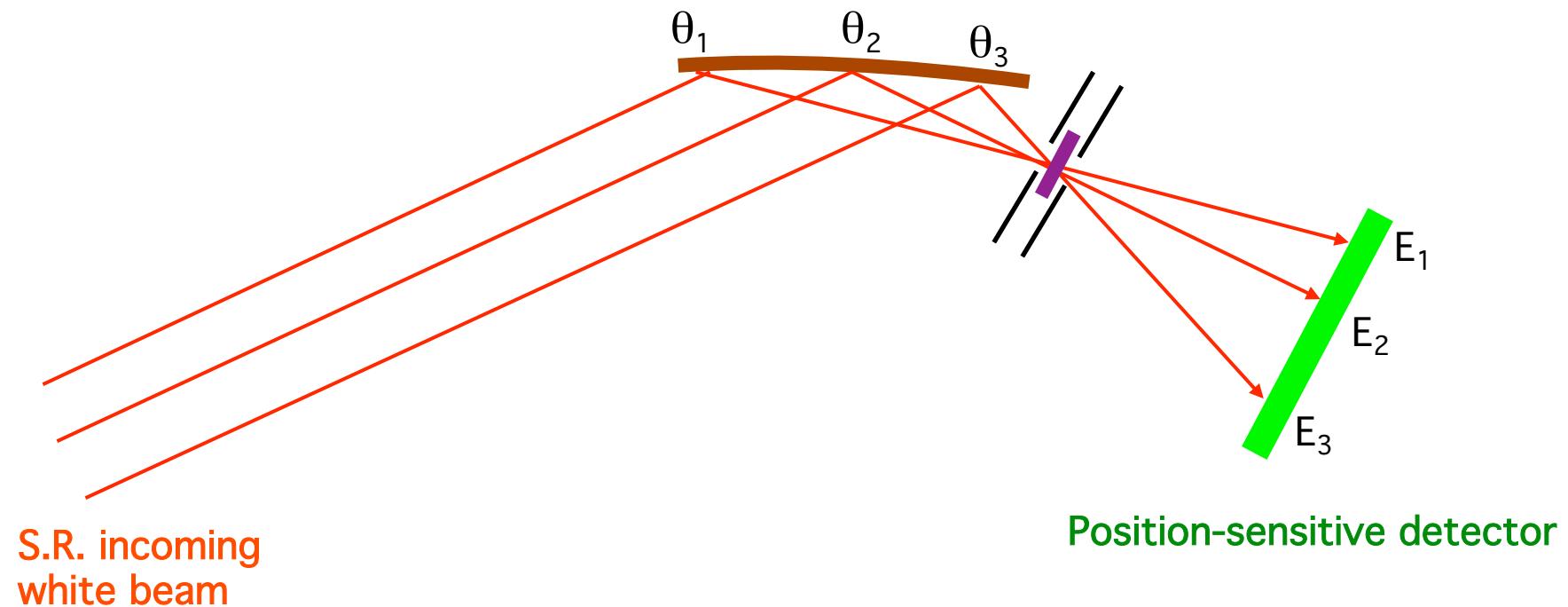


Dispersive XAFS (b)

Paolo
Fornasini
Univ. Trento

$$2d \sin\theta = \lambda$$

Curved crystal poly-chromator

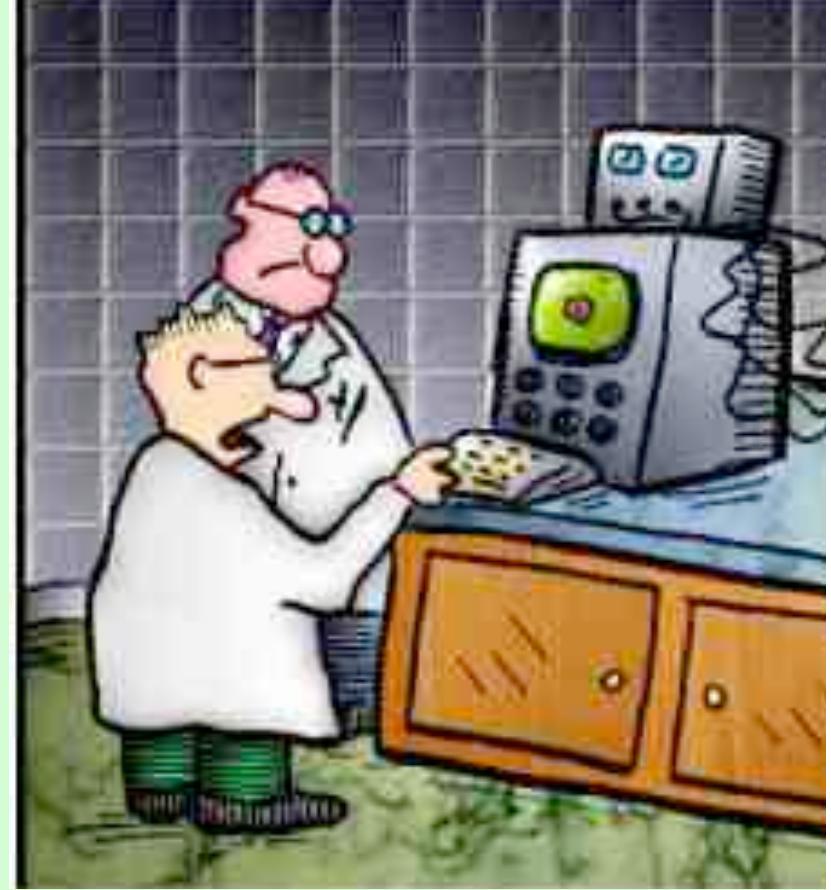


- 😊 No mechanical movements (no dead times)
- 😊 Simultaneous acquisition of all data points
- 😊 Acquisition time determined by acceptable statistics

OK for time-resolved measurements

- 😢 Critical in terms of temporal and spatial beam stability and sample presentation
- 😢 Only transmission mode
- 😢 X-ray beam not perfectly focussed through the sample
- 😢 No reference measurements during acquisition

NO accurate quantitative results



EXAFS: data analysis, examples

Analysis - Available software

Paolo
Fornasini
Univ. Trento

List of available software:

XAFS Society web-site = <http://xafs.org/Software>

FEFF: ab initio MS calculations of EXAFS and XANES for clusters of atoms.
The code yields scattering amplitudes and phases, as well as various other properties.

IFEFFIT: interactive program for XAFS analysis.

Athena: interactive graphical utility for processing EXAFS data.

Artemis: interactive graphical utility for fitting EXAFS data using theoretical standards from FEFF and sophisticated data modelling.[library](#).

GNXAS: EXAFS data analysis based on MS calculations and advanced fitting of raw experimental data.

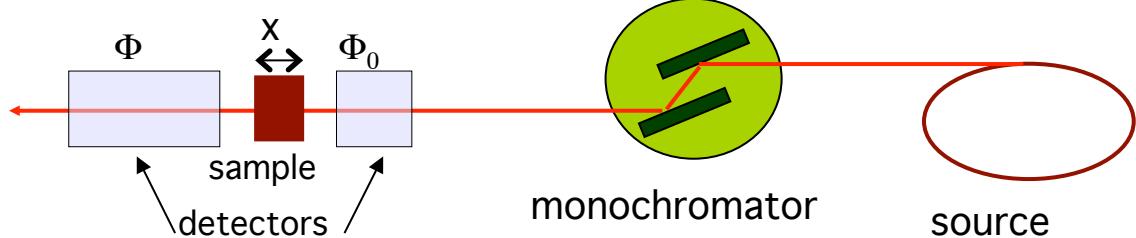
Main peculiarities: MS associated with 2, 3, and 4- atom configurations, multi-electron excitation, various model peaks for distribution functions.

EXAFS data analysis

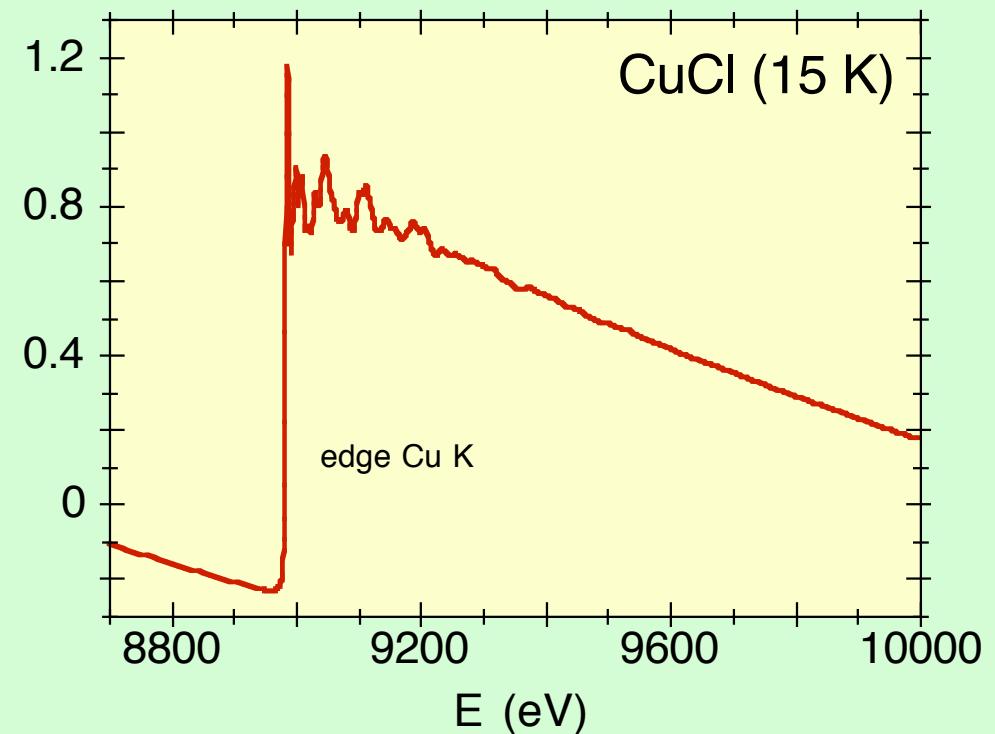
- ♠ Extraction of EXAFS signal

Total absorption coefficient

Paolo
Fornasini
Univ. Trento



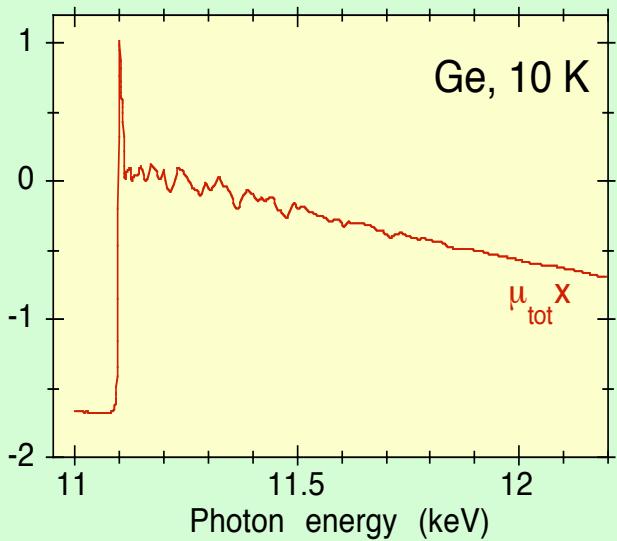
$$\ln \frac{I_0}{I} = \ln \frac{\Phi_0}{\Phi} + C' = \mu_{\text{tot}} x + C'$$



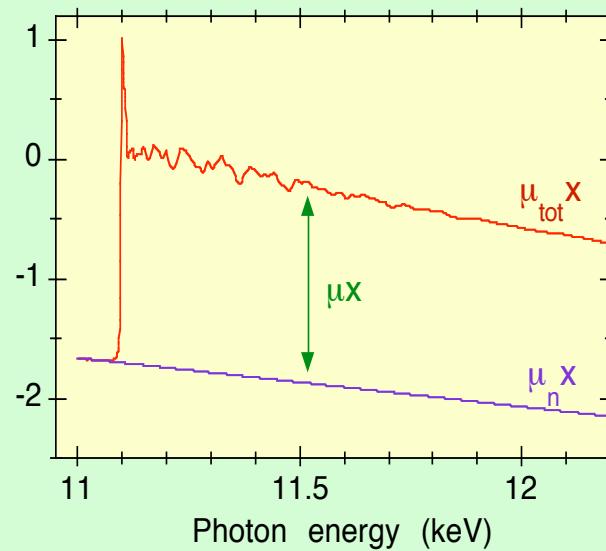
Edge absorption coefficient

Paolo
Fornasini
Univ. Trento

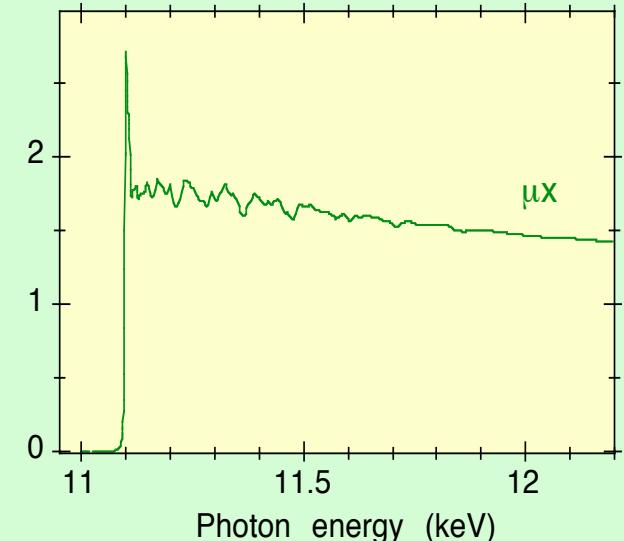
Experimental
signal



Extrapolation
of pre-edge
behaviour

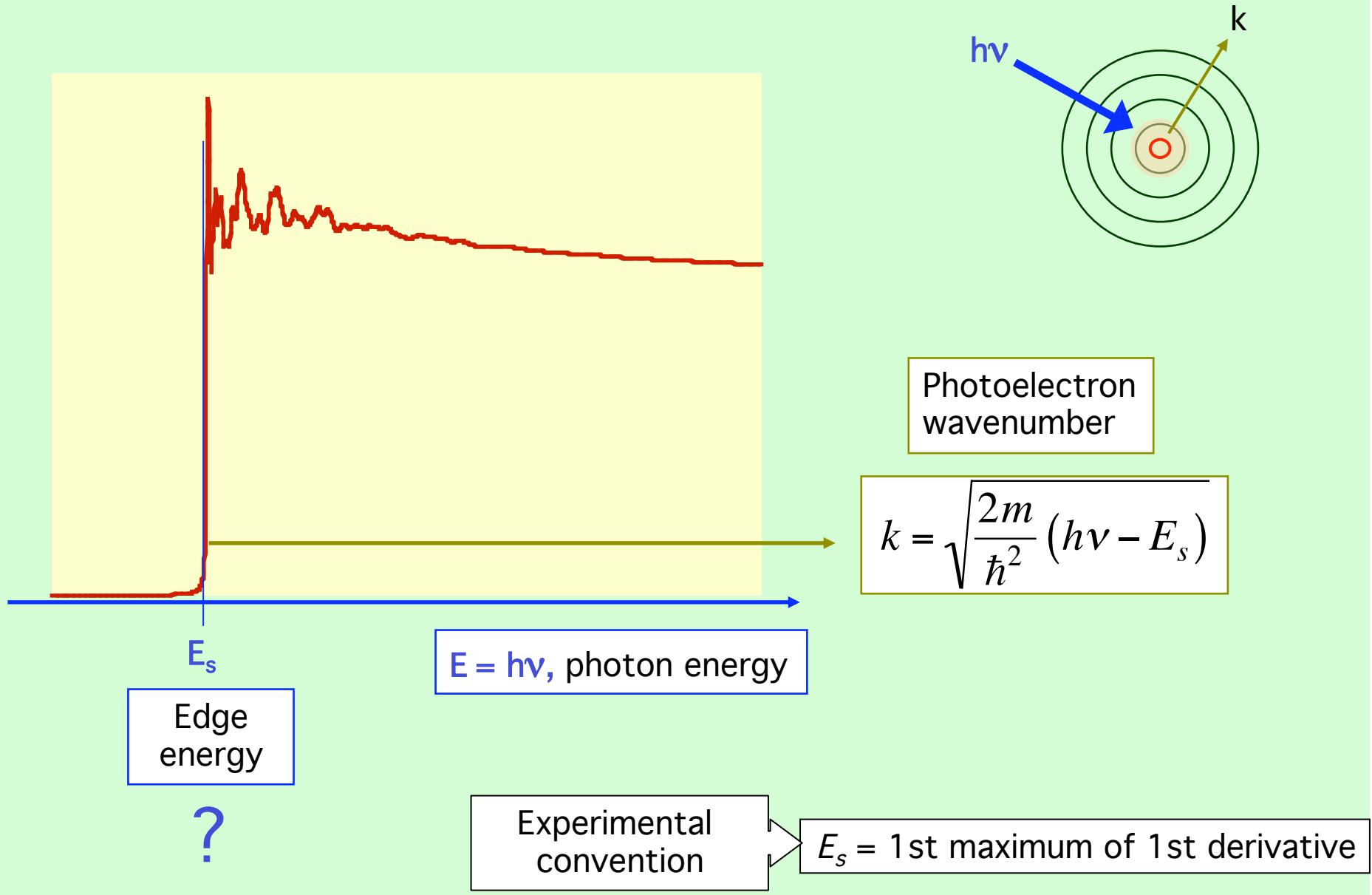


Edge
absorption
coefficient



Photoelectron wavenumber

Paolo
Fornasini
Univ. Trento



Atomic absorption coefficient

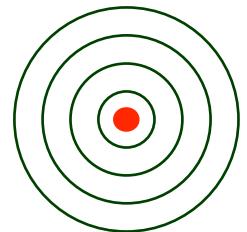
Paolo
Fornasini
Univ. Trento

EXAFS function

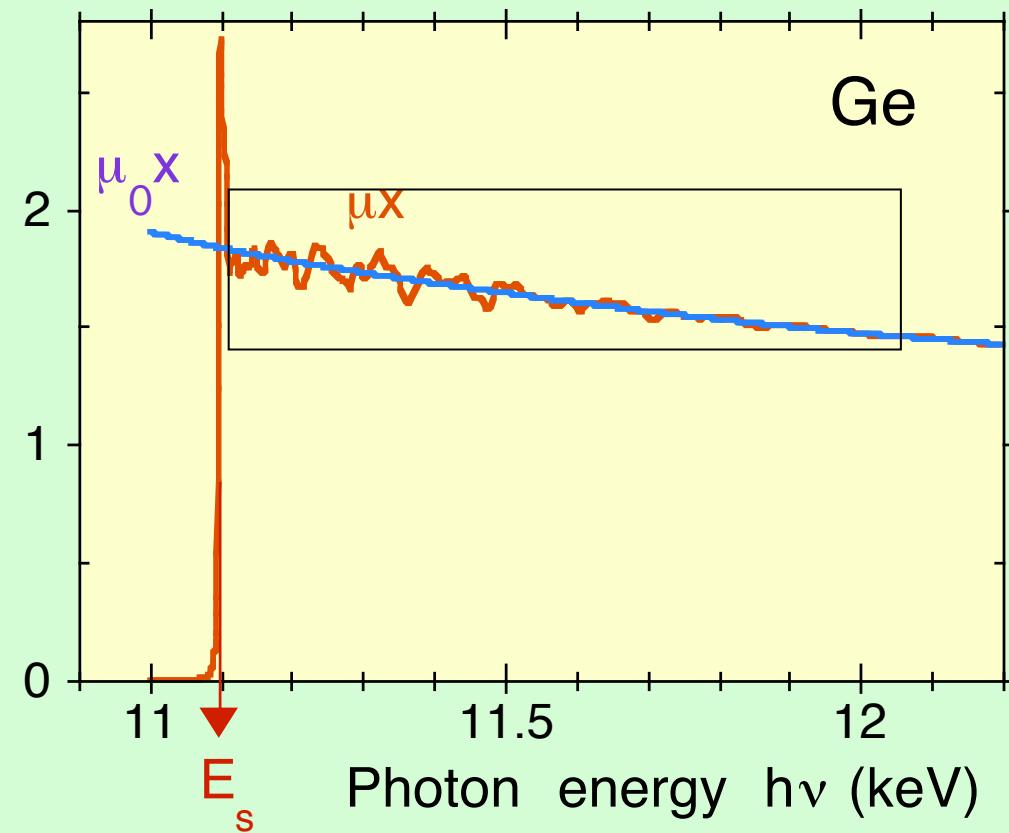
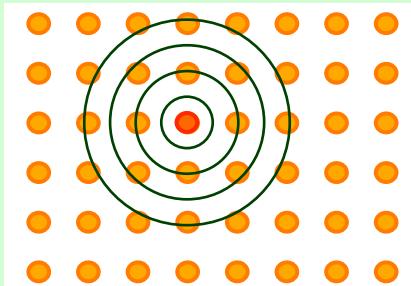
$$\chi(k) = \frac{\mu - \mu_0}{\mu_0}$$

μ_0 ?

