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# Overview of Diffraction Limited Storage Rings

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*Diamond Light Source  
and  
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- 
- ***Introduction to DLSR***
  - ***Lattice developments***
  - ***Technical challenges***

# Brilliance and transverse coherence

Photon **flux** and **brilliance** and **coherent fraction**

$$\text{flux} = \frac{N_{\text{ph}}}{\Delta T \cdot \Delta\omega / \omega} \quad \text{brilliance} = \frac{\text{flux}}{4\pi^2 \Sigma_x \Sigma_{x'} \Sigma_y \Sigma_{y'}} \quad F = \frac{\lambda^2 / (4\pi)^2}{\Sigma_x \Sigma_{x'} \Sigma_y \Sigma_{y'}}$$

$\Sigma$ 's are the convolution of electron and photon beam size and divergence

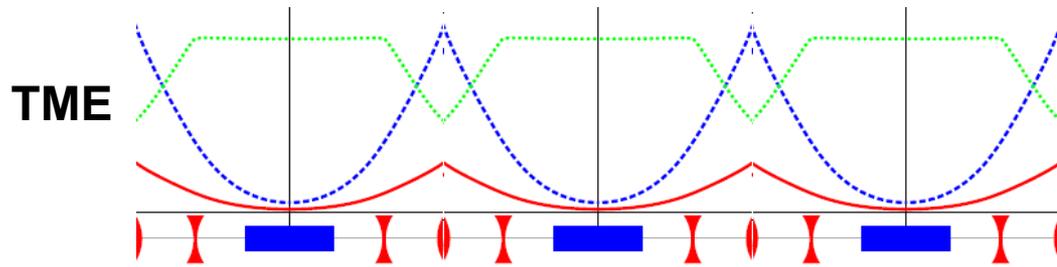
$$\Sigma_x = \sqrt{\sigma_{x,e}^2 + \sigma_{\text{ph}}^2} \quad \Sigma_{x'} = \sqrt{\sigma_{x',e}^2 + \sigma_{\text{ph}}'^2}$$

Brilliance and coherent fraction are maximised for smaller electron beam emittances until the **diffraction limit** is reached

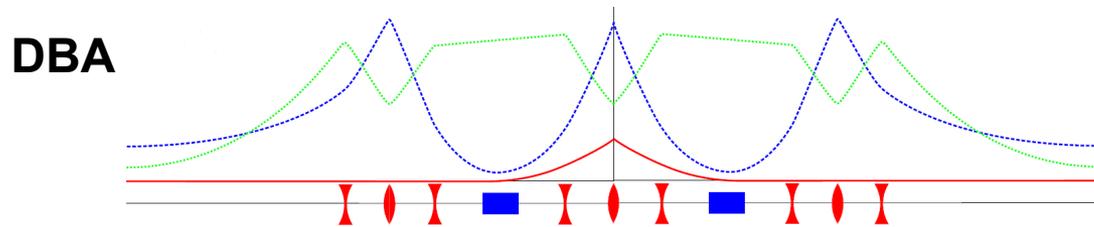
$$\varepsilon_{e^-} \leq \varepsilon_{\text{ph}} = \frac{\lambda}{4\pi}$$

~10 pm for diffraction limit at ~1 Angstrom (12.4 keV)  
~100 pm for diffraction limit at ~ 1nm (1.24 keV)

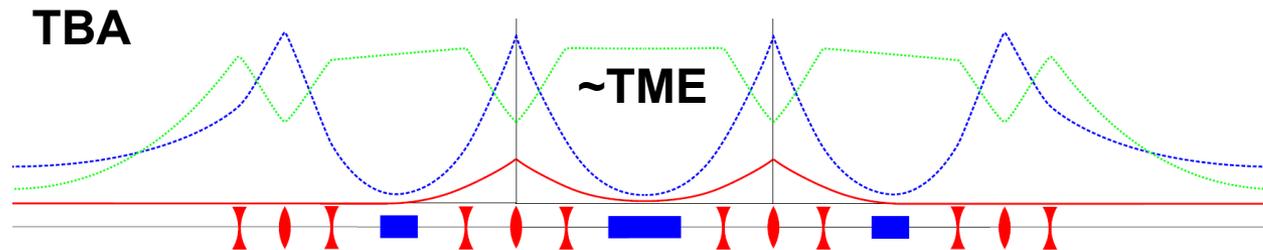
# Low emittance lattices: from TME to MBA cells



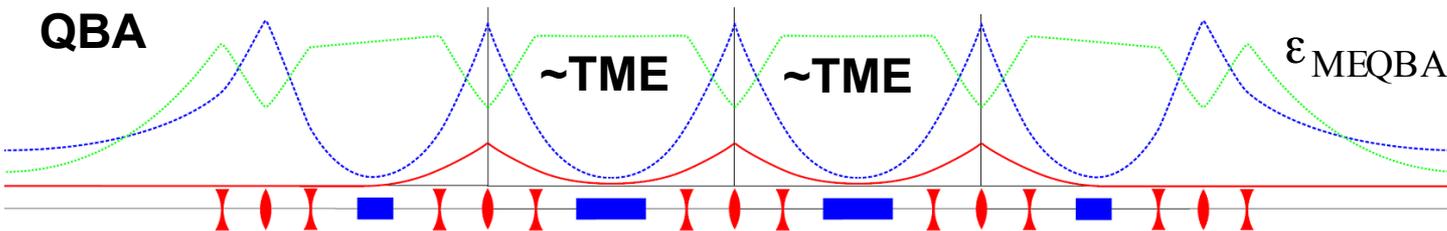
$$\epsilon_{\text{TME}} = \frac{C_q}{12\sqrt{15}} \gamma^2 \theta^3$$



$$\epsilon_{\text{MEDBA}} = \frac{C_q}{4\sqrt{15}} \gamma^2 \theta^3$$



$$\epsilon_{\text{METBA}} \approx 0.66 \epsilon_{\text{MEDBA}}$$



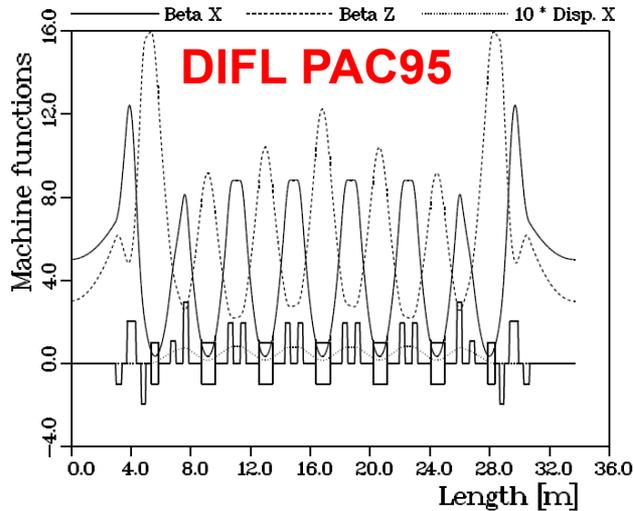
$$\epsilon_{\text{MEQBA}} \approx 0.55 \epsilon_{\text{MEDBA}}$$

$$\epsilon_{\text{MEMBA}} = C_q F \gamma^2 \theta^3$$

S.Y. Lee, PRE 54, 1940, (1996)

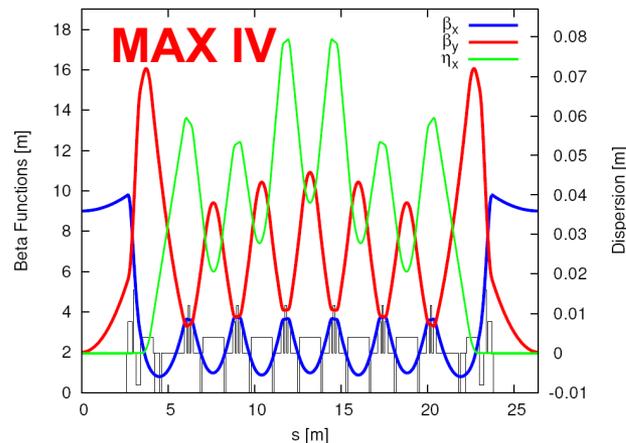
S.Y. Lee, Accelerator Physics, World Scientific  
A. Streun, CAS, (2003)

# DIFfraction Limited light source (DIFL)



~20 years from the first proposal

- D. Einfeld et al. NIMA 1993  
PAC1995  
to the first beam
- M. Eriksson et al. IPAC 2016

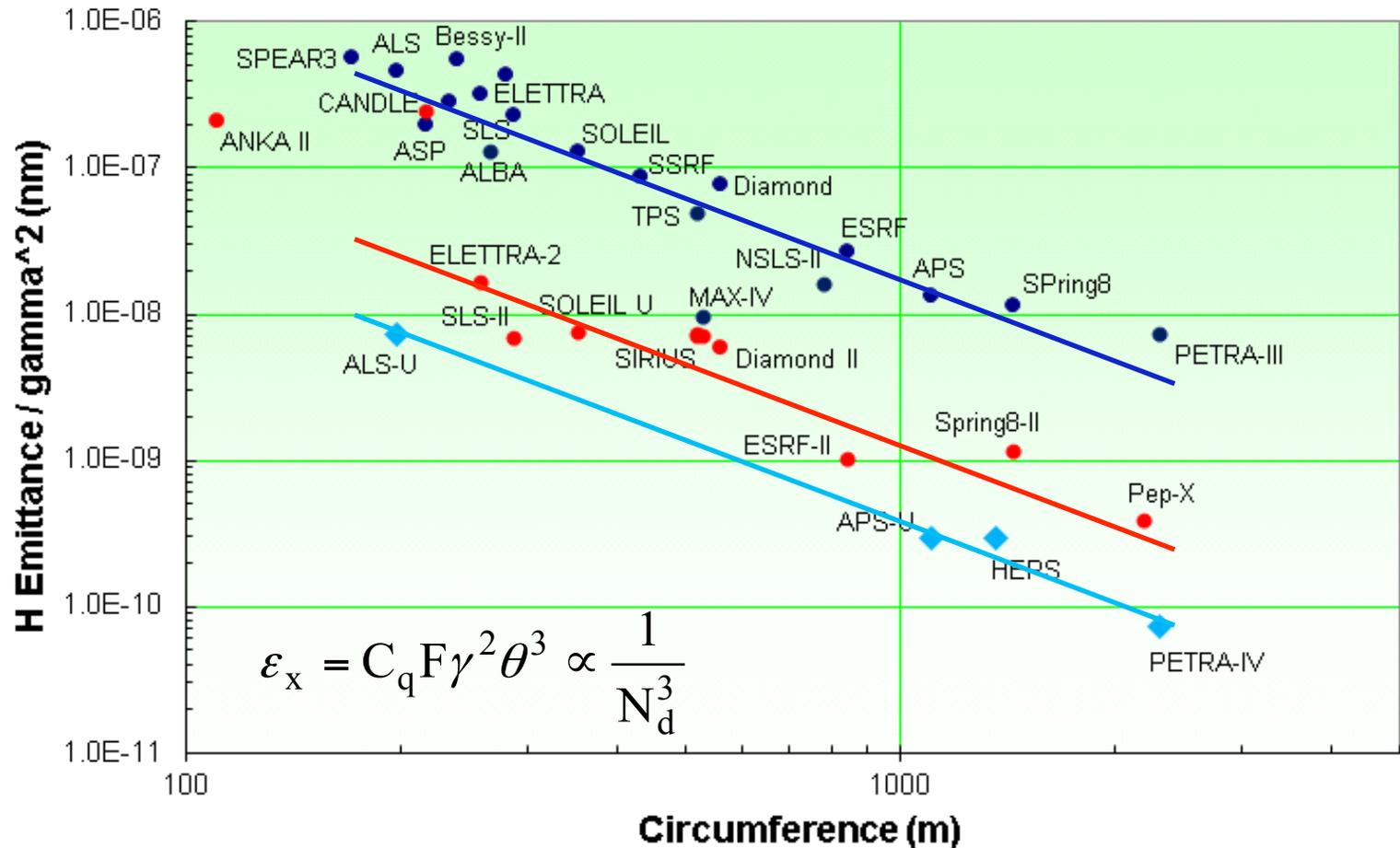


Presently 2 new machines under constructions

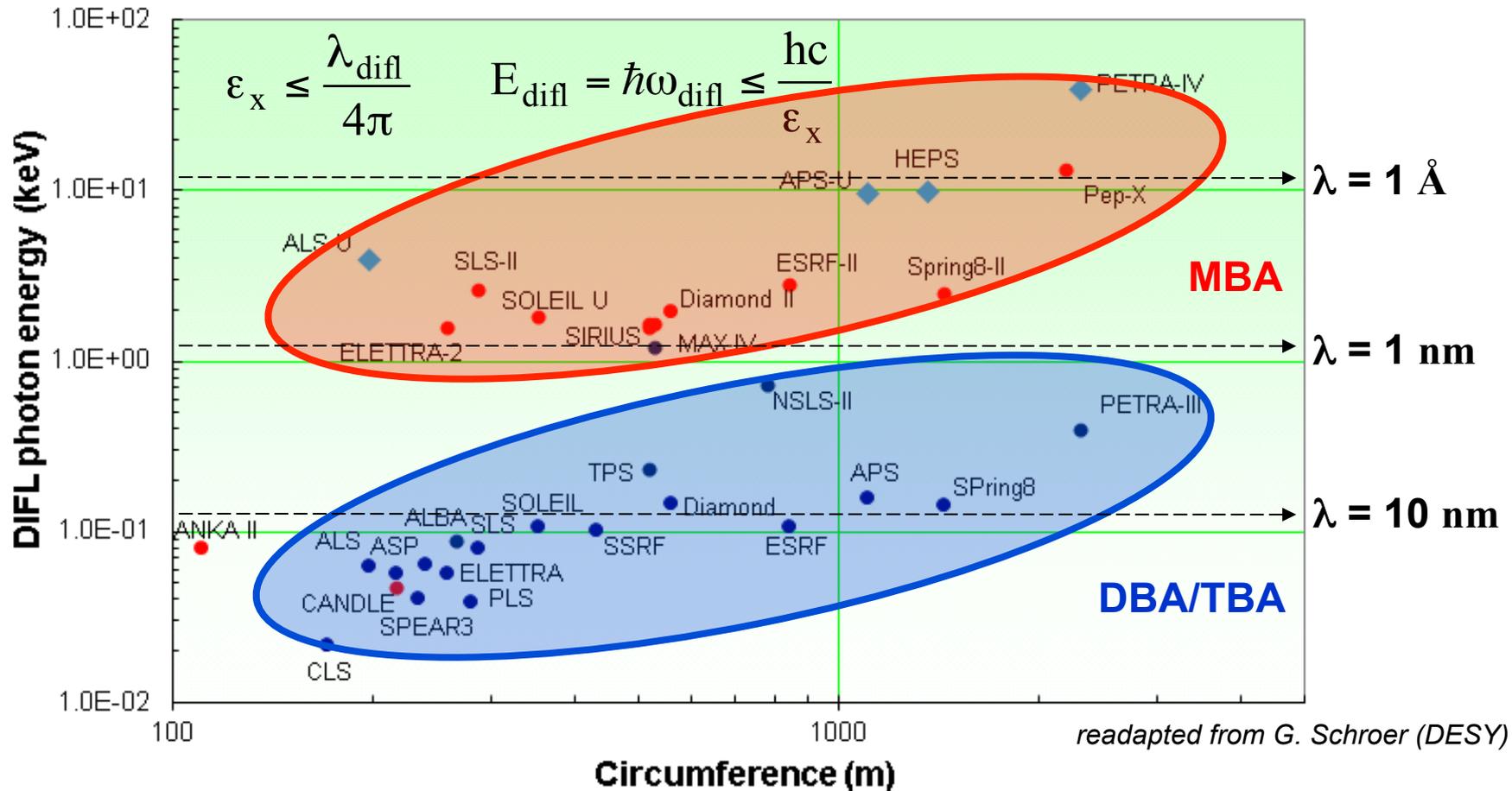
SIRIUS, ESRF-EBS

about 15 new / upgrade R&D projects

# Survey of low emittance lattices for light sources



# (quasi-) diffraction limited light sources



$$\varepsilon_x \leq \varepsilon_{ph} = \lambda/2\pi \text{ or } \varepsilon_x \leq \varepsilon_{ph} = \lambda/4\pi ?$$

Many authors have tried to shoehorn the single electron undulator emission into a Gaussian beam ...see e.g.