Isolated atom



Embedded atom



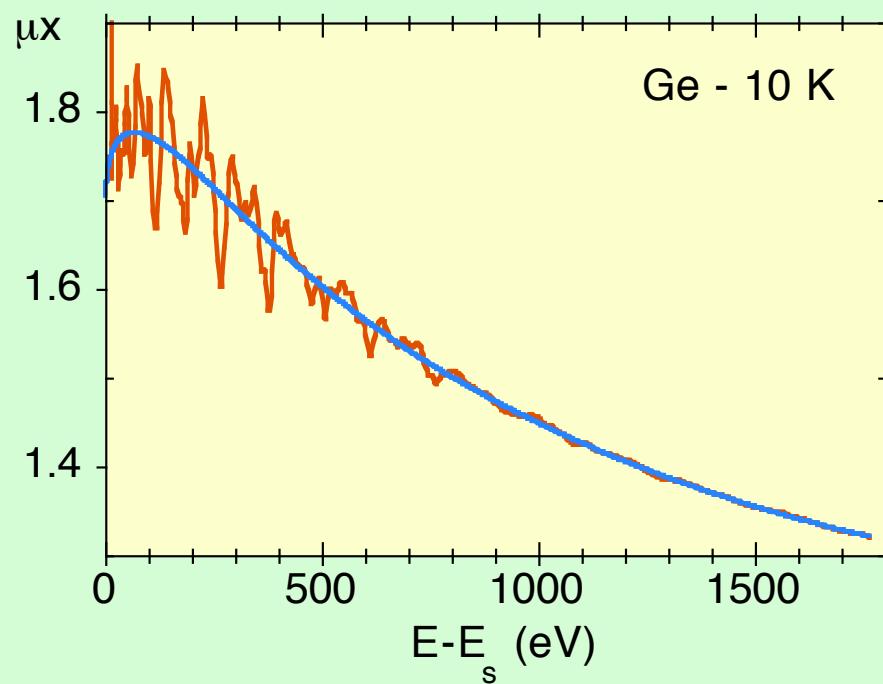
Best-fitting polynomial spline

Paolo
Fornasini
Univ. Trento

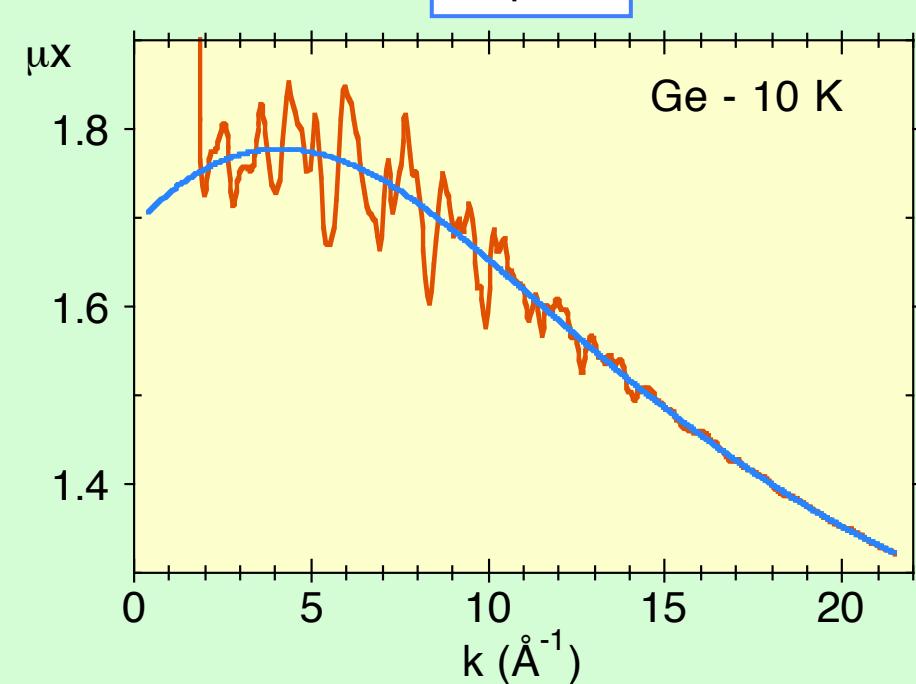
$$\chi(k) = \frac{\mu - \mu_0}{\mu_0}$$

Polynomial spline - best fit

E space

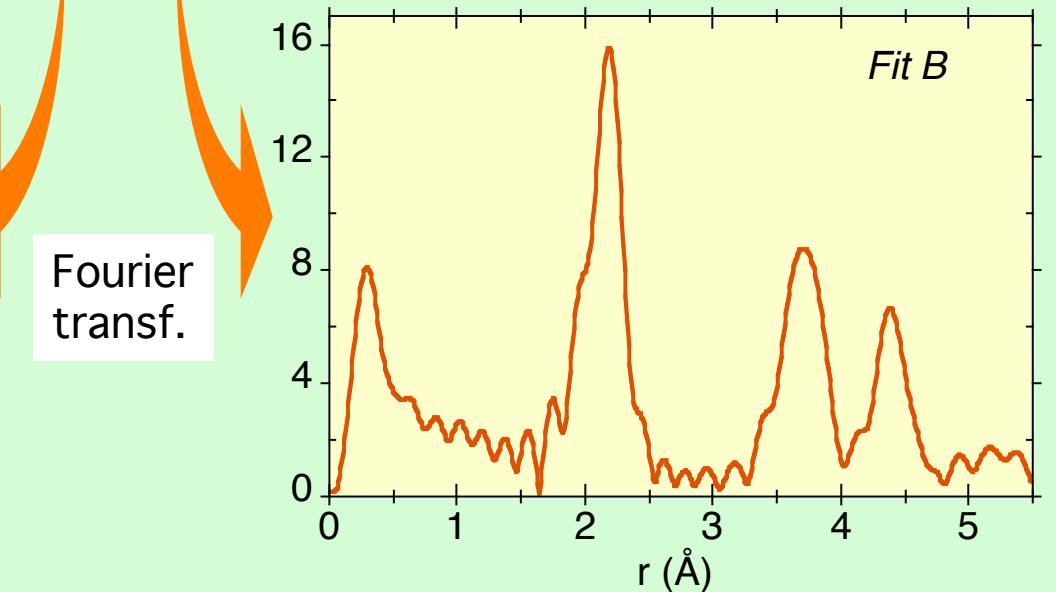
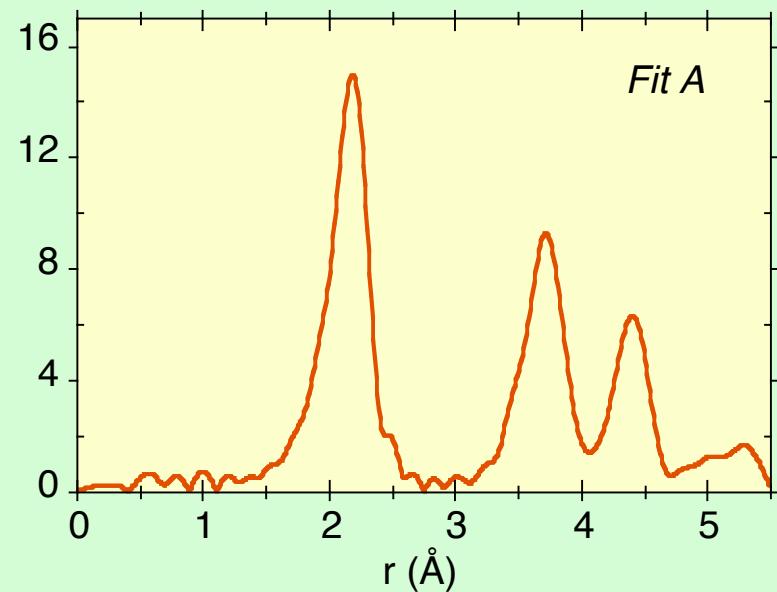
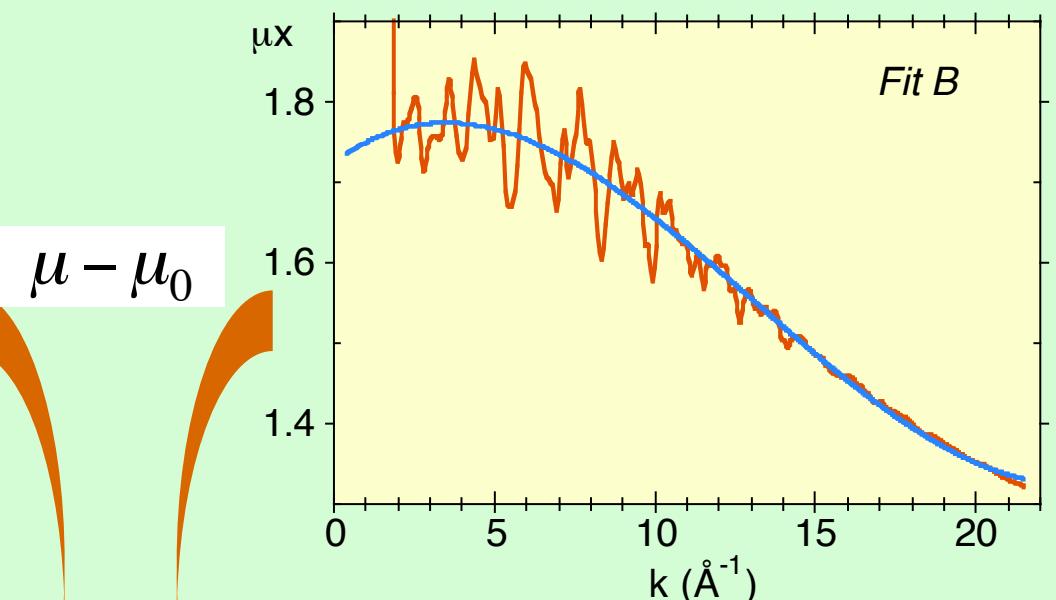
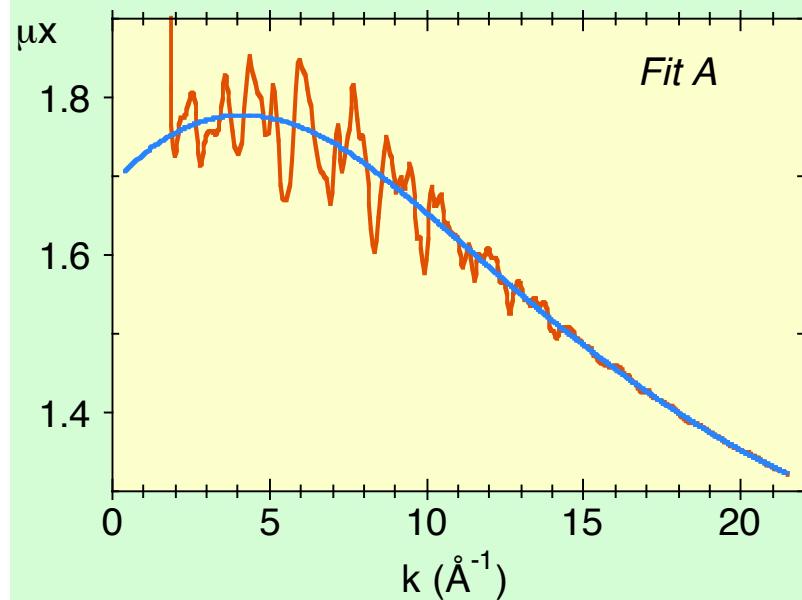


k space



Fit optimization

Paolo
Fornasini
Univ. Trento



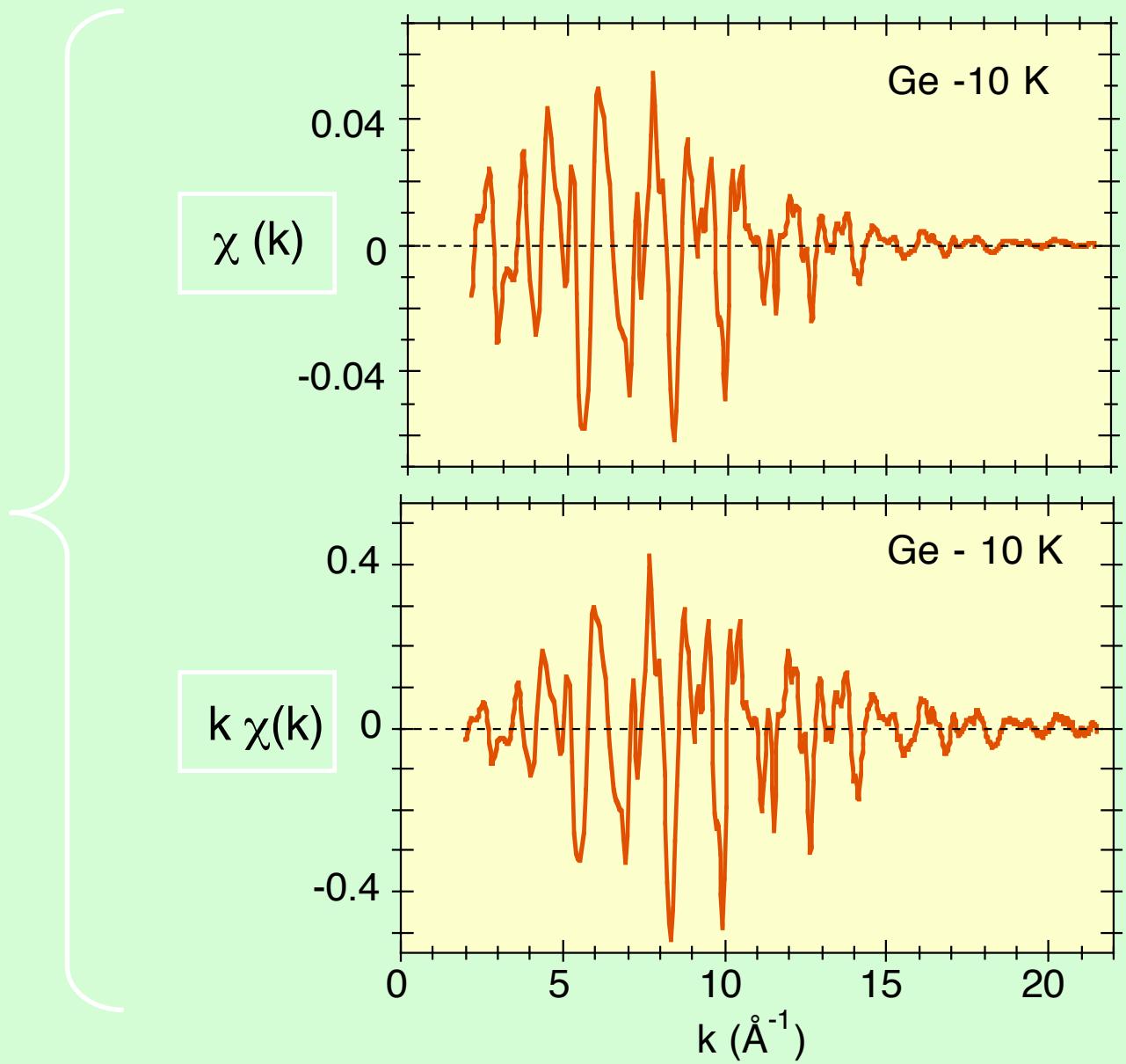
$\mu - \mu_0$

Fourier
transf.