Elleaume (2003), Borland (2013), Hettel (2014)

$$\sigma_{ph} = \sqrt{2\lambda L} / 2\pi \quad \sigma'_{ph} = \sqrt{\lambda / 2L} \quad \rightarrow \quad \varepsilon_{ph} = \sigma_{ph} \sigma'_{ph} = \lambda/2\pi$$

Lindberg & Kim (2015)

$$\sigma_{ph} = \sqrt{\lambda L} / 2\pi \quad \sigma'_{ph} = \sqrt{\lambda / 4L} \quad \rightarrow \quad \varepsilon_{ph} = \sigma_{ph} \sigma'_{ph} = \lambda/4\pi$$

Huang (2013)

$$\sigma_{ph} = \sqrt{2\lambda L} / 4\pi \quad \sigma'_{ph} = \sqrt{\lambda / 2L} \quad \rightarrow \quad \varepsilon_{ph} = \sigma_{ph} \sigma'_{ph} = \lambda/4\pi$$

If the emission for an undulator has

$$\varepsilon_{ph} = \lambda/2\pi \quad \text{then} \quad F = \frac{\lambda^2 / (4\pi)^2}{\Sigma_x \Sigma_{x'} \Sigma_y \Sigma_{y'}} = \frac{1}{4}$$

the coherent fraction will never reach the one of the fundamental Gaussian mode, not even from a single electron (let alone for an electron beam with finite emittance and energy spread)

# Matching in phase space

The electron phase space should be matched to the photon phase, i.e.

$$\frac{d}{d\beta_x} \frac{1}{\Sigma_x \Sigma_{x'} \Sigma_y \Sigma_{y'}} = 0 \longrightarrow \beta_{x,\max} = \frac{\sigma_{\text{ph}}}{\sigma'_{\text{ph}}} \quad \text{ditto for } y$$

otherwise the brilliance and coherent fraction are smaller

Elleaume (2003), Borland (2013), Hettel (2014)

$$\beta_{x,\max} = \sigma_{\text{ph}} / \sigma'_{\text{ph}} = L / \pi$$

Lindberg & Kim, PRSTAB (2015)

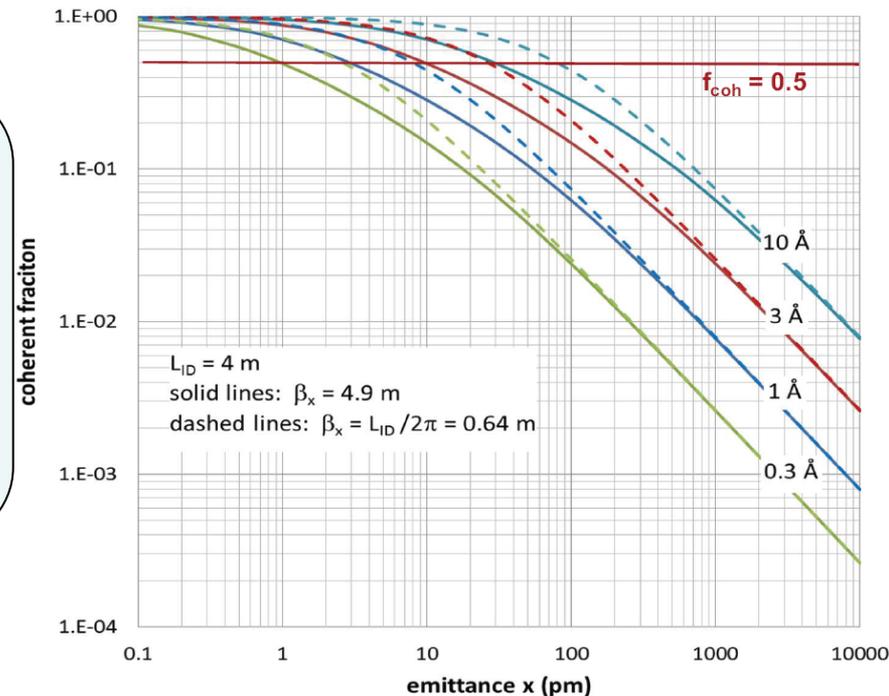
$$\beta_{x,\max} = \sigma_{\text{ph}} / \sigma'_{\text{ph}} = L / \pi$$

Huang (2013)

$$\beta_{x,\max} = \sigma_{\text{ph}} / \sigma'_{\text{ph}} = L / 2\pi$$

In any case  $\varepsilon_{\text{ph}} \leq \lambda / 4\pi$  means equal contributions of photons and electrons

Horizontal Coherent Fraction vs. Horizontal Emittance



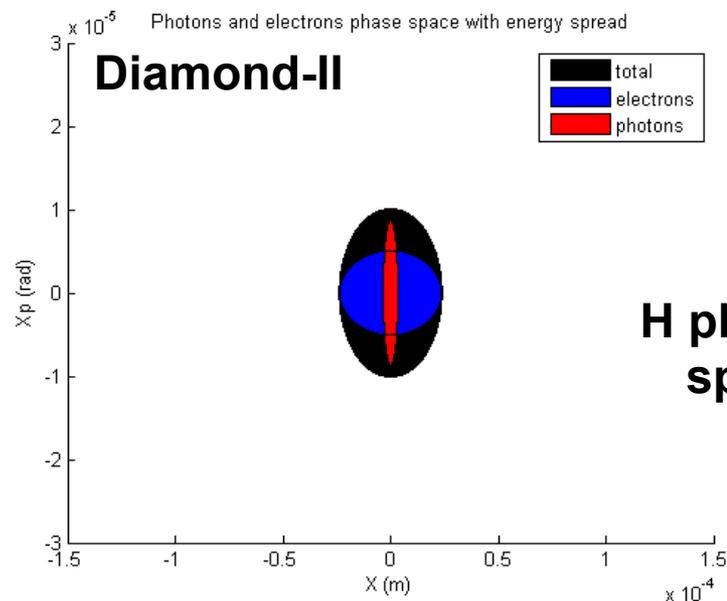
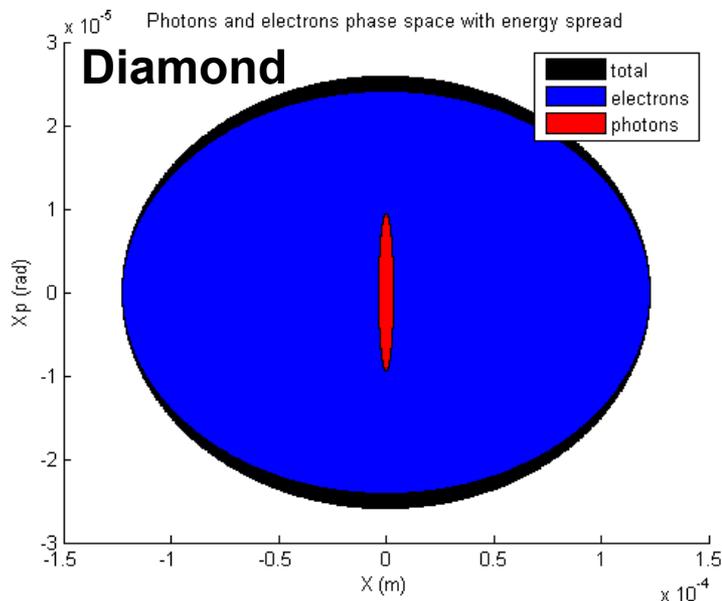
# electron and photon phase space: Diamond (2700 pm) and Diamond II (120 pm)

e <sup>-</sup> parameter (rms values)	Diamond	Diamond-II
Horizontal size, $\sigma_x$ [mm]	123.5	23.6
Vertical size, $\sigma_y$ [mm]	3.5	3.5
Horizontal divergence, $\sigma_{x'}$ [mrad]	24.1	5.1
Vertical divergence, $\sigma_{y'}$ [mrad]	2.3	2.3
Product	$2.38 \cdot 10^4$	$9.60 \cdot 10^2$
Electron beam brightness ratio	1	~25

## photon parameters at 12.4 keV (i=7 U21)

Diamond	Diamond-II
123.6	23.8
4.7	4.7
25.8	10.5
9.5	9.5
$1.44 \cdot 10^5$	$1.13 \cdot 10^4$
1	~13

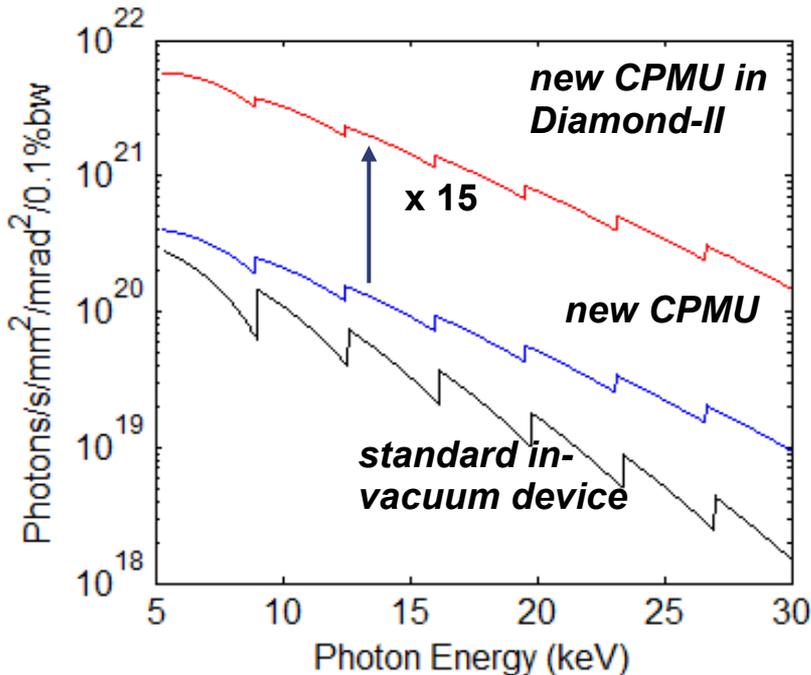
The electron beam brightness is improved by nearly a factor of 25 [only in H; no gain in V ( $\epsilon_y = 8$  pm)]



H photon phase space @ 1Å

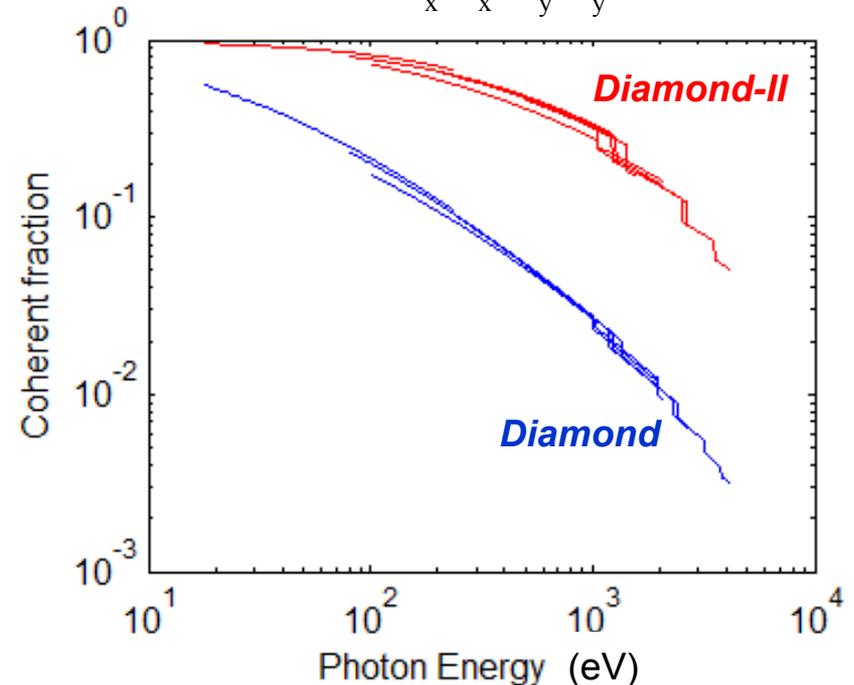
# Brightness and coherent fraction improvement e.g. Diamond (2700 pm) vs Diamond-II (120pm):

Brightness for hard X-rays undulator  
CPMU 300 mA 1%K  
116 periods 2 m long with  $K_{\max} = 1.86$