EXAFS signal

Paolo
Fornasini
Univ. Trento

$$\chi(k) = \frac{\mu - \mu_0}{\mu_1}$$

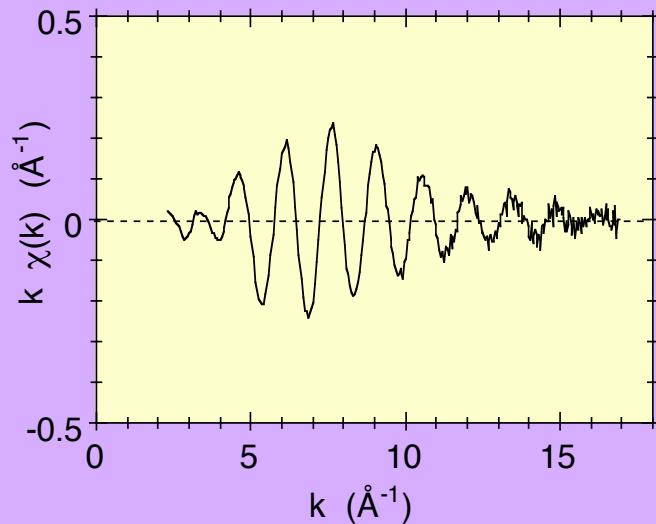


EXAFS signals: examples

Paolo
Fornasini
Univ. Trento

Amorphous
Germanium

$T = 77 \text{ K}$

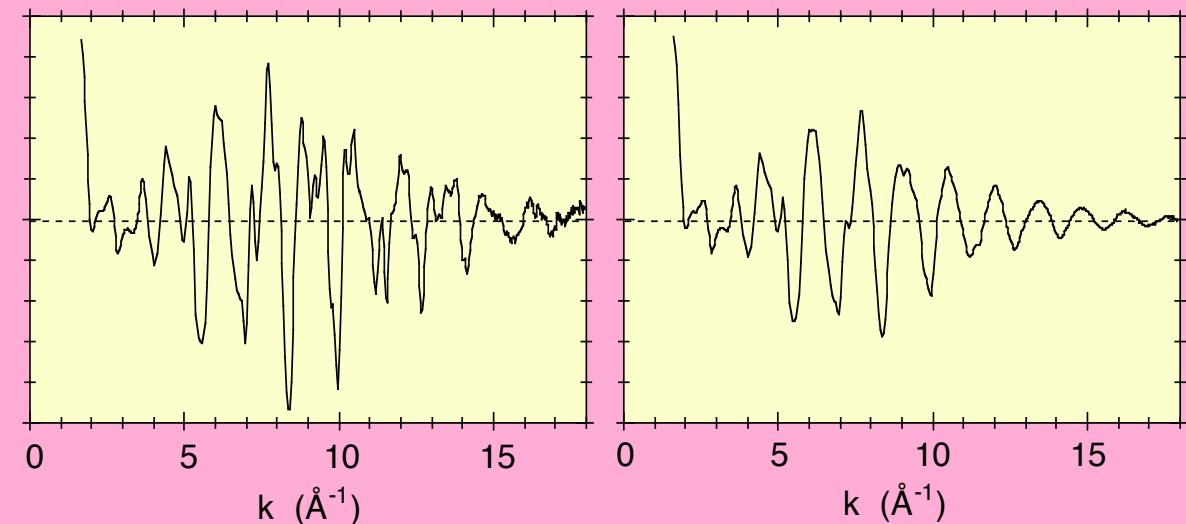


1 coord. shell

Crystalline Germanium

$T = 77 \text{ K}$

$T = 300 \text{ K}$

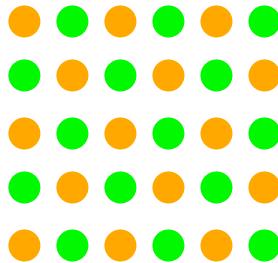


Several coord. shells

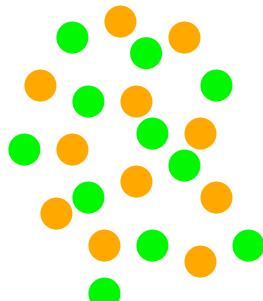
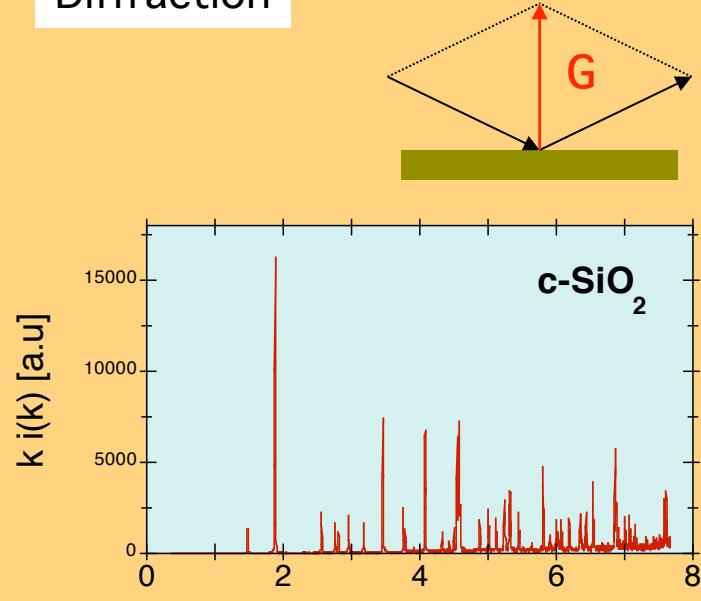
Temperature effect

Diffraction .vs. EXAFS - (b)

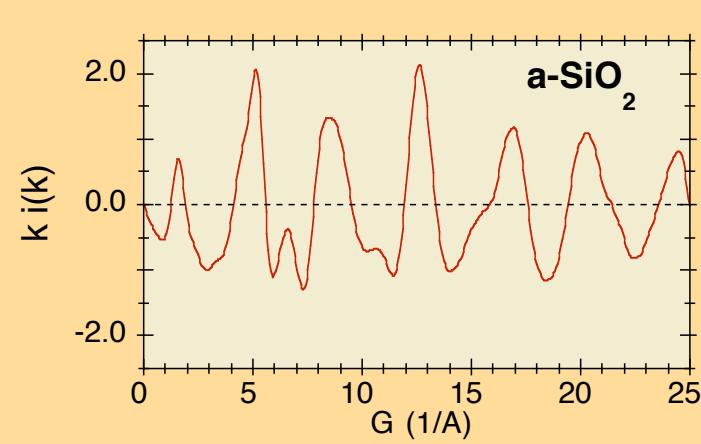
Paolo
Fornasini
Univ. Trento



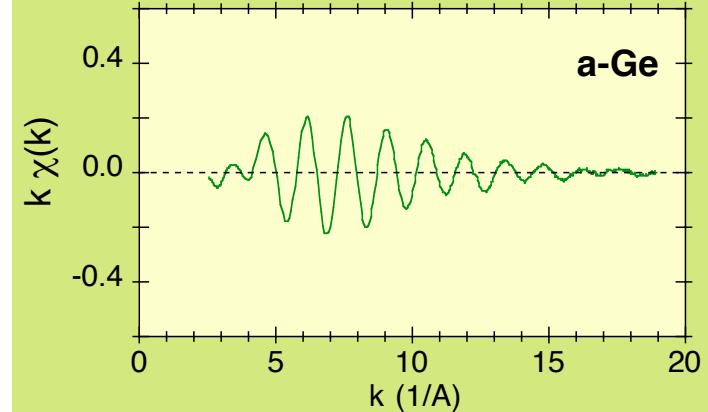
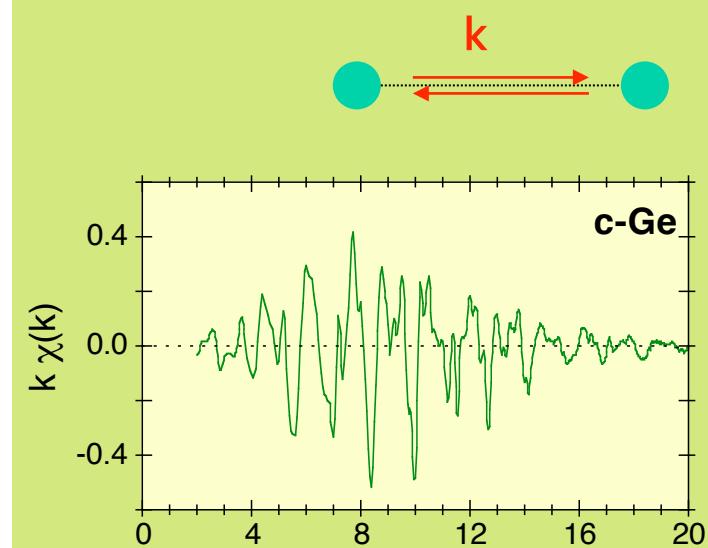
Diffraction



Diffraction



EXAFS



Quantitative analysis of EXAFS

Paolo
Fornasini
Univ. Trento

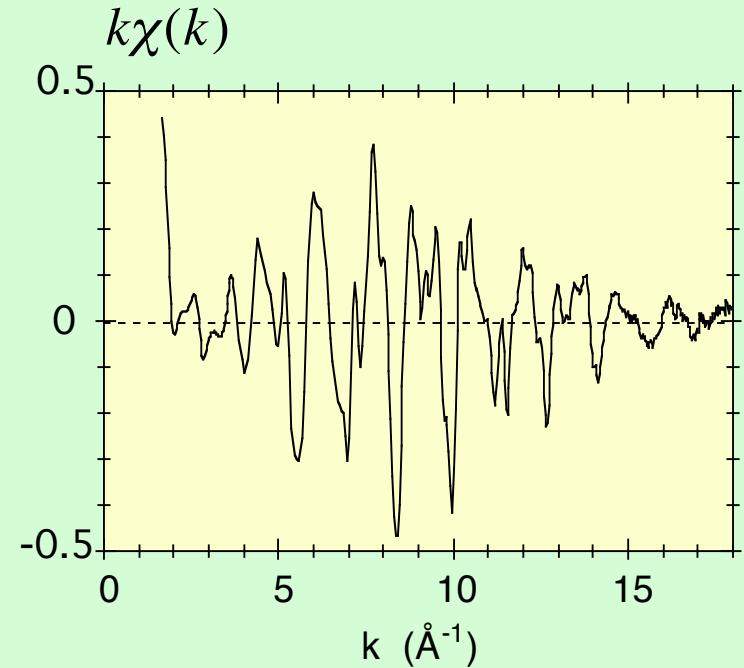
$$\chi(k) = \sum_i A_i(k) \sin \Phi_i(k)$$

Sum over: {

- S.S. paths (coord. shells)
- M.S. paths

Input for each path:

- backscattering amplitude
- phaseshifts
- inelastic terms



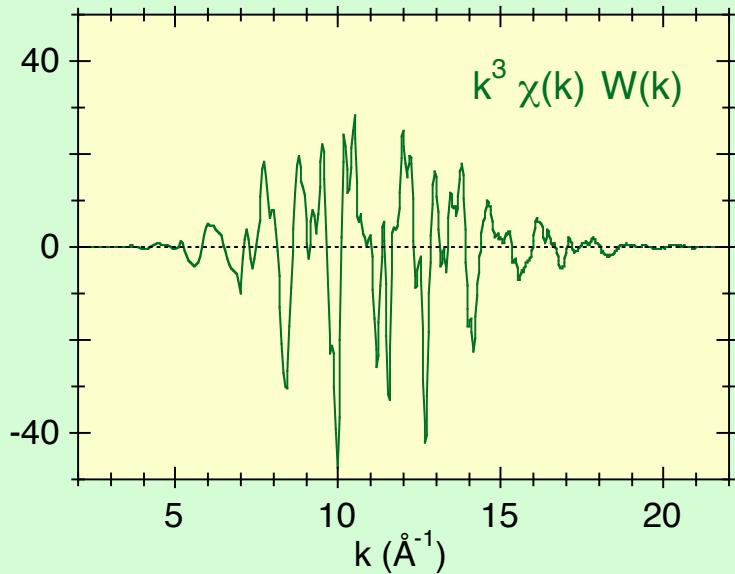
Different analysis procedures

EXAFS data analysis

♠ Fourier transform

Data analysis - Fourier Transform $k \rightarrow r$

Paolo
Fornasini
Univ. Trento



$$F(r) = \int_{k_{min}}^{k_{max}} \chi(k) k^n W(k) e^{2ikr} dk$$

The equation shows the Fourier transform $F(r)$ as an integral from k_{min} to k_{max} of the product of the scattering function $\chi(k)$, a power law weight k^n , a window function $W(k)$, and a complex exponential factor e^{2ikr} .

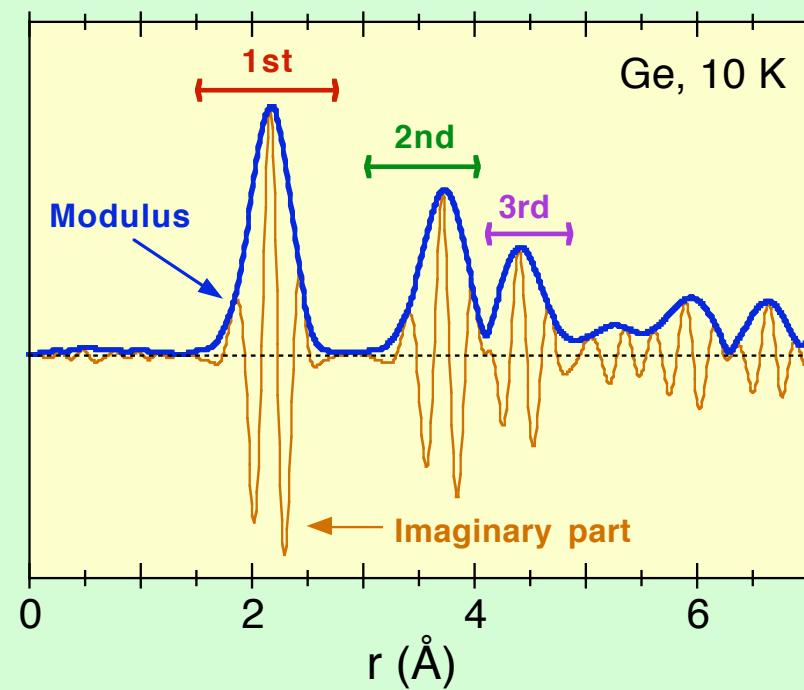
Diagram illustrating the windowing process:

An orange trapezoidal window is applied to a green oscillatory signal. The window is labeled "window". A blue bar at the top is labeled "weight".

Peak's position and shape influenced by:

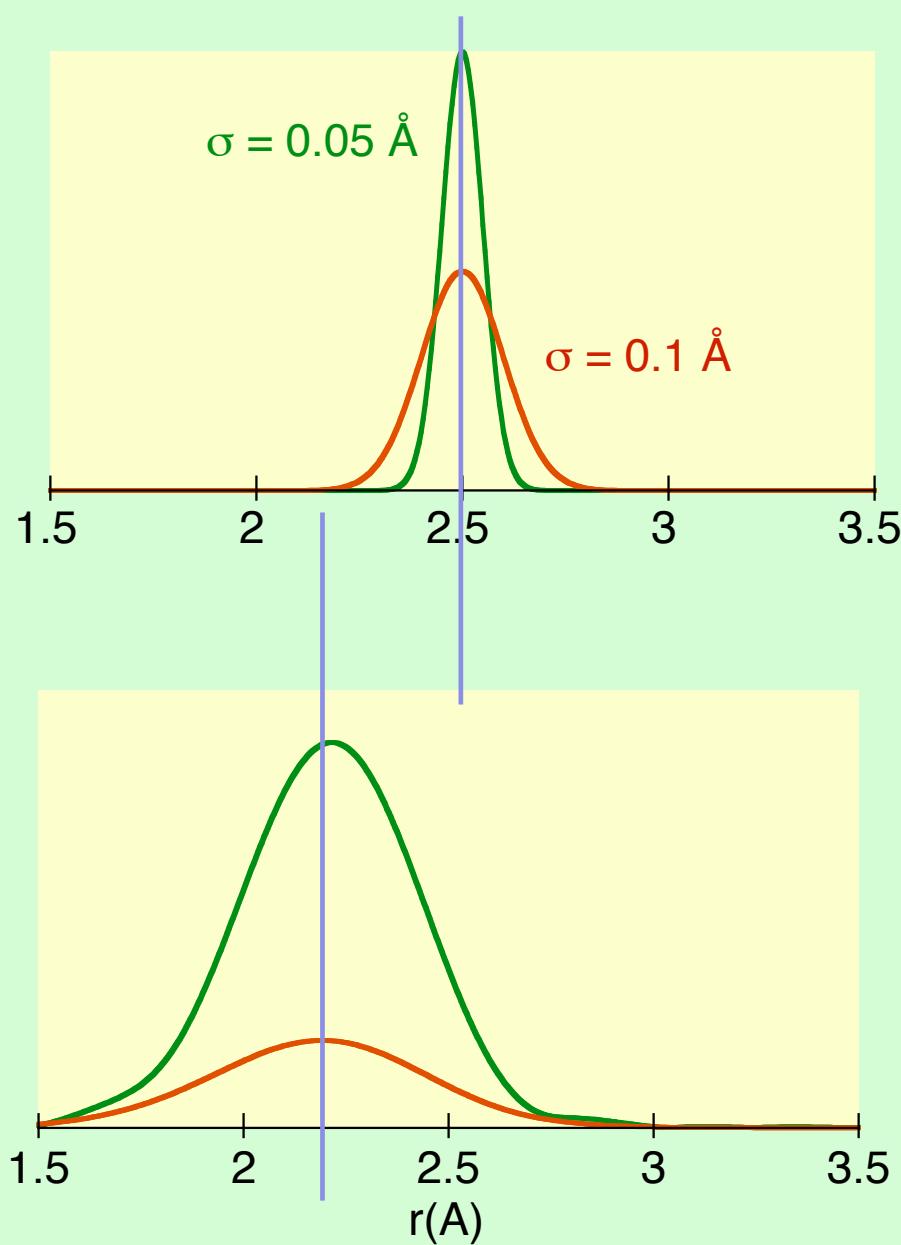


- total phaseshifts
- disorder
- Fourier transform window

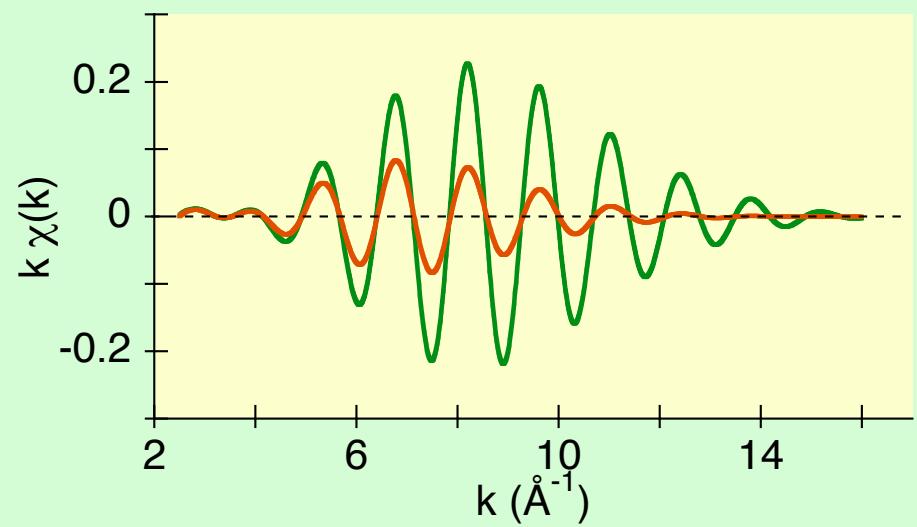


Fourier Transform and distribution

Paolo
Fornasini
Univ. Trento



EXAFS simulation
(Ge phases and amplit.)