Transverse coherence fraction

$$F = \frac{\lambda^2 / (4\pi)^2}{\sum_x \sum_{x'} \sum_y \sum_{y'}}$$

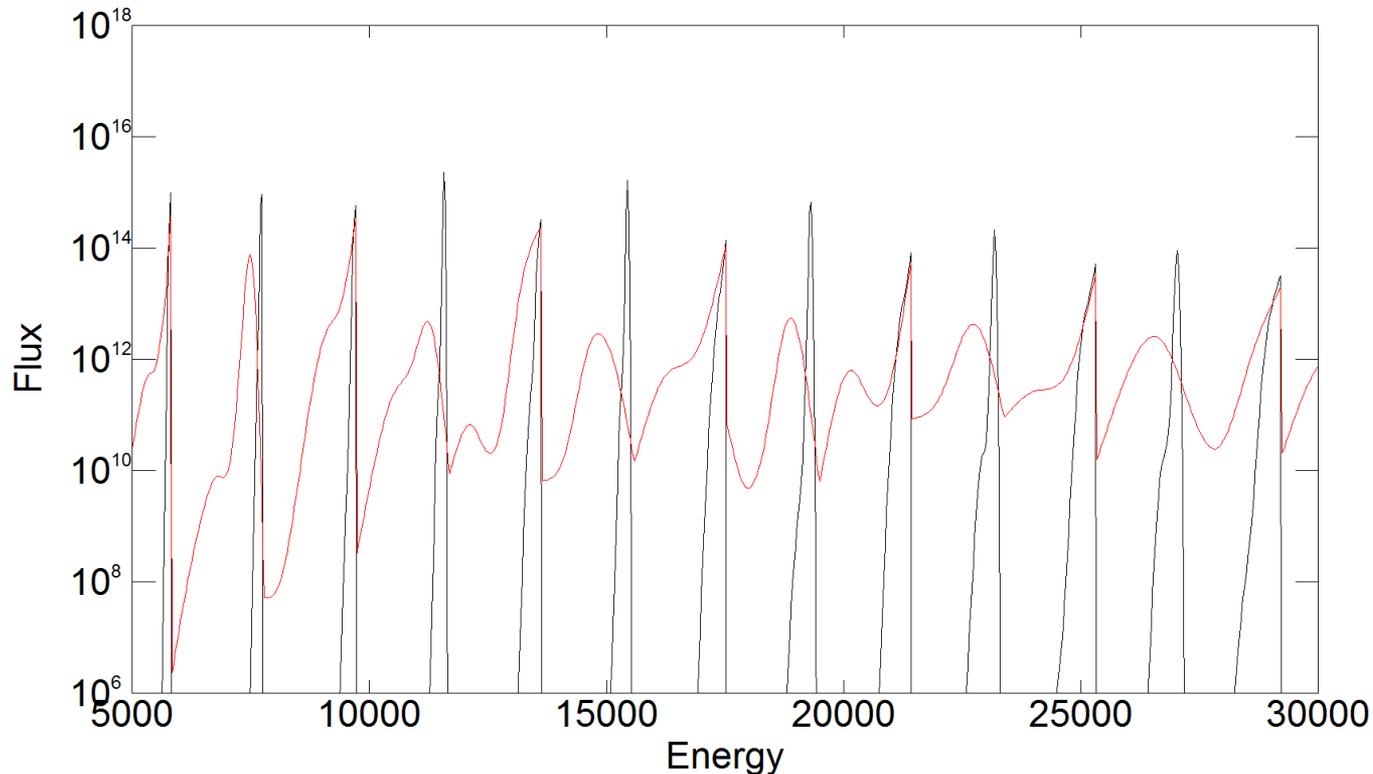


The improvement in brightness/coherence is approximately a factor of x3 at 100 eV and x10 at 1 keV, the main benefit coming from the reduction in horizontal source size

# Pinhole Flux

**Much cleaner spectral flux**

- **smaller pinhole needed to collect the same fraction of flux**
- **smaller mirror sizes, higher quality**
- **reduced power loading, better thermal stability**



***Flux through a  $40 \mu\text{rad} * 40 \mu\text{rad}$  aperture for the CPMU in the existing ring (red) and in Diamond-II (black).***

# Present status

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- MAX IV in operation (200 mA – IPAC17)
- ESRF contracts and large scale proc. ongoing; back in op. **2020**
- Sirius (Brazil) under construction; commissioning in **2018**
- APS-U (US) has passed CD1
- ALS-U (US), HEPS (China) got money for R&D programmes
- ELETTRA II and SLS-II completed CDR by 2017
- many labs are investigating options (Diamond, SOLEIL, ...) in more or less advanced consultations with users in view of CDR

# Lattices for DLSR

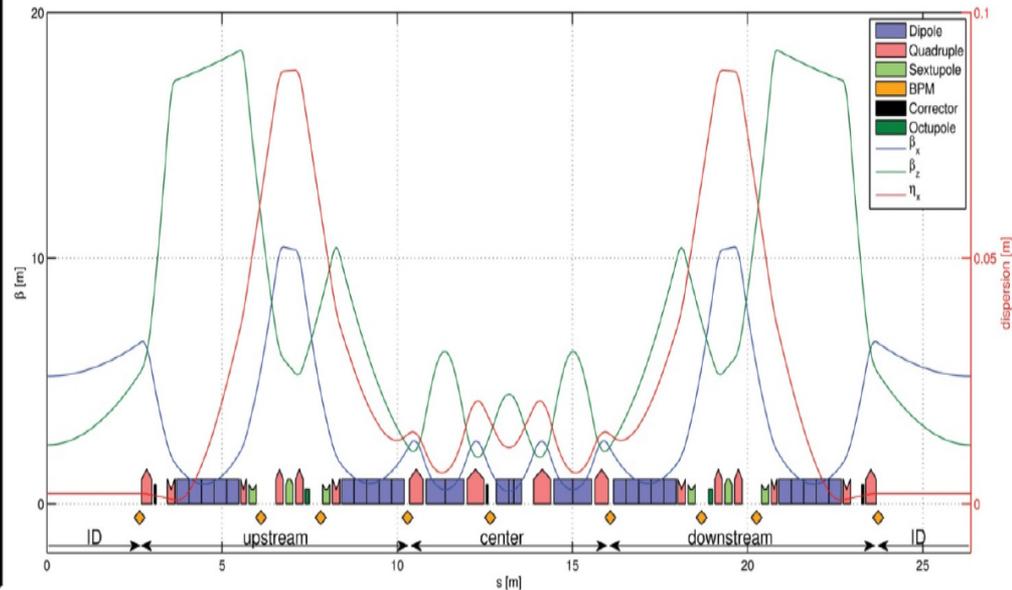
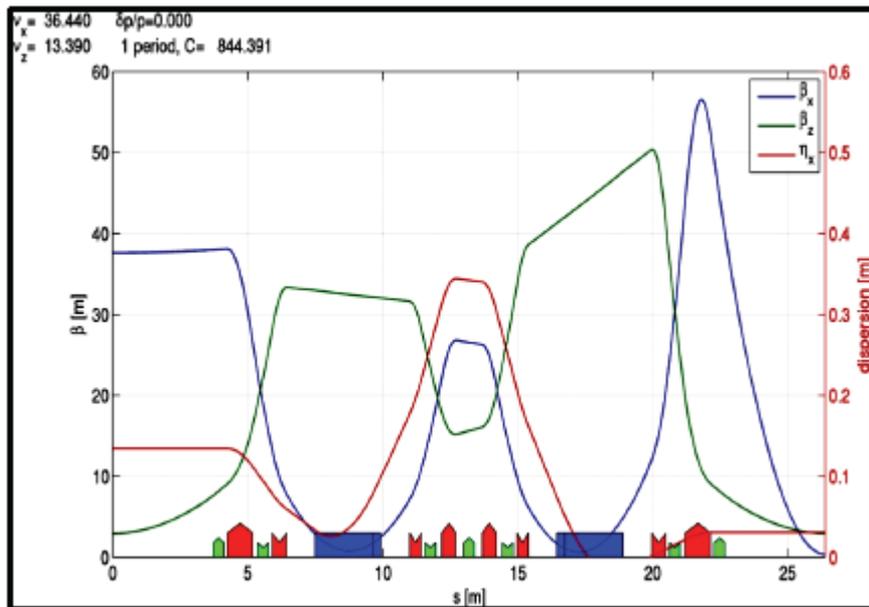
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- **MBA**  
detuned TME cells with small  $D_x$   
TME: MAX IV [see P. Tavares' talk](#), SIRIUS, ALS U  
TME + Reverse Bends + LGBs: SLS II [see A. Streun's talk](#)
- **HMBA**  
LGBs +  $D_x$  bump + paired sexts.  
HMBA: ESRF-EBS  
HMBA + Reverse Bends: APS-U, HEPS
- **Modified MBA**  
with mid-straight sections in the arcs with  $M = \text{even}$   
Diamond II, Elettra II, SLiT-J, KEK-LS  
[see also E. Karantzoulis' talk](#)  
[see also A. Nadji's talk](#)

# ESRF-EBS

Original DBA cell: 3800 pm

Hybrid 7BA cell: 130 pm



Hybrid 7BA cell features:

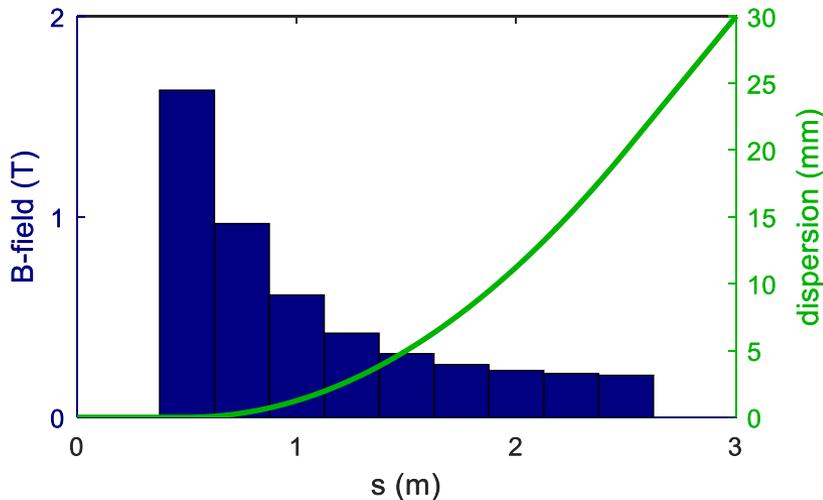
Dispersion bump for chromatic sextupoles;