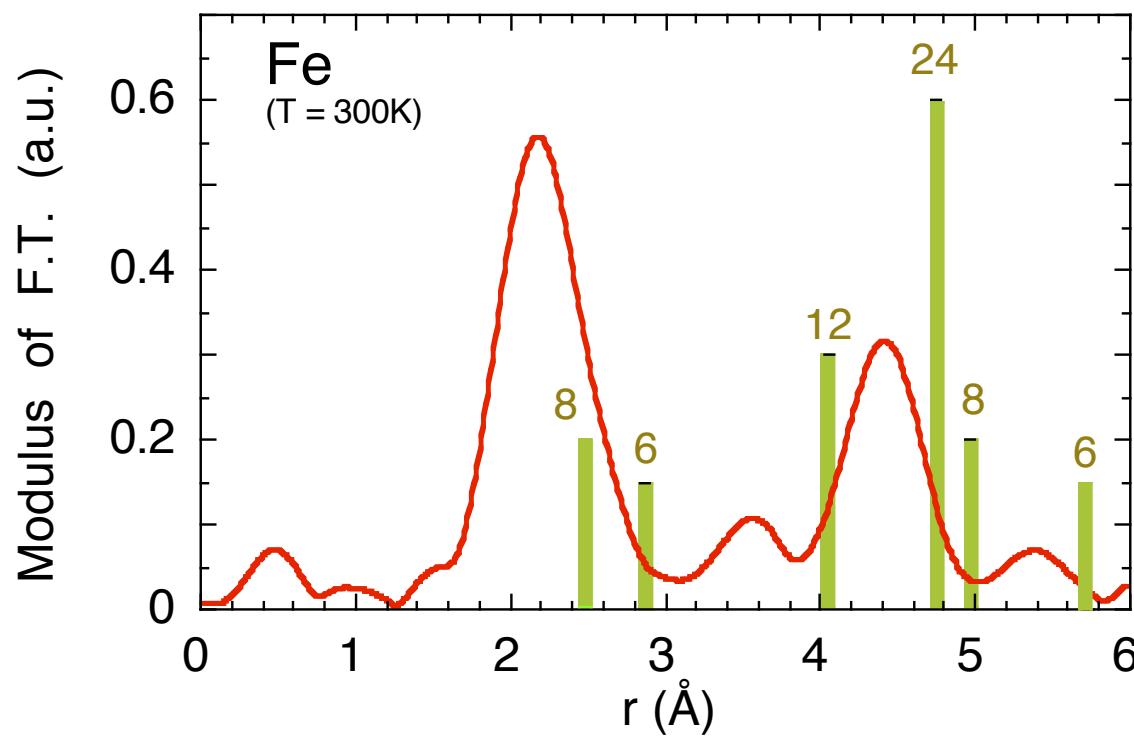
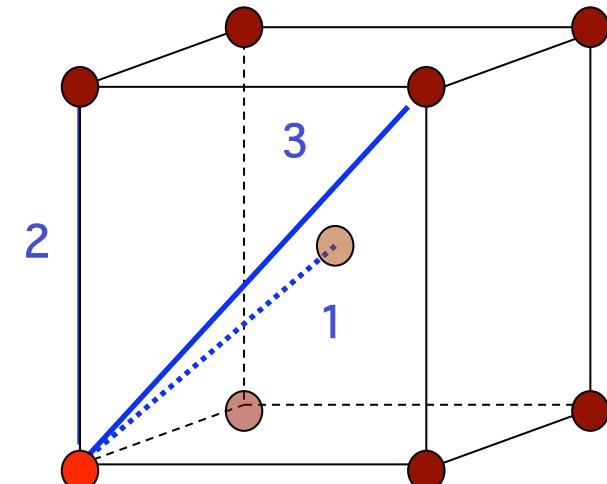


F.T.: $k=2.5-16$
 K^3 , square w.

26 - Iron: bcc structure

Paolo
Fornasini
Univ. Trento

i	N _i	R _i (Å)
1	8	2.48
2	6	2.86
3	12	4.05
4	24	4.75
5	8	4.96
6	6	5.73

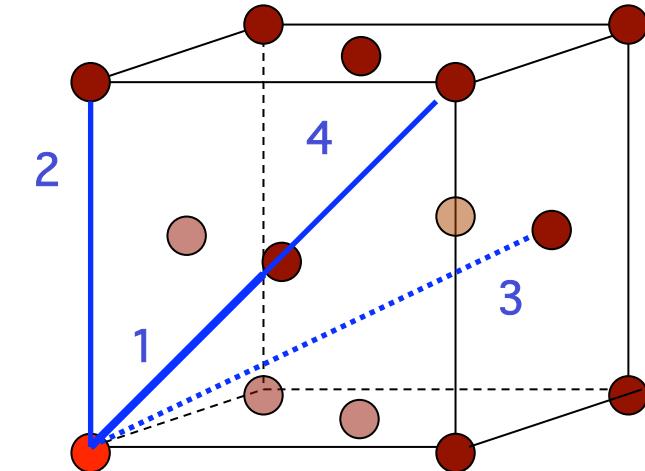
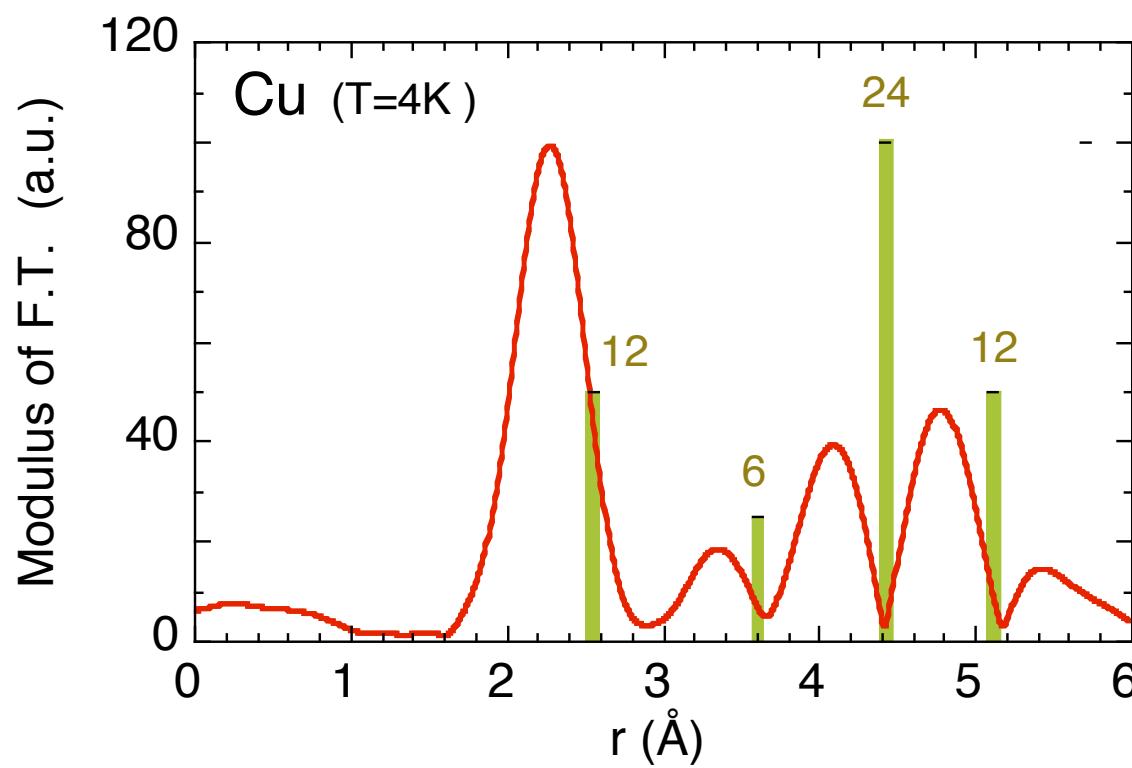


- Peak shift
- Superposition of shells

29 - Copper: fcc structure

Paolo
Fornasini
Univ. Trento

i	N _i	R _i (Å)
1	12	2.55
2	6	3.61
3	24	4.42
4	12	5.10
5	24	5.70
6	8	6.25

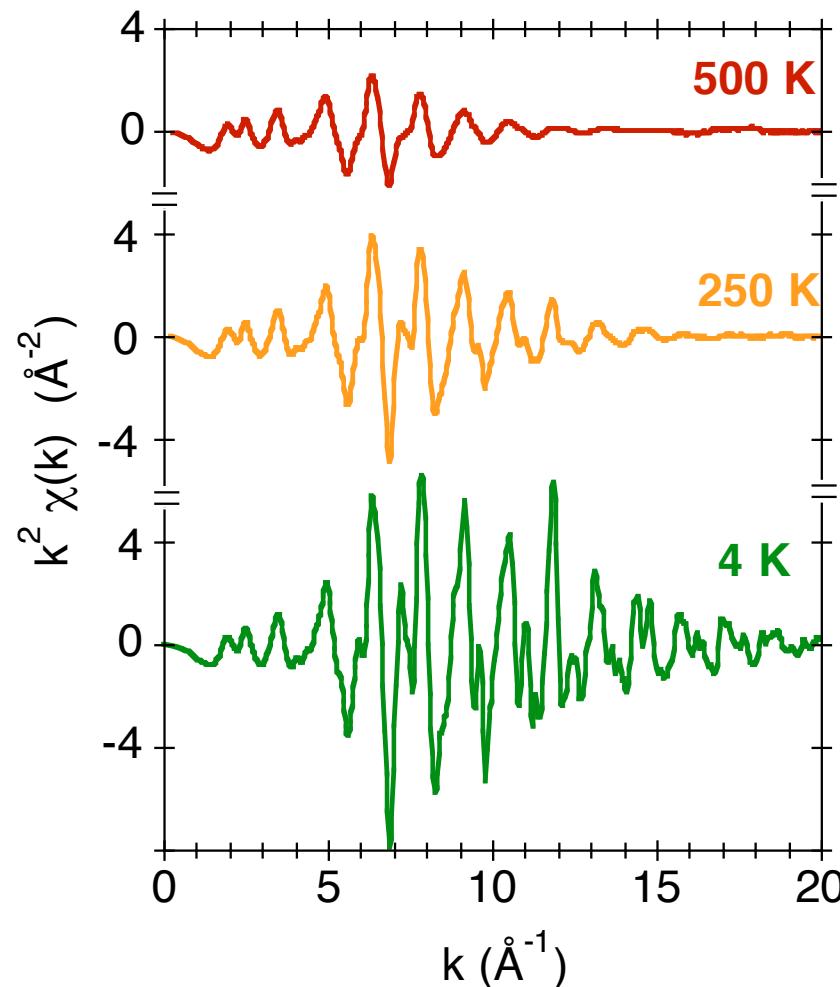


- Peak shift
 - Focussing effect
-
- 1 4

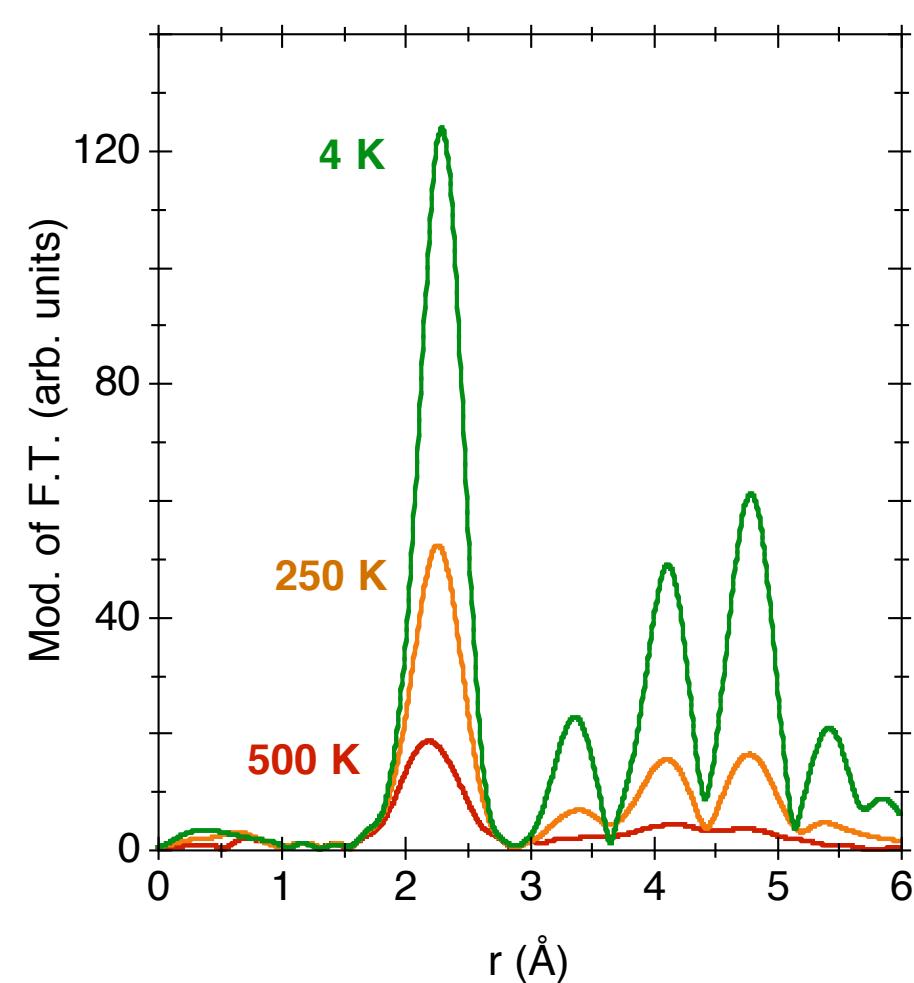
29-Cu: temperature effects

Paolo
Fornasini
Univ. Trento

● EXAFS signals



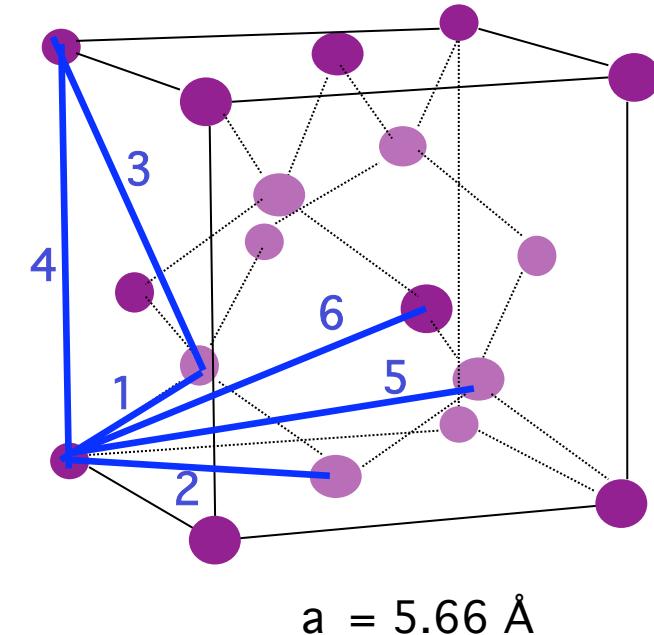
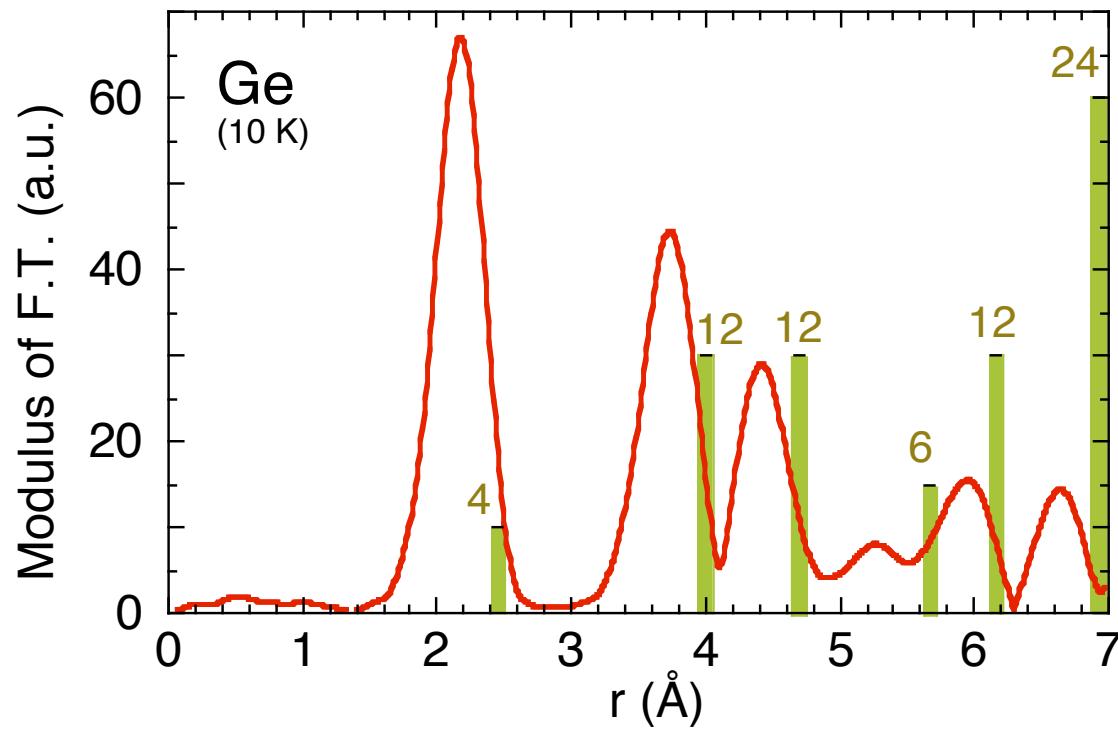
● Fourier transforms



32 - Germanium: diamond structure

Paolo
Fornasini
Univ. Trento

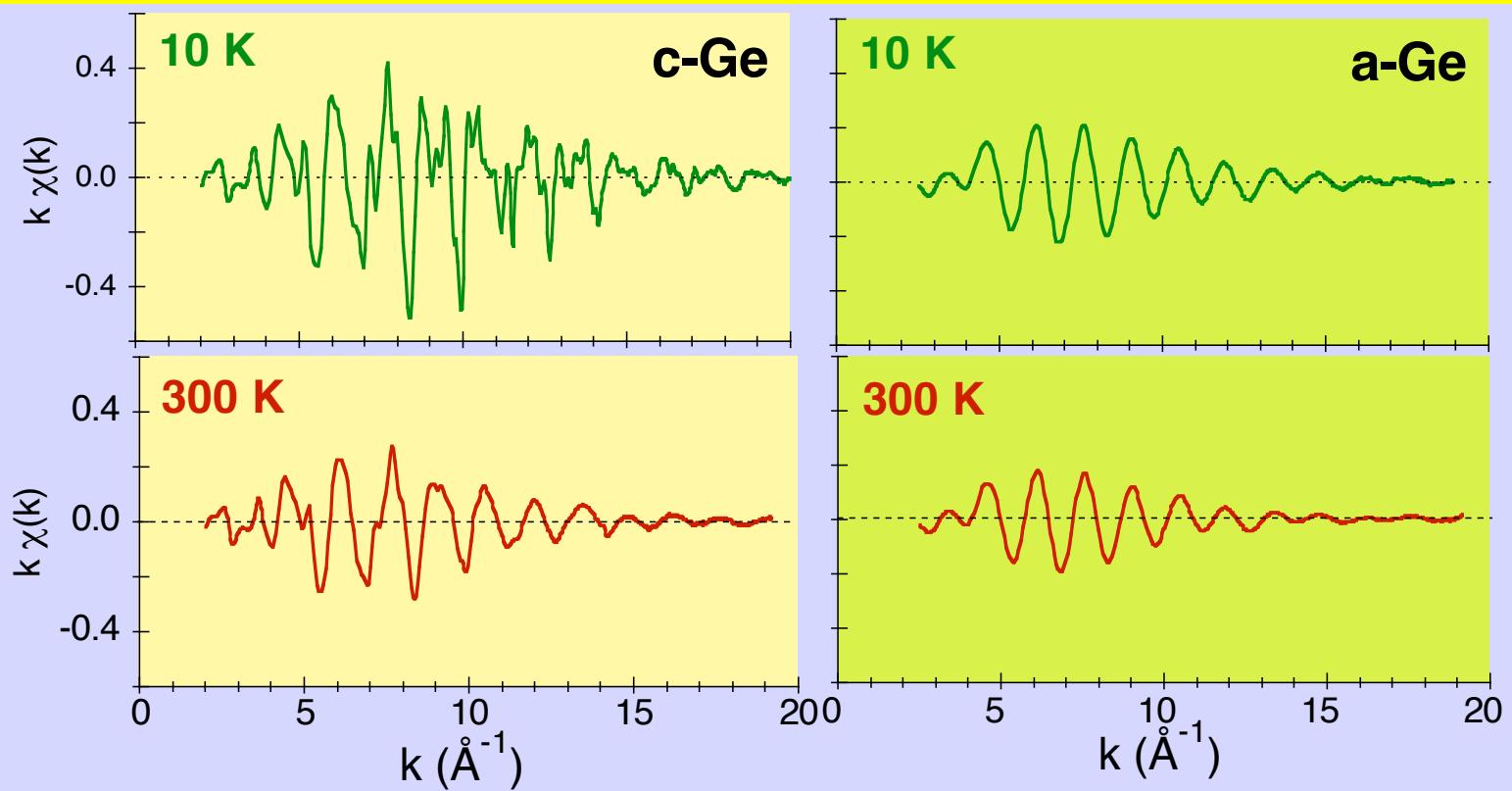
i	N _i		R _i (Å)
1	4	$a(\sqrt{3})/4$	2.45
2	12	$a/\sqrt{2}$	4.00
3	12	$a(\sqrt{11})/4$	4.69
4	6	a	5.66
5	12	$a(\sqrt{19})/4$	6.16
6	24	$a(\sqrt{6})/2$	6.93



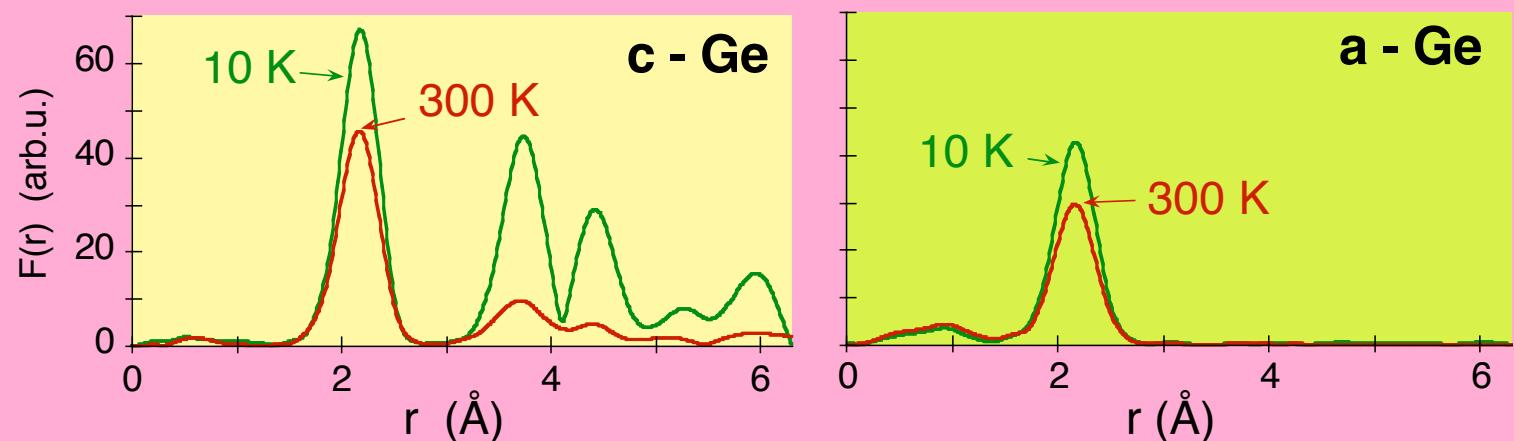
32-Ge: crystalline and amorphous

Paolo
Fornasini
Univ. Trento

EXAFS
signals



Fourier
transforms

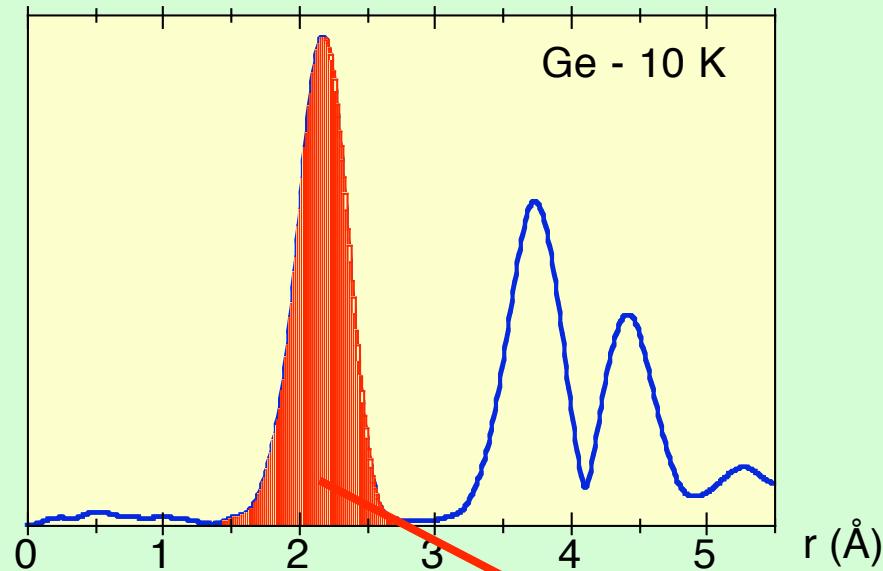


EXAFS data analysis

- ♠ First shell analysis

1st-shell Fourier back-transform

Paolo
Fornasini
Univ. Trento

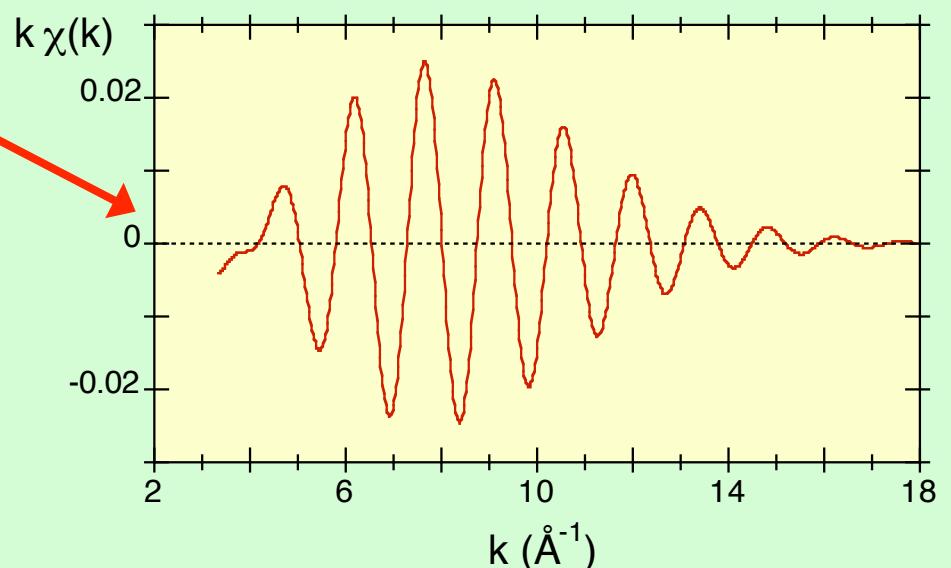


$$\chi'(k) = (2/\pi) \int_{r_{\min}}^{r_{\max}} F(r) W'(r) e^{-2ikr} dr$$



- No peak superposition
- No Multiple Scattering
- F.T. artifacts

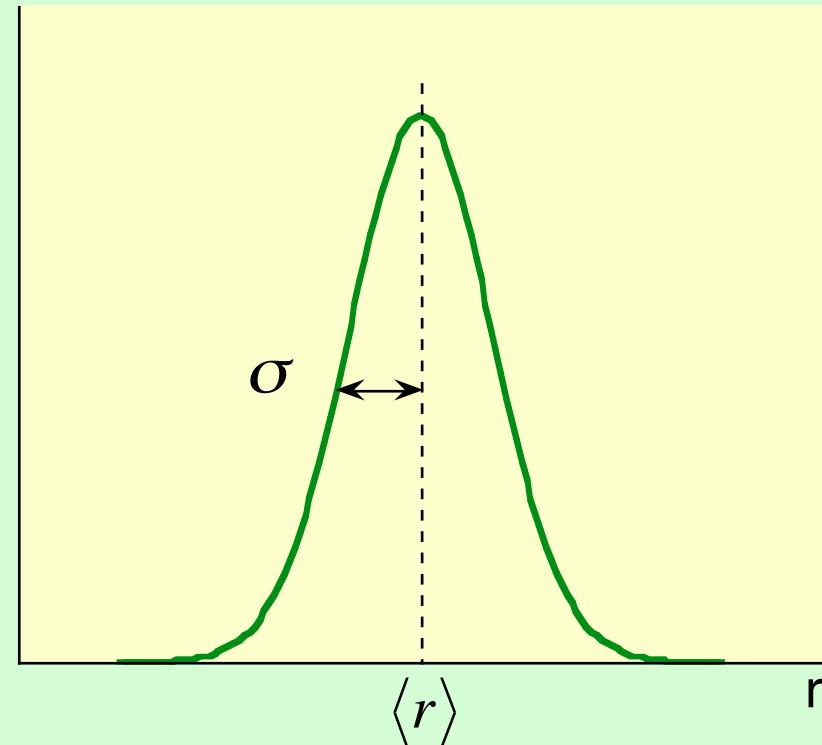
First-shell contribution



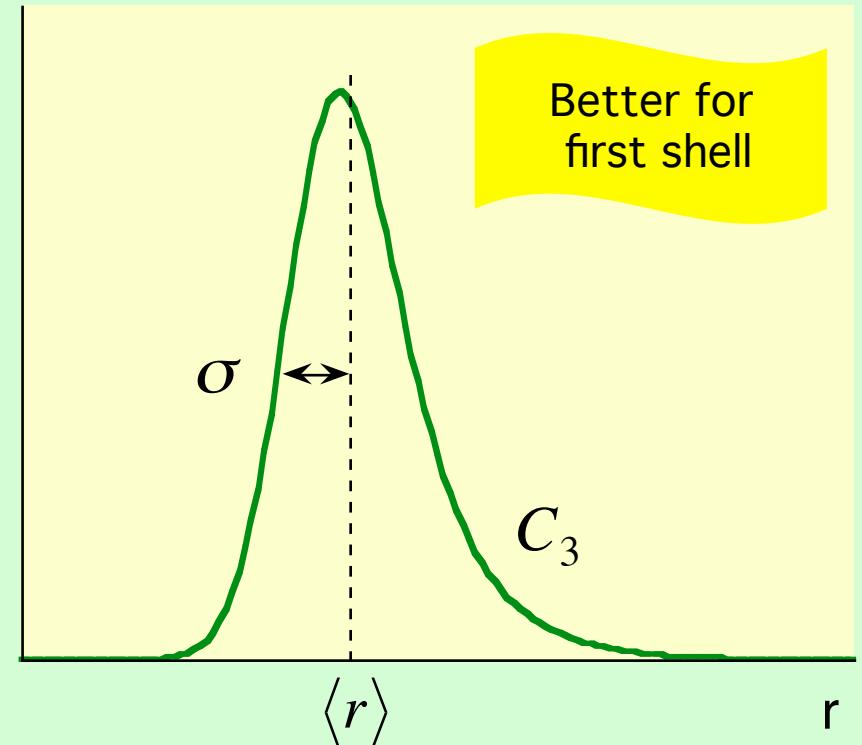
1st-shell distribution of distances

Paolo
Fornasini
Univ. Trento

Gaussian approximation



Asymmetric distribution

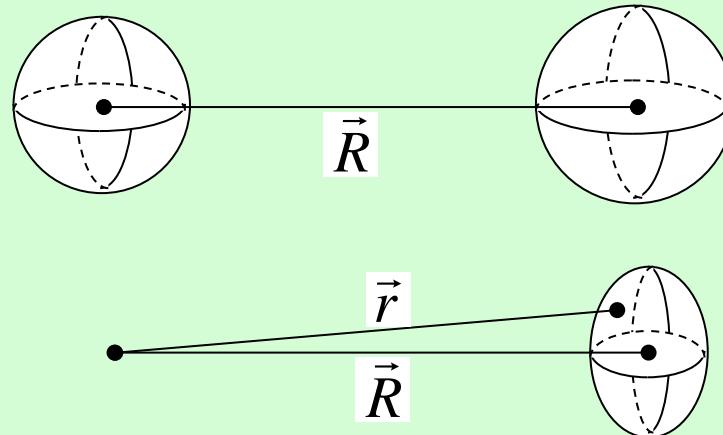


$$\sigma^2 = \langle (r - \langle r \rangle)^2 \rangle$$

$$C_3 = \langle (r - \langle r \rangle)^3 \rangle$$

EXAFS distance

Paolo
Fornasini
Univ. Trento



EXAFS, diffuse scattering

$$\langle r \rangle = \langle |\vec{r}_b - \vec{r}_a| \rangle$$

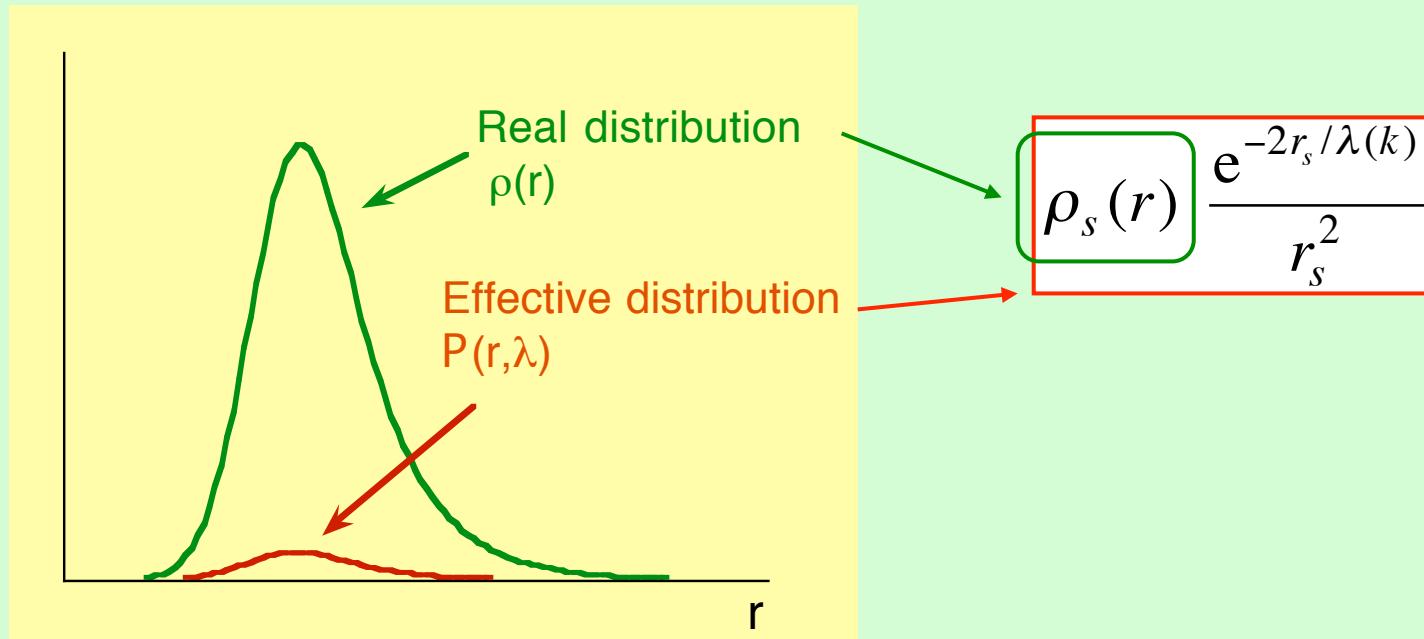
Bragg diffraction, dilatometry

$$R = \left| \langle \vec{r}_b \rangle - \langle \vec{r}_a \rangle \right|$$

$$\langle r \rangle > R$$

Real and effective distributions

Paolo
Fornasini
Univ. Trento



$$\langle r \rangle_{\text{eff}} = \langle r \rangle_{\text{real}} - \frac{2\sigma^2}{\langle r \rangle} \left(1 - \frac{\langle r \rangle}{\lambda} \right)$$

$$C_1 \quad \langle r \rangle$$

EXAFS for first shell

Paolo
Fornasini
Univ. Trento

Approx.: Single Scattering
Plane waves

- Theory (interaction potentials + scattering theory)
- Experiment (reference samples)