$3\pi / \pi$  phase advance for cancellation of sextupole driving terms;

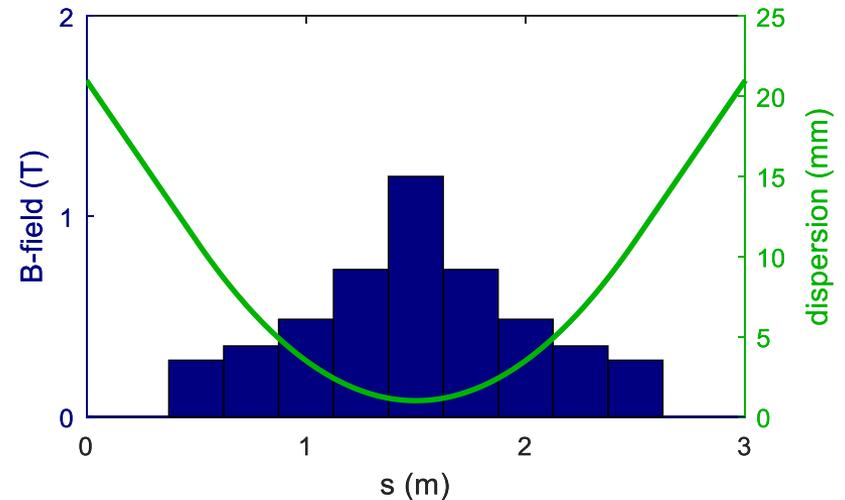
Longitudinal gradient bend for emittance minimisation;

# Longitudinal gradient bends

ESRF-EBS longitudinal bends in matching cells



SLS II longitudinal bends in TME cells



- **Emittance lowered by minimising  $I_5$**

$$\epsilon_x = C_q \gamma^2 \frac{I_5}{J_x I_2}$$

$$I_5 = \oint \frac{\mathcal{H}(s)}{\rho^3(s)} ds$$

$$\mathcal{H}(s) = \gamma_x \eta_x^2 + 2\alpha_x \eta_x \eta_{x'} + \beta_x \eta_{x'}^2$$

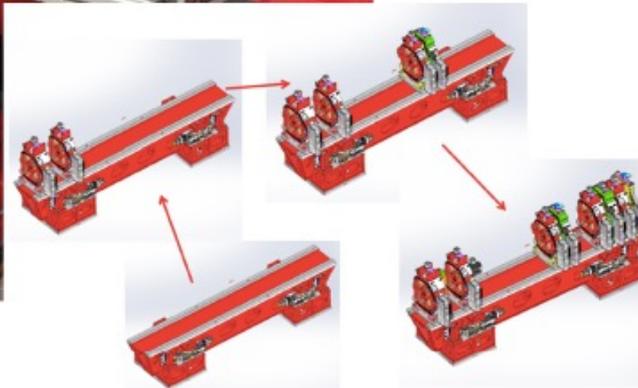
- Make the **dipole field strongest** where the **dispersion is at a minimum**
- Total bend angle is kept constant
- Can get below TME of uniform dipole
- Have the benefit of producing hard x-rays where B-field is large

# Status of ESRF-EBS

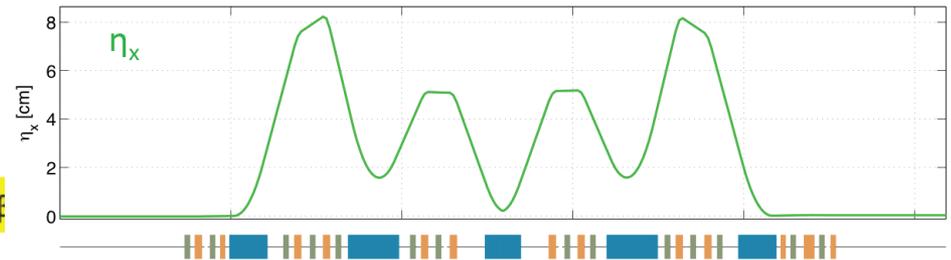
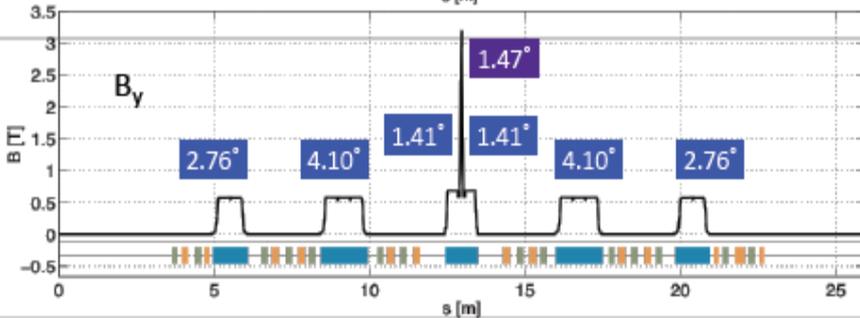
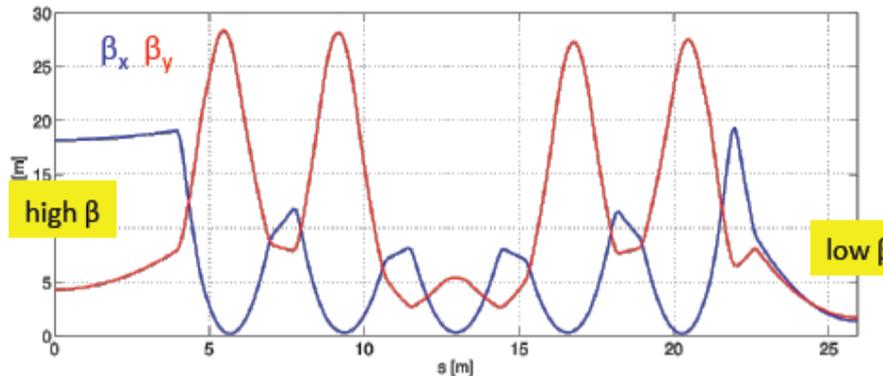
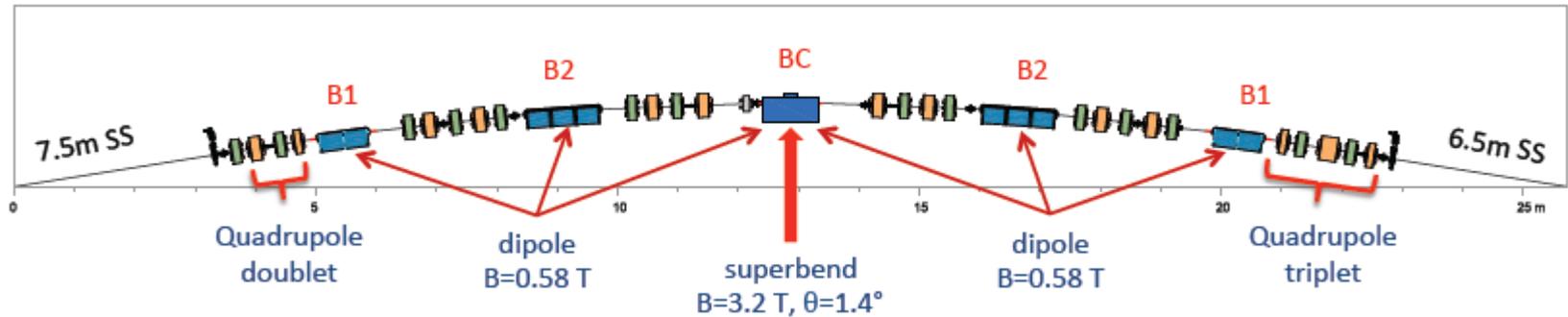