Inelastic
terms

Back-scattering
amplitude

Total
phase-shift

$$k \chi(k) = \frac{S_0^2 e^{-2C_1/\lambda}}{C_1^2} |f(k, \pi)| N \exp[-2k^2\sigma^2] \sin\left[2kC_1 - \frac{4}{3}k^3C_3 + \phi(k)\right]$$

Coordination number

N

Debye-Waller

σ^2

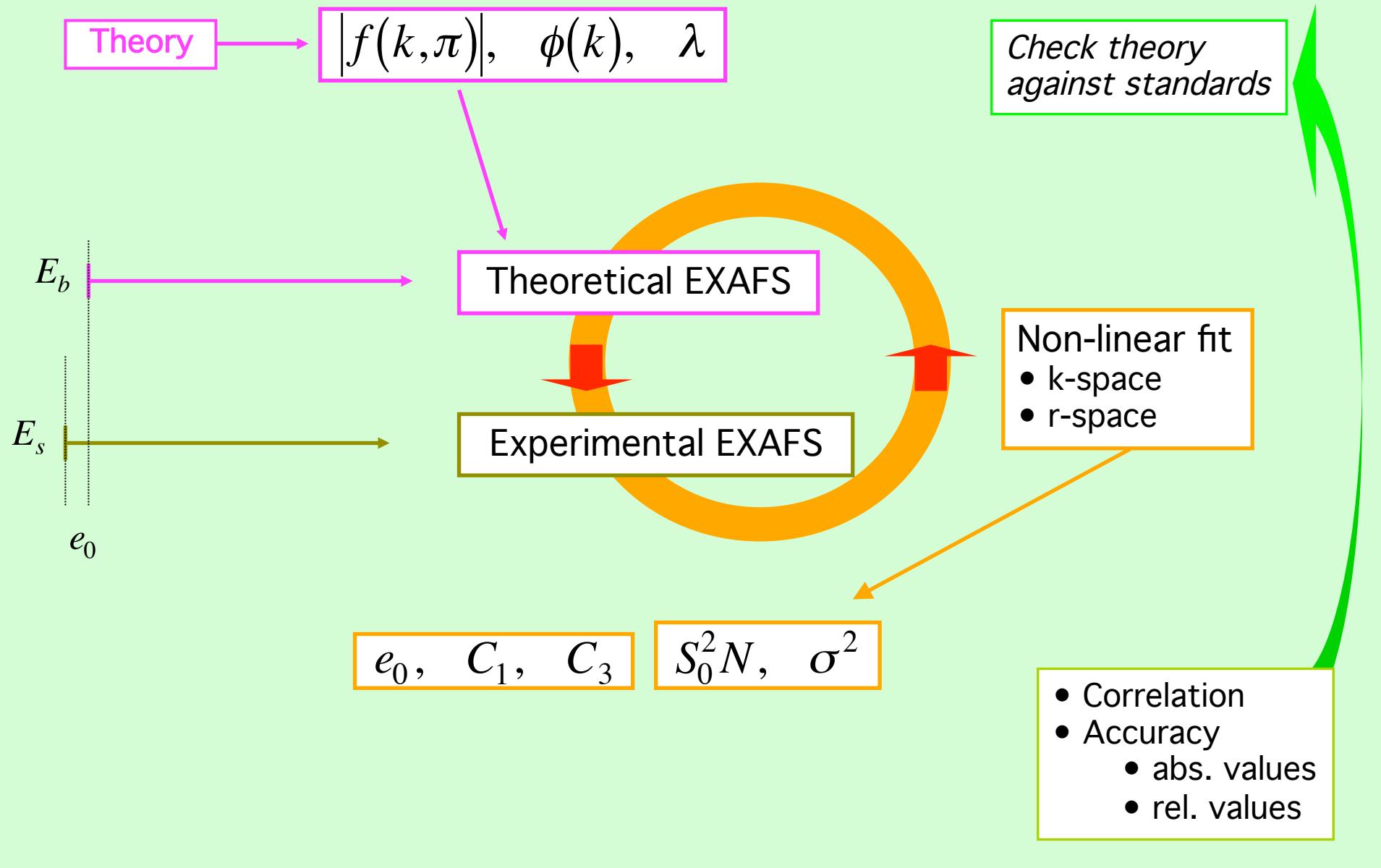
Average distance and asymmetry

C_1

C_3

Analysis - non-linear fitting method

Paolo
Fornasini
Univ. Trento



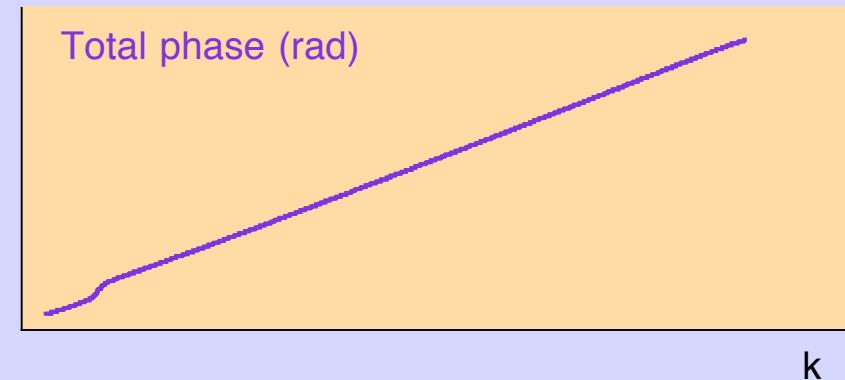
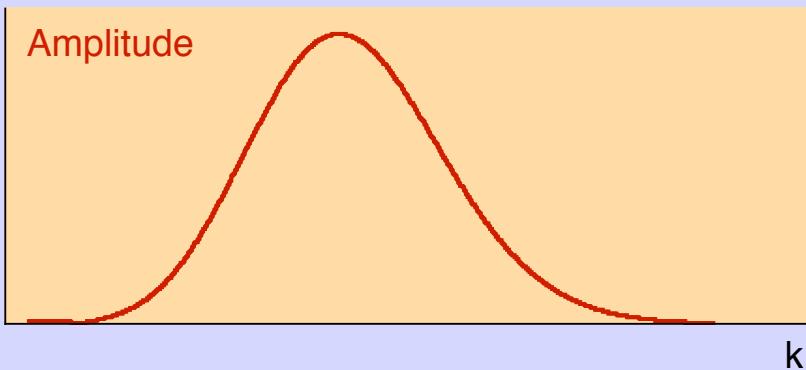
EXAFS data analysis

- ♠ 1st shell phase and amplitude analysis

Separate evaluation of phase and amplitude

Paolo
Fornasini
Univ. Trento

From complex Fourier transform and back-transform



$$A(k) = \frac{S_0^2 e^{-2C_1/\lambda}}{C_1^2} |f(k, \pi)| N \exp\left[-2k^2 C_2 + \frac{2}{4} k^4 C_4 + \dots\right]$$

?

$$\Phi(k) = 2kC_1 - \frac{4}{3}k^3 C_3 + \dots + \phi(k)$$

?

“Ratio method” - phases

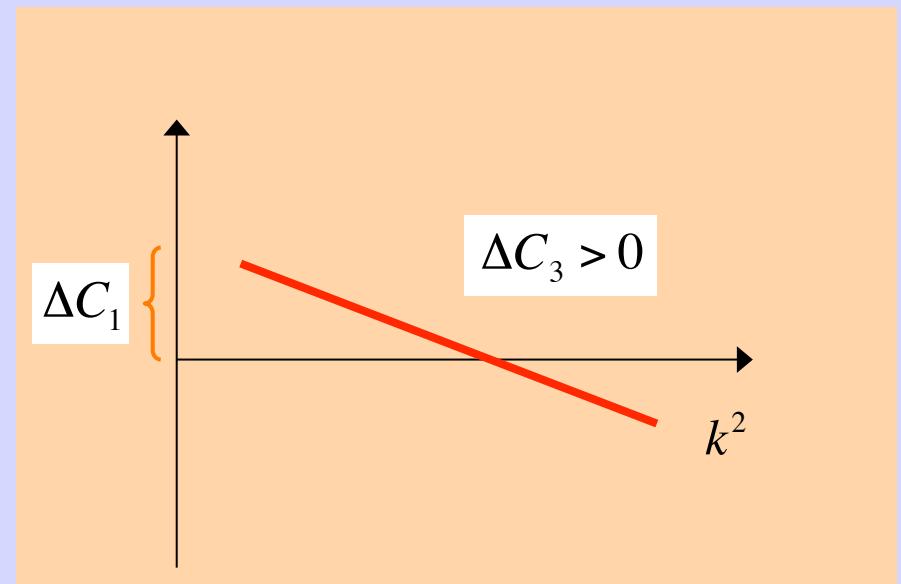
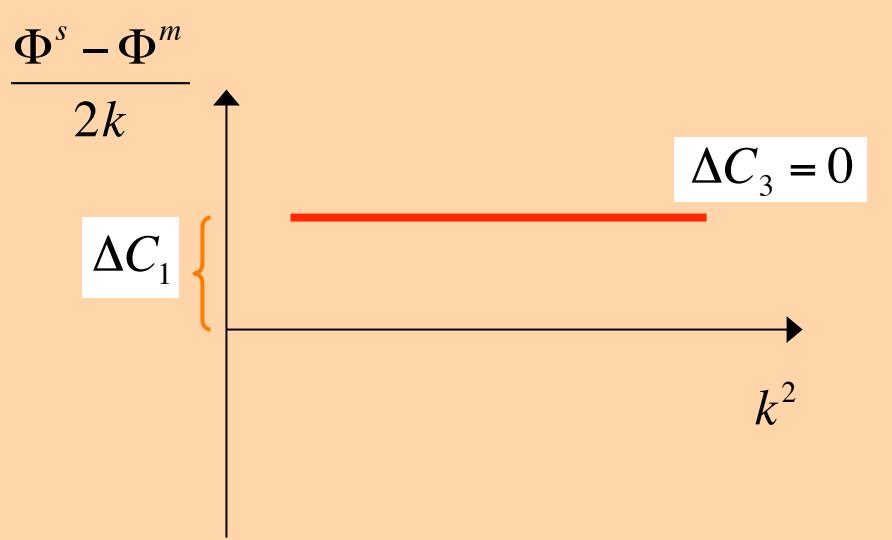
Paolo
Fornasini
Univ. Trento

If suitable model compound available ...

s = sample
 m = model

$$\Phi^s - \Phi^m = 2k(C_1^s - C_1^m) - \frac{4}{3}k^3(C_3^s - C_3^m)$$

$$\frac{\Phi^s - \Phi^m}{2k} = (C_1^s - C_1^m) - \frac{4}{3}k^2(C_3^s - C_3^m)$$



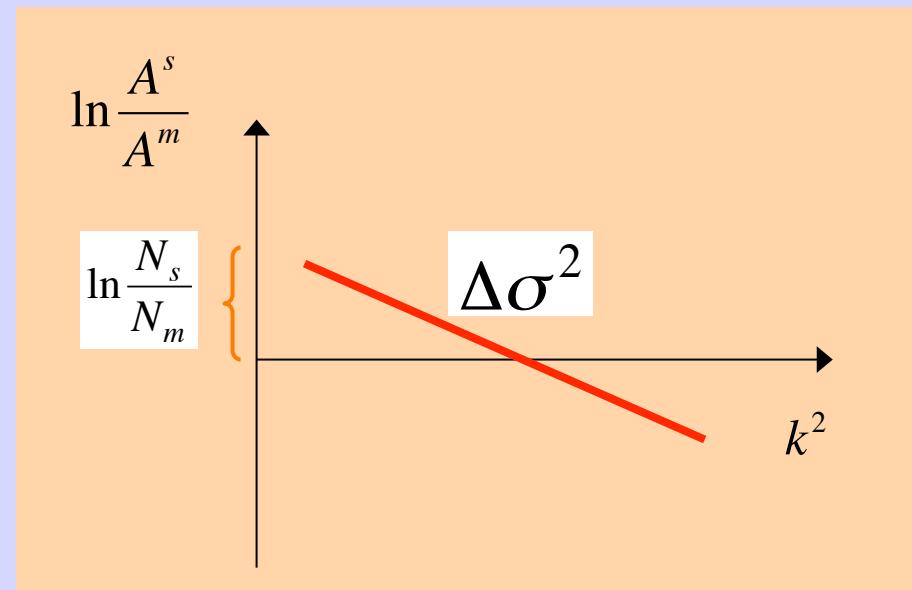
“Ratio method” - amplitudes

If suitable model compound available ...

s = sample
 m = model

$$\ln \frac{A^s}{A^m} \approx \ln \frac{N^s}{N^m} - 2k^2(\sigma_s^2 - \sigma_m^2)$$

intercept Slope



“Ratio method” - results

Paolo
Fornasini
Univ. Trento

Ratio of coordination numbers

$$\frac{N^s}{N^m}$$

Relative values :

$$\begin{cases} \Delta C_1 \\ \Delta \sigma^2 \\ \Delta C_3 \end{cases}$$

→ Thermal expansion

Width

Asymmetry

- Absolute values ?
- Physical meaning ?

“Ratio method” - OK when ...

- Only Single Scattering
- Only one distance
- Suitable reference model available

$$\chi(k) = A(k) \sin \Phi(k)$$



- First coordination shell, one distance
- Same sample-model chemical environment
T or p-dep. Studies
Amorphous .vs. crystalline samples



- 1st shell, different sample-model chemical environment
- Separated outer shells, weak M.S.



- 1st shell in bcc structure (2 distances)
- Superposed outer shells
- M.S. contributions

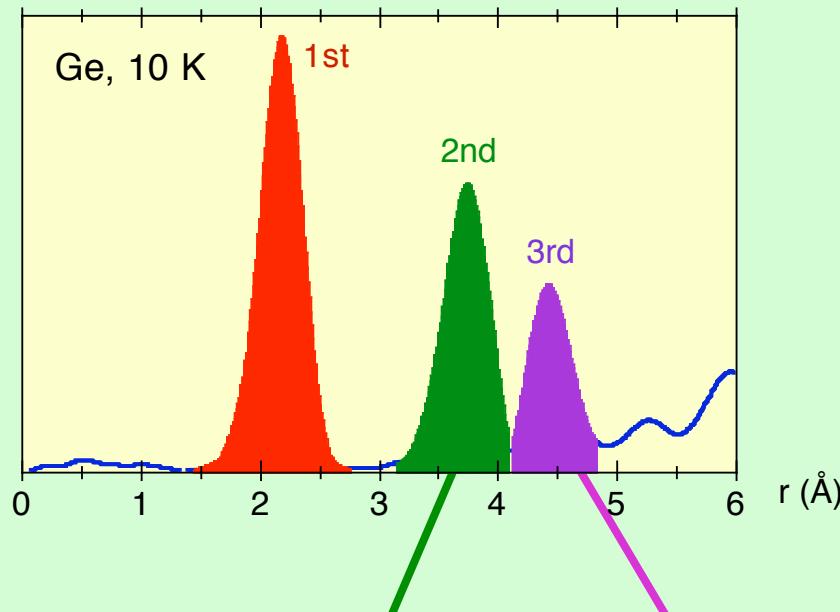
Depending on
sought accuracy

EXAFS data analysis

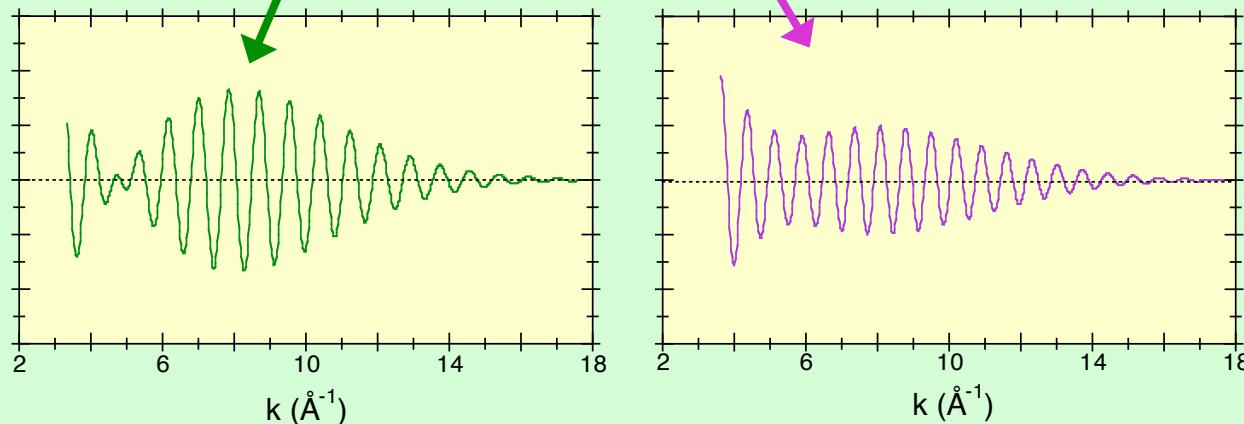
- ♠ Outer shells analysis

Analysis - Outer shells back-transform r→k

Paolo
Fornasini
Univ. Trento



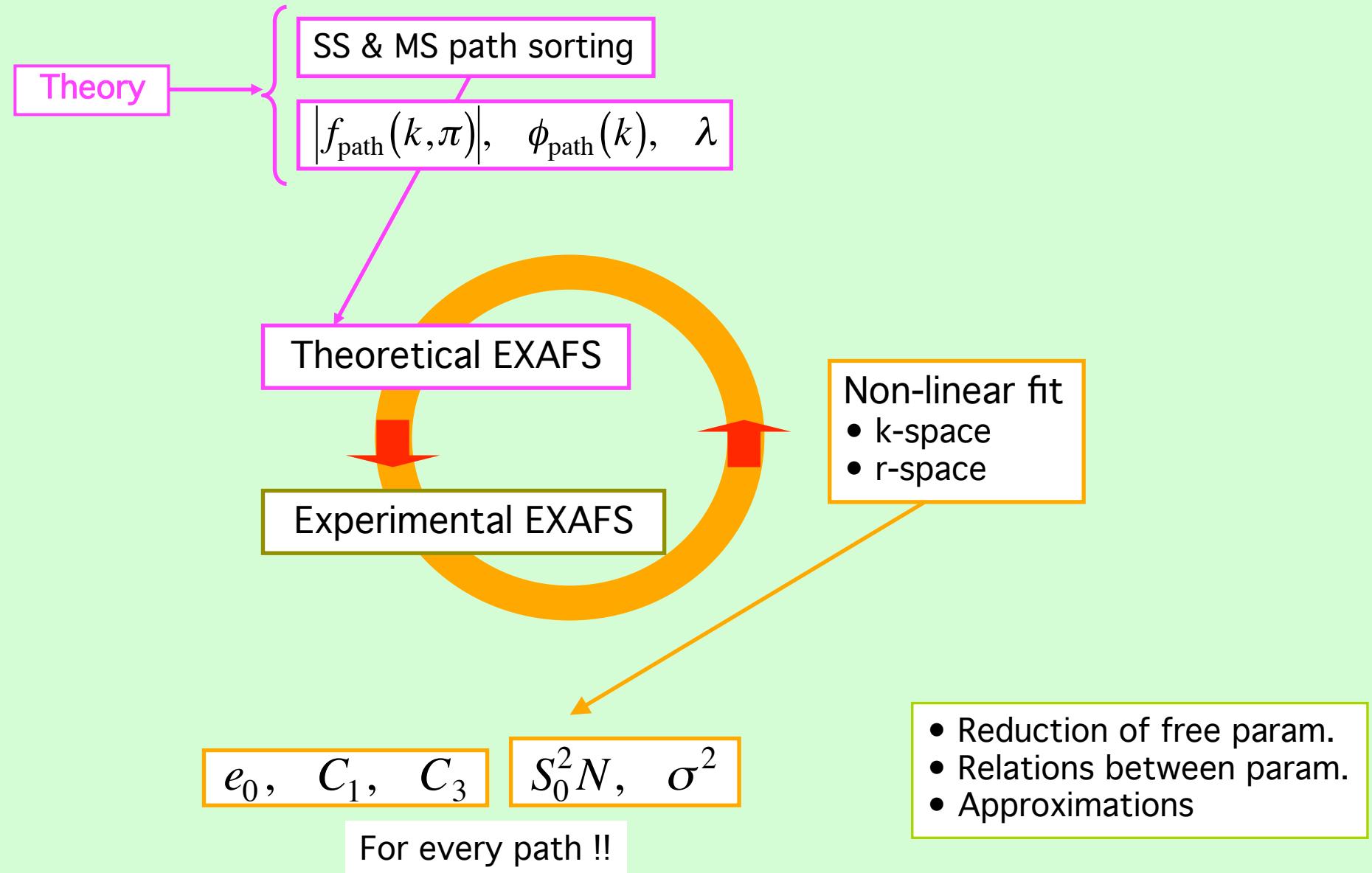
$$\chi'(k) = (2/\pi) \int_{r_{min}}^{r_{max}} F(r) W(r) e^{-2ikr} dr$$



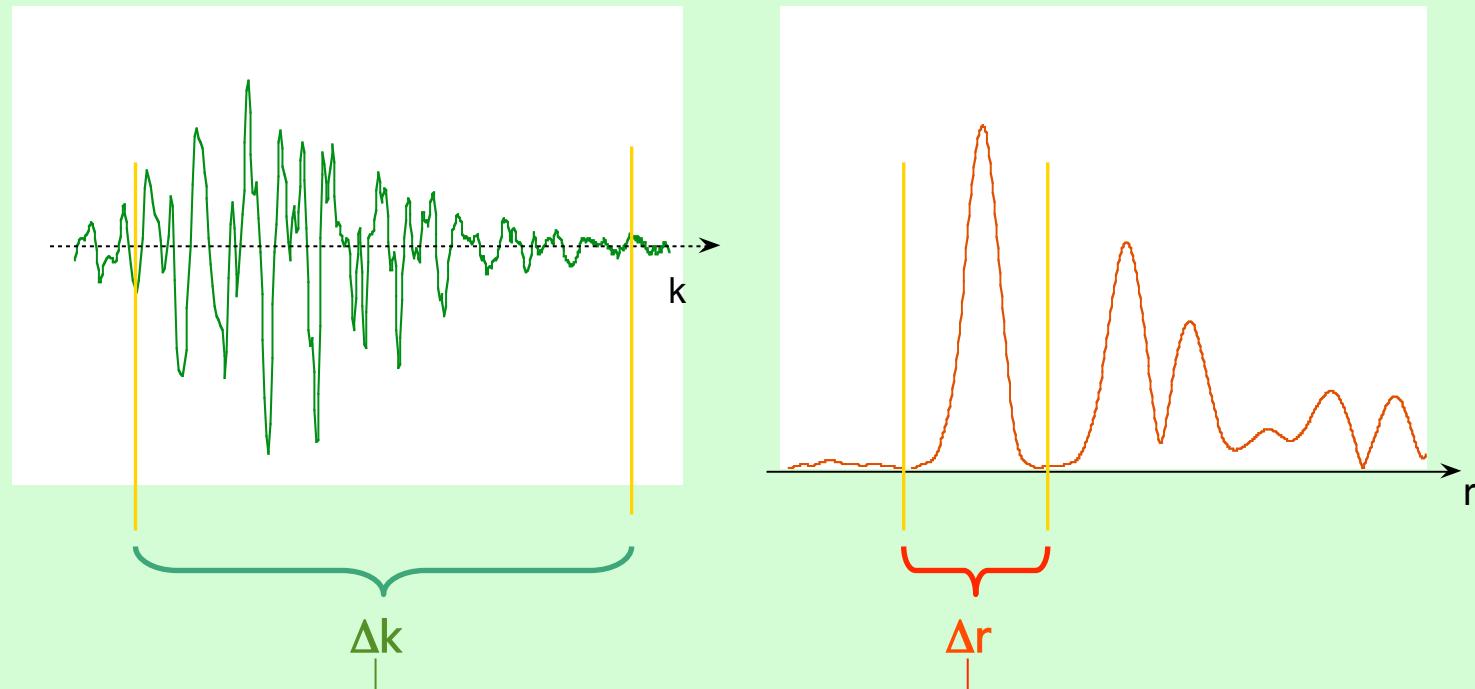
Sometimes OK
for D.W. factors

Analysis - non-linear fitting of outer shells

Paolo
Fornasini
Univ. Trento



Analysis - Independent parameters



$$N_{\text{ind}} = \frac{2 \Delta k \Delta r}{\pi} + 1$$

Maximum number
of independent parameters



Correlation of
parameters

EXAFS data analysis

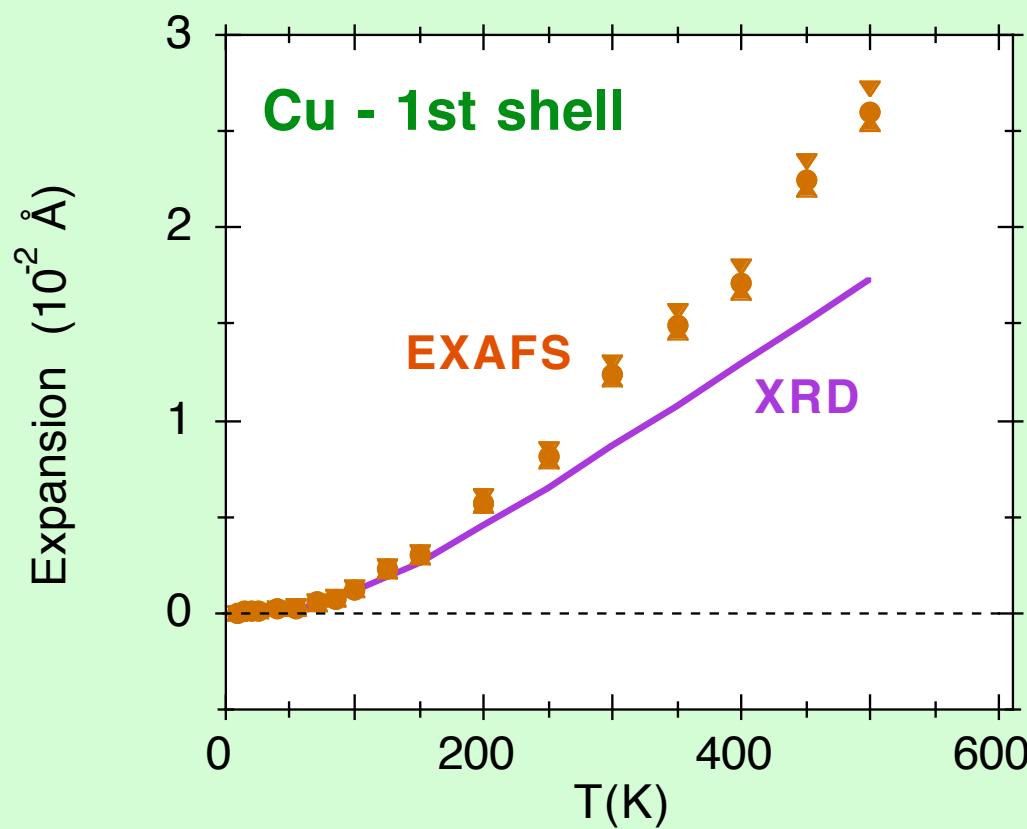
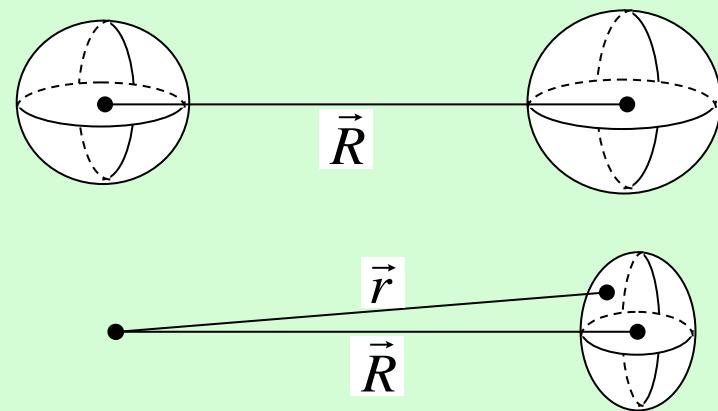
♠ Interpretation of results

Thermal expansion

Paolo
Fornasini
Univ. Trento

Bragg diffraction → lattice expansion

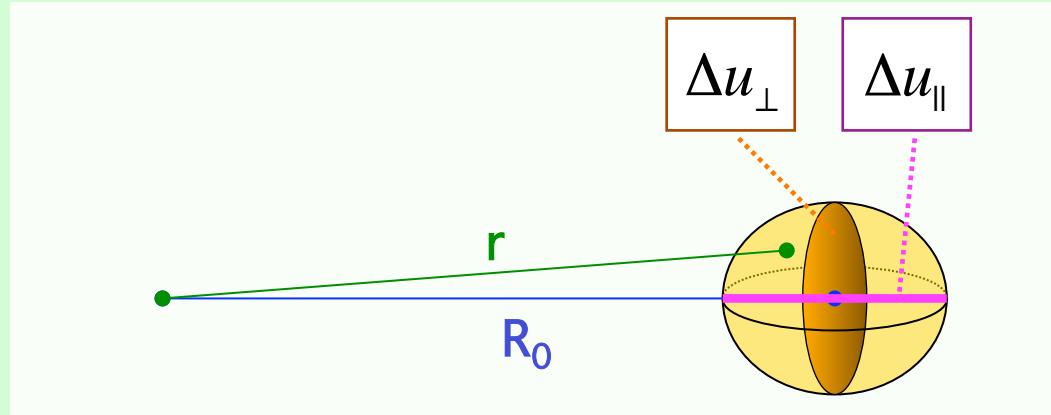
EXAFS → bond expansion



Complementarity EXAFS - XRD:
Info on perpendicular vibrations

Mean Square Relative Displacements

Paolo
Fornasini
Univ. Trento



Mean values (harmonic approximation)

$$\langle \Delta u_{||} \rangle = 0$$

$$\langle r \rangle \approx R_0 + \frac{\langle \Delta u_{\perp}^2 \rangle}{2R_0}$$

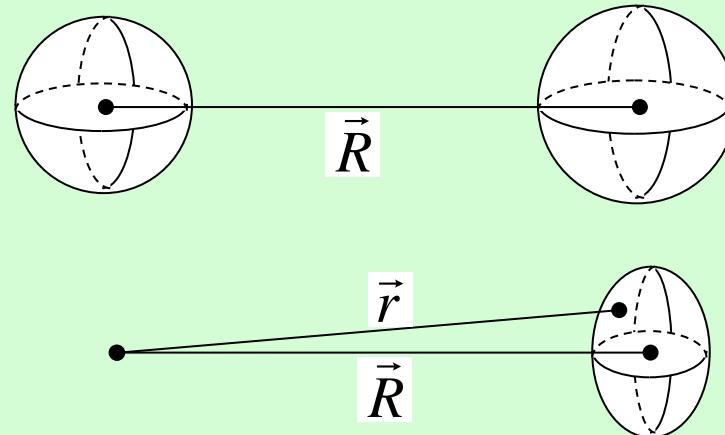
$$\sigma^2 \approx \langle \Delta u_{||}^2 \rangle$$

MSRD_⊥

MSRD_{||}

Bond distances

Paolo
Fornasini
Univ. Trento



EXAFS, diffuse scattering

$$\langle r \rangle = \left\langle \left| \vec{r}_b - \vec{r}_a \right| \right\rangle$$

“True” bond length

“True” bond expansion

Bragg diffraction, dilatometry

$$R = \left| \left\langle \vec{r}_b \right\rangle - \left\langle \vec{r}_a \right\rangle \right|$$

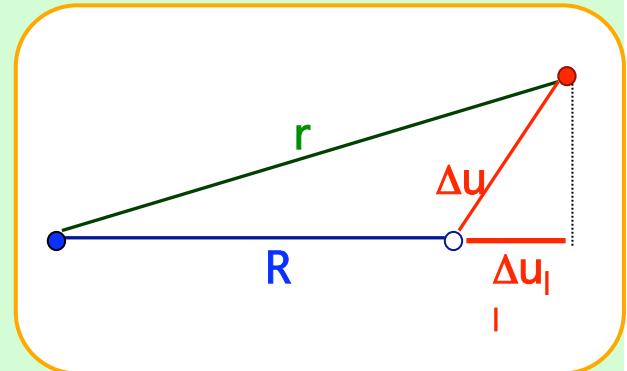
“Apparent” bond length

“Apparent” bond expansion

EXAFS Debye-Waller factor

Paolo
Fornasini
Univ. Trento

$$\sigma^2 \approx MSRD = \langle \Delta u_{\parallel}^2 \rangle = \left\langle [\hat{R} \cdot (\vec{u}_b - \vec{u}_a)]^2 \right\rangle$$
$$= \left\langle (\hat{R} \cdot \vec{u}_b)^2 \right\rangle + \left\langle (\hat{R} \cdot \vec{u}_a)^2 \right\rangle - 2 \left\langle (\hat{R} \cdot \vec{u}_b)(\hat{R} \cdot \vec{u}_a) \right\rangle$$



MSD
Mean Square
Displacements

DCF
Displacement
Correlation Function

Thermal factors from Bragg diffraction:

$$U_{\parallel}^a = \left\langle (\hat{R} \cdot \vec{u}_a)^2 \right\rangle = (\sigma_{\parallel}^a)^2$$

$$U_{\parallel}^b = \left\langle (\hat{R} \cdot \vec{u}_b)^2 \right\rangle = (\sigma_{\parallel}^b)^2$$



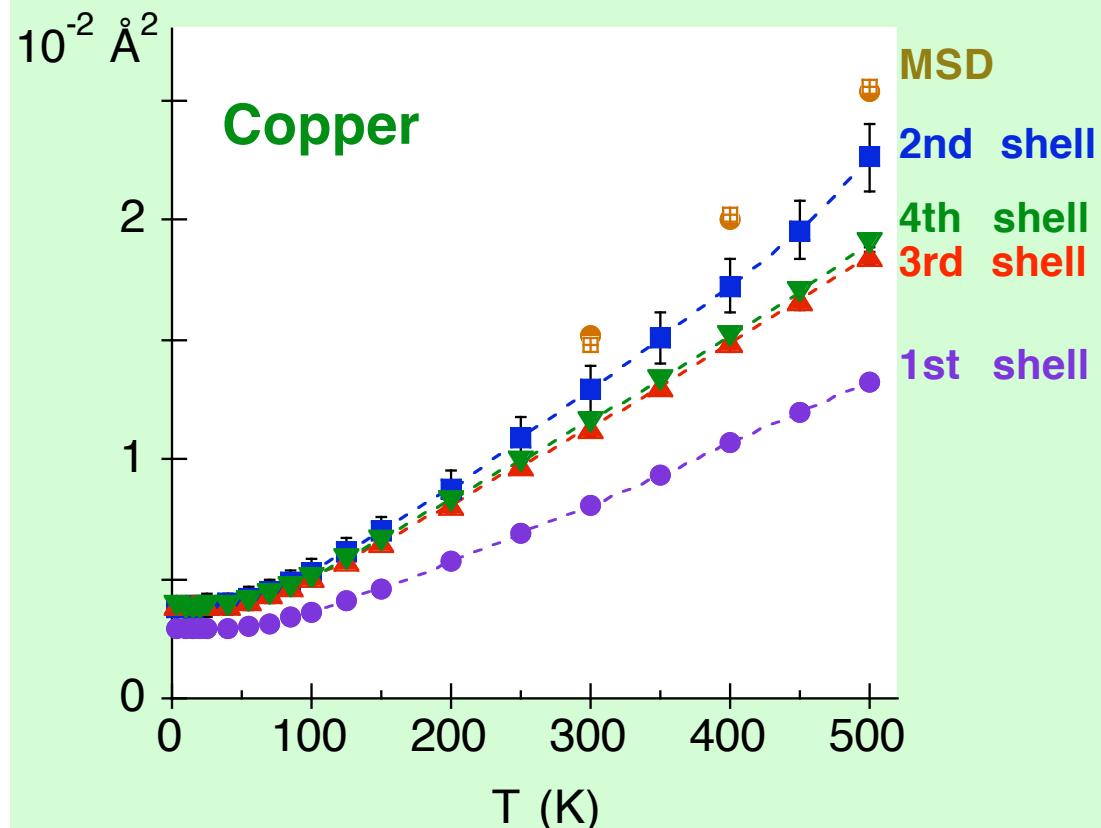
Debye-Waller factor – Debye model

Paolo
Fornasini
Univ. Trento

Absolute values
from fit to theoretical models

Debye correlated model
(OK for metals)

$$\sigma^2 = \frac{3\hbar}{2\omega_D^3\mu} \int_0^{\omega_D} \omega \coth \frac{\hbar\omega}{2k_B T} \left[1 - \frac{\sin(\omega q_D R)}{\omega q_D R} \right] d\omega$$

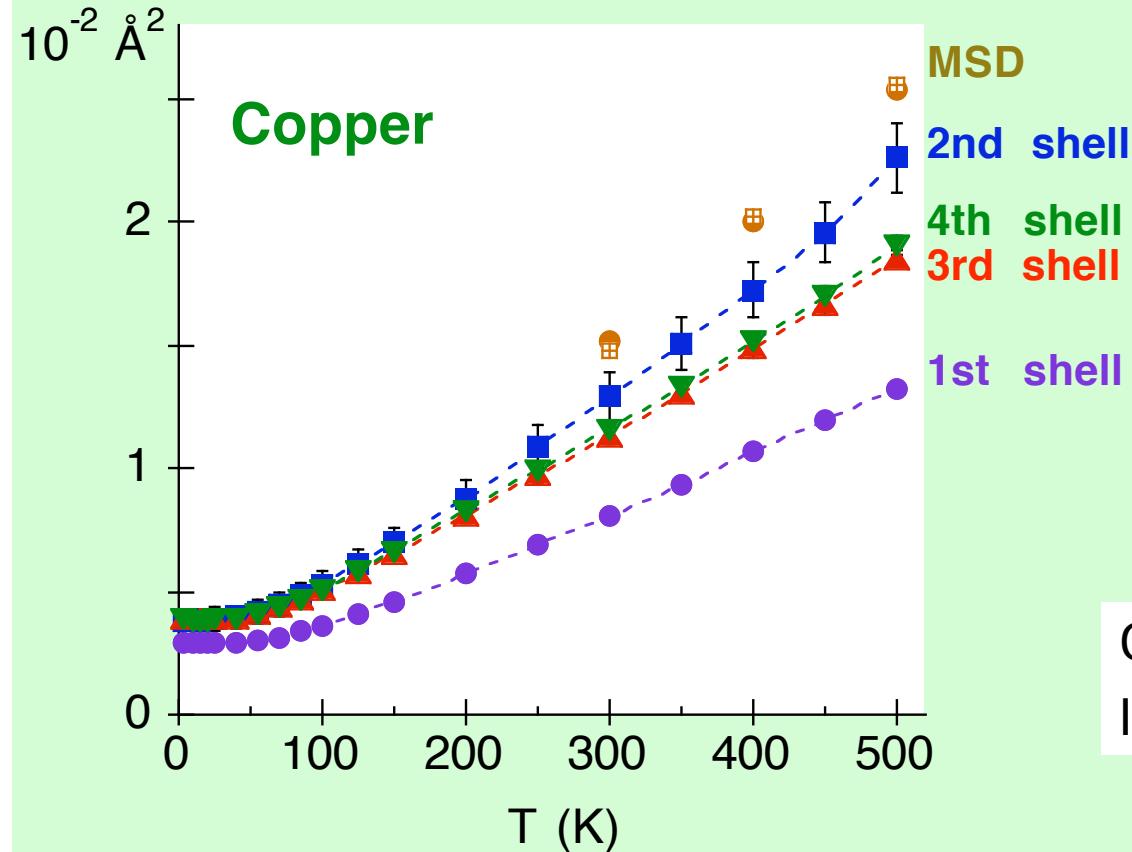
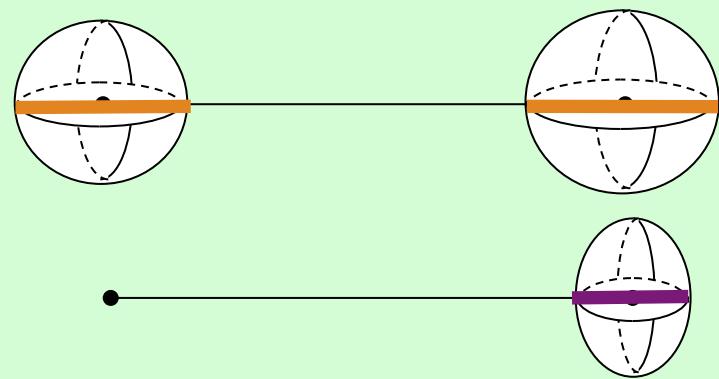


Correlation

Paolo
Fornasini
Univ. Trento

Bragg diffraction → absolute vibrations

EXAFS → relative vibrations

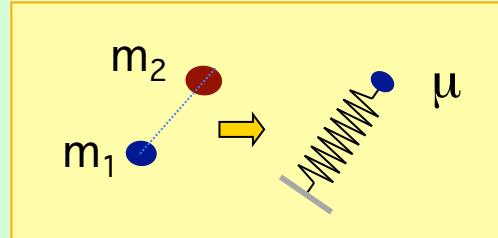


(along the bond)

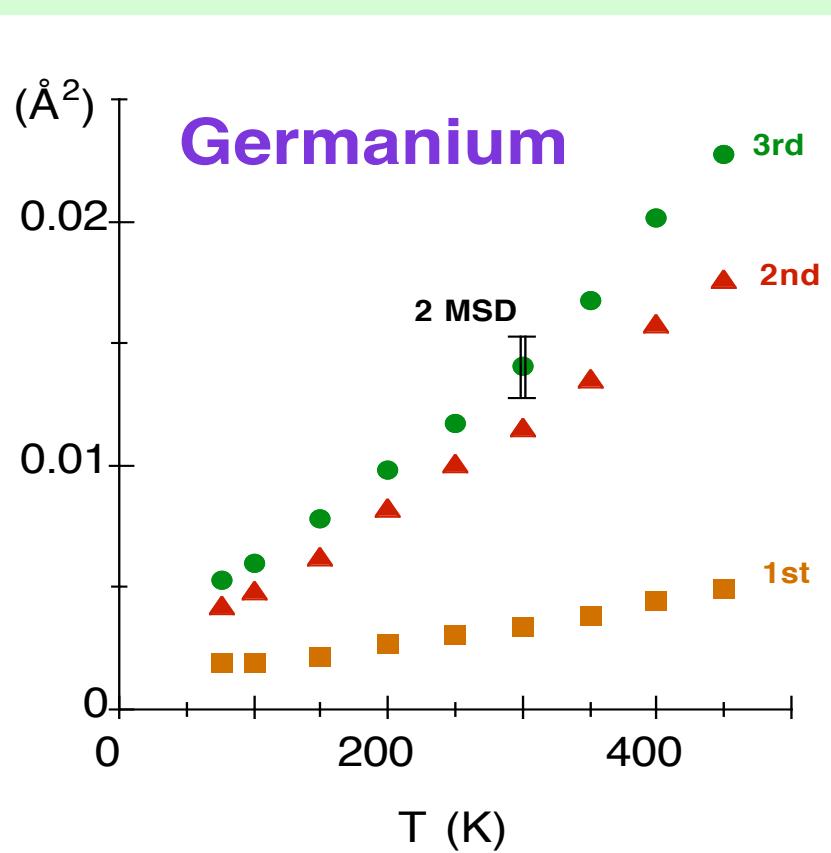
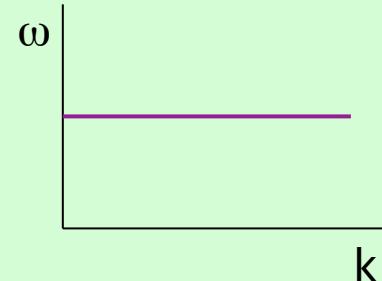
Complementarity EXAFS - XRD:
Info on vibrations correlation

Debye-Waller factor – Einstein model

Paolo
Fornasini
Univ. Trento



$$\langle \Delta u_{\parallel}^2 \rangle = \frac{\hbar}{2\mu\omega_E} \coth\left(\frac{\hbar\omega_E}{2kT}\right)$$



Non-Bravais crystals

Debye

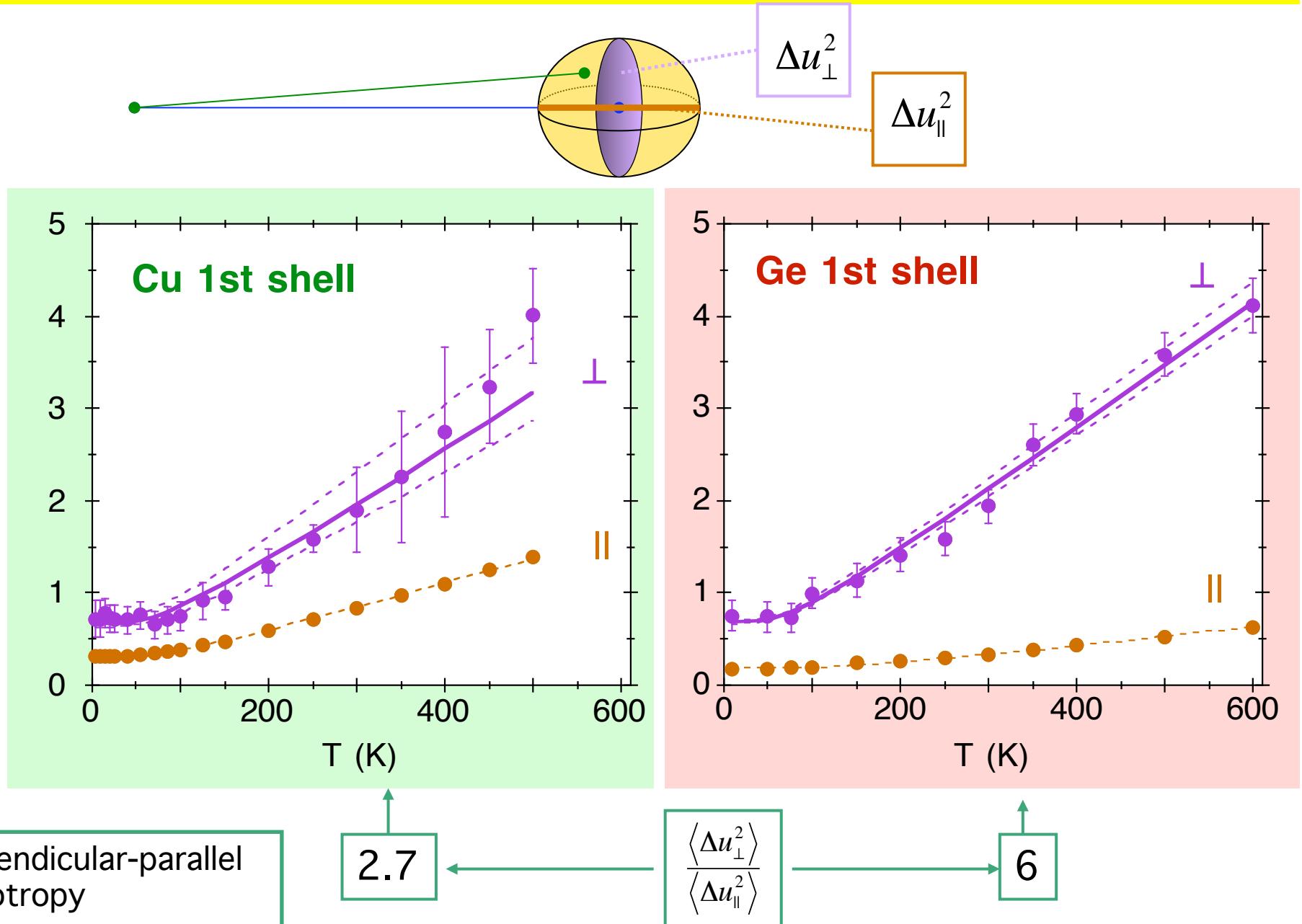
$$\begin{aligned}\theta_D &= 354 \text{ K} \\ \theta_M &= 290 \text{ K} \\ \theta_3 &= 290 \text{ K} \\ \theta_2 &= 299 \text{ K} \\ \theta_1 &= 460 \text{ K}\end{aligned}$$

Einstein

$$\begin{aligned}\nu &= \omega / 2\pi \quad (\text{THz}) \\ \nu_3 &= 3.95 \\ \nu_2 &= 4.21 \\ \nu_1 &= 7.55 \\ k &= \mu\omega^2 \quad (\text{eV}/\text{\AA}^2) \\ k_3 &= 2.18 \\ k_2 &= 2.48 \\ k_1 &= 8.15\end{aligned}$$

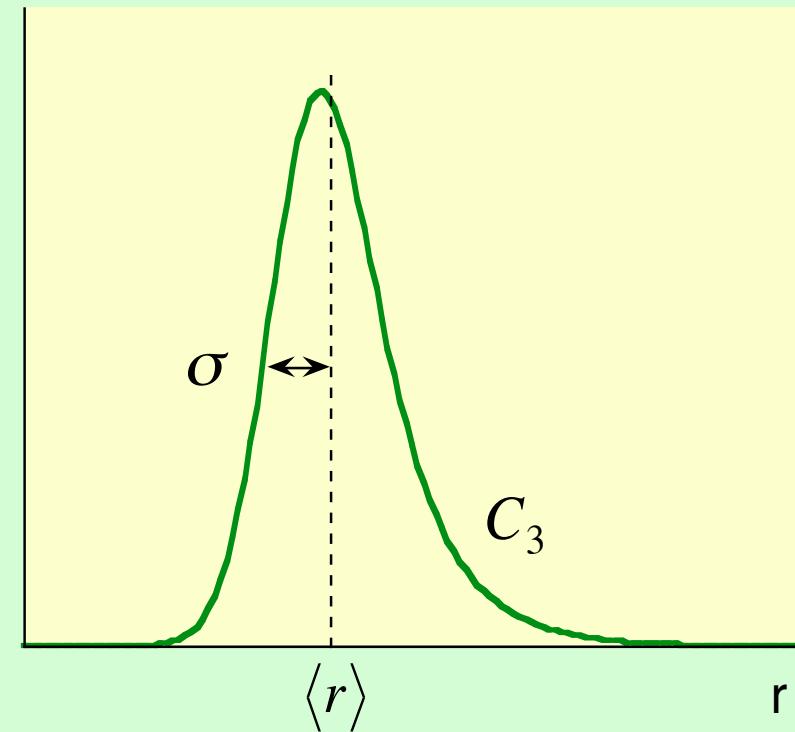
Parallel and perpendicular MSRD

Paolo
Fornasini
Univ. Trento

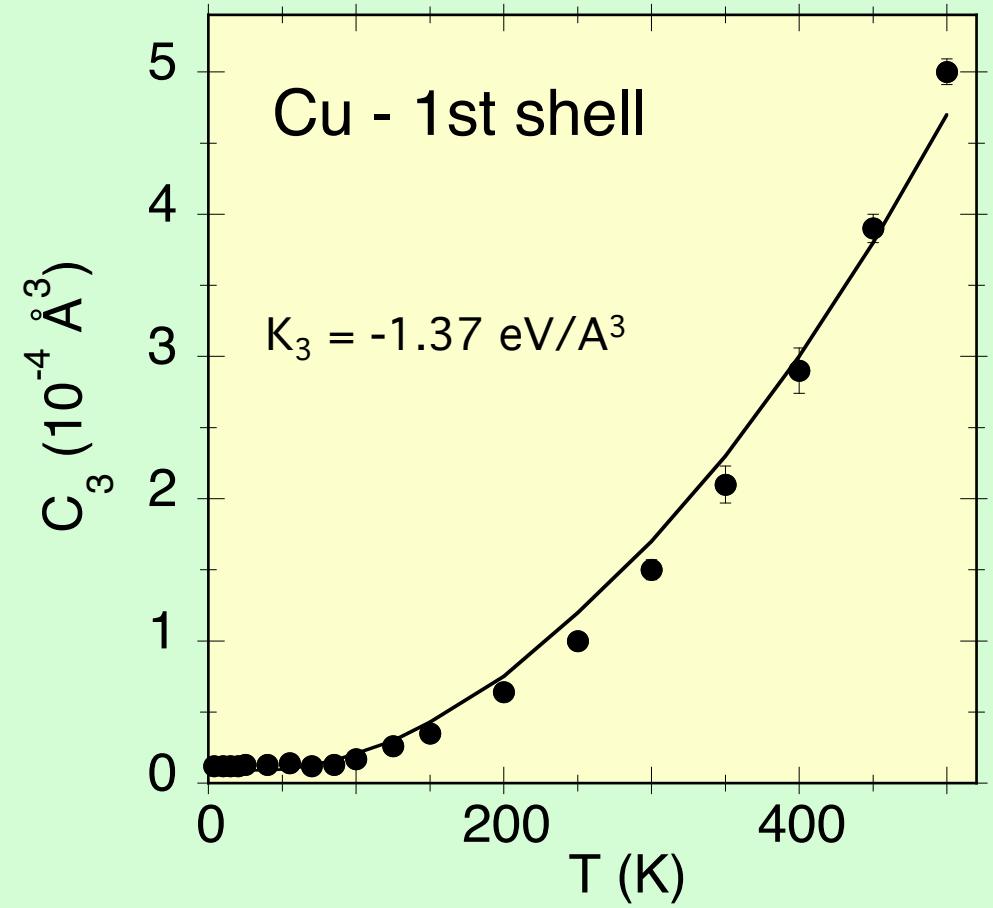


First-shell distribution asymmetry

Paolo
Fornasini
Univ. Trento



$$C_3^*(T) \approx -\frac{2k_3\sigma_0^4}{k_0} \frac{z^2 + 10z + 1}{(1-z)^2}$$



The end



- G.S. Brown and S. Doniach: *The principles of X-ray Absorption Spectroscopy*, in *Synchrotron Radiation research*, ed. by E. Winick and S. Doniach, Plenum (New York, 1980) [A general introduction to X-Ray absorption]
- P.A. Lee, P.H. Citrin, P. Eisenberger, and B.M. Kincaid, Rev. Mod. Phys. 53, 769 (1981) [Review paper on EXAFS]
- T.M. Hayes and J. B. Boyce, Solid State Physics 27, 173 (1982) [Review paper on EXAFS]
- B.K. Teo: *EXAFS, basic principles and data analysis*, Springer (Berlin, 1986) [Introductory book on EXAFS]
- D.C. Koningsberger and R. Prins eds.: *X-ray Absorption: principles and application techniques of EXAFS, SEXAFS and XANES*, J. Wiley (New York, 1988) [Introductory book on XAFS]
- M. Benfatto, C.R. Natoli, and A. Filippioni, Phys. Rev. B 40, 926 (1989) [Paper on multiple scattering calculations]
- J. Stöhr: *NEXAFS spectroscopy*, Springer (Berlin, 1996) [Book on XANES]
- J.J. Rehr and R.C. Albers, Rev. Mod. Phys. 72, 621 (2000) [Review paper on EXAFS]
- P. Fornasini, J. Phys.: Condens. Matter 13, 7859 (2001) [EXAFS and lattice dynamics]
- G. Bunker: *Introduction to XAFS*, Cambridge U.P. (2010) [Introductory book on XAFS]

XAFS Society home page: <http://www.ixasportal.net/ixas/>