**magnets and vacuum  
assembly on girders  
in full swing**

**SR commissioning starts  
02/12/2018  
(Raimondi IPAC17)**



# SIRIUS (5BA lattice)



- 20 5BA arcs and 2 types of straight sections for insertion devices:
  - 10 **high  $\beta_x$**  straight sections of **7.5 m** – matching with quad **doublets**.
  - 10 **low  $\beta_x$**  straight sections of **6.5 m** – matching with quad **triplets**.
- 20 PM **superbends** (short slice sandwiched in the center dipole)
  - peak field of  $B_p = 3.2 \text{ T}$   $\rightarrow$  critical photon energy of  $e_c = 19.2 \text{ keV}$
- Low field (0.58 T) EM and PM dipoles with transverse field gradient (7.8 T/m)

# Status of SIRIUS



Mar-2016

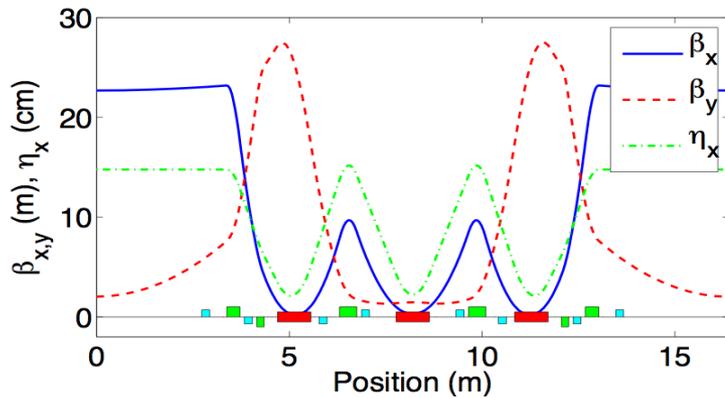
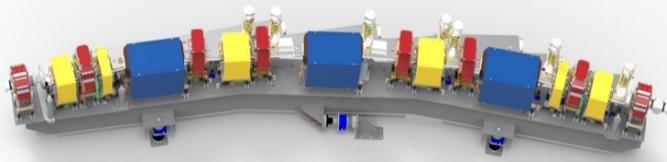
**(March 2016)**

**(March 2017)**



# ALS-U: diffraction limited light source

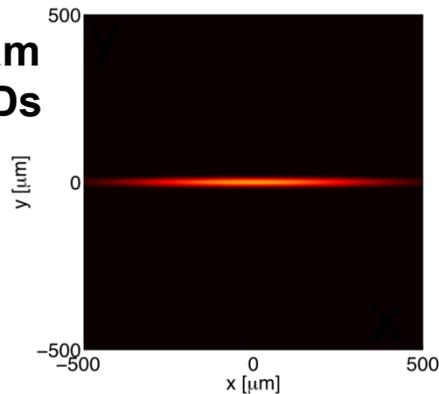
ALS today: 3BA @1.9 GeV



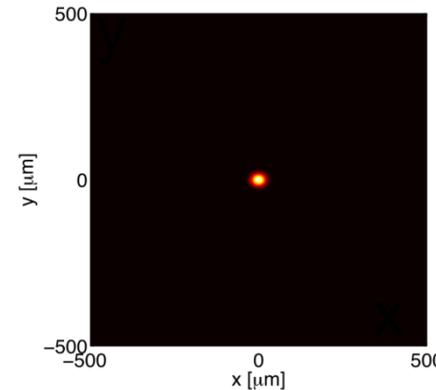
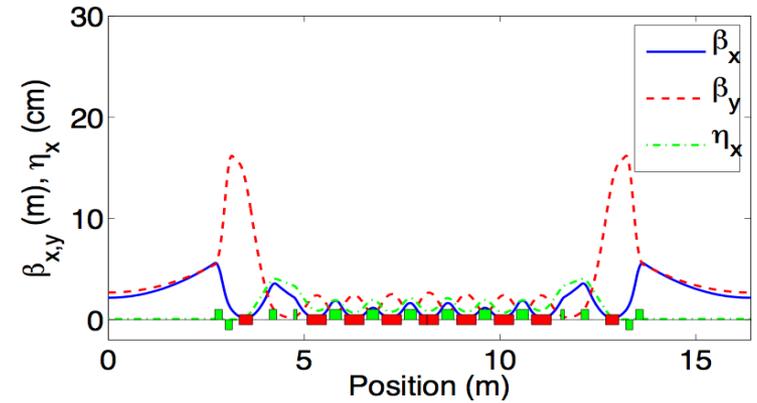
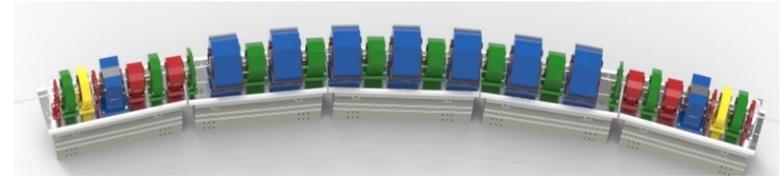
Electron beam  
at the IDs

$$\epsilon_x \approx 2000 \text{ pm}$$

$$\epsilon_y \approx 30 \text{ pm}$$



ALS-U: 9BA @2 GeV



With full coupling:

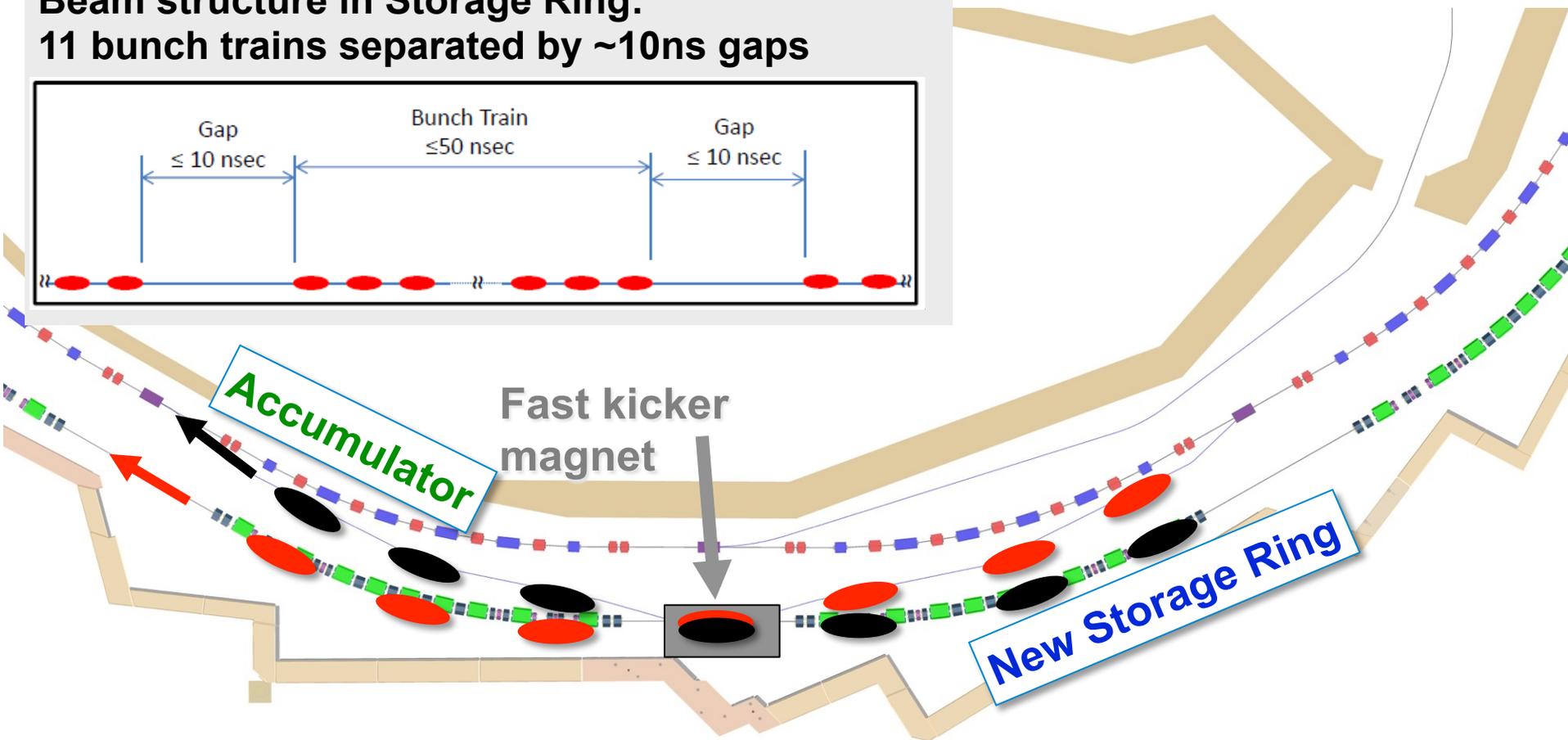
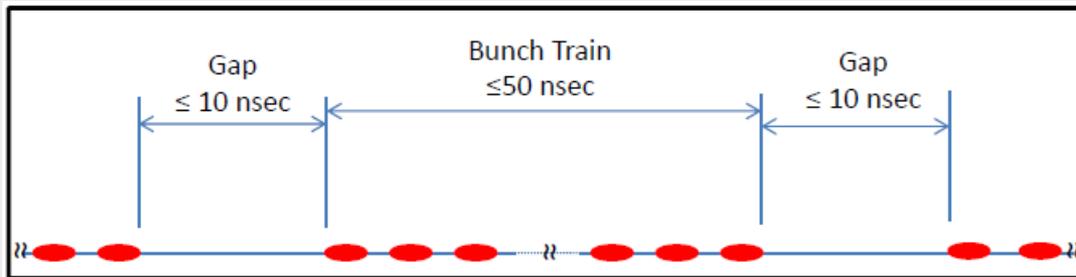
$$\epsilon_x \approx 50 \text{ pm}$$

$$\epsilon_y \approx 50 \text{ pm}$$

# ALS-U: swap out injection

Very aggressive design, but **small DA (few mm)** not allowing off-axis injection  
Accumulator will enable on-axis injection with bunch-train swap-out

Beam structure in Storage Ring:  
11 bunch trains separated by ~10ns gaps



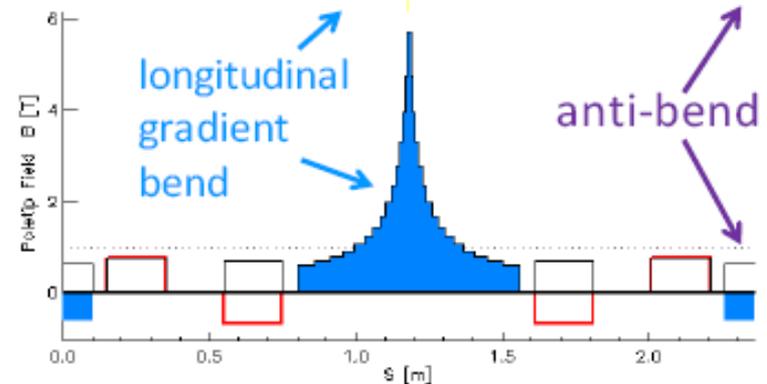
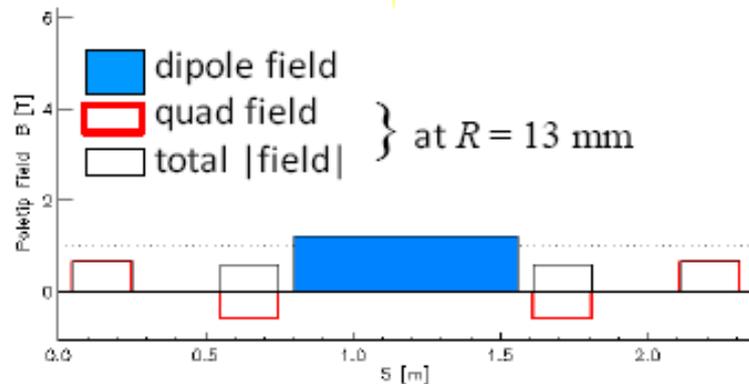
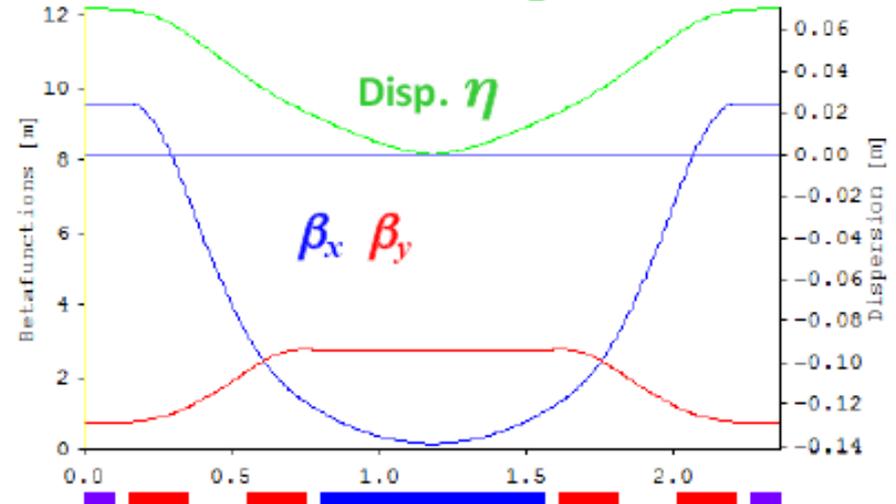
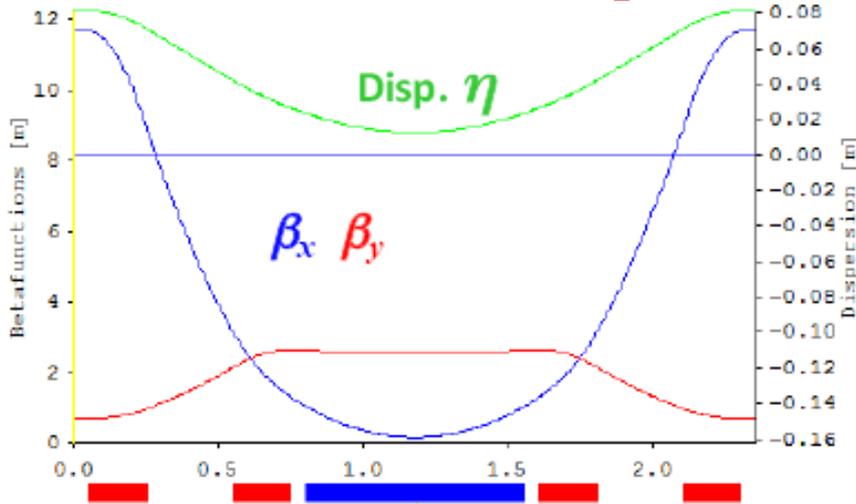
- Pulser prototype being developed at LBNL has demonstrated  $\sim 5$ ns rise/fall time
- Preliminary Accumulator design achieves  $\varepsilon_x < 2$ nm with 5BA lattice.

# SLS II – reverse bends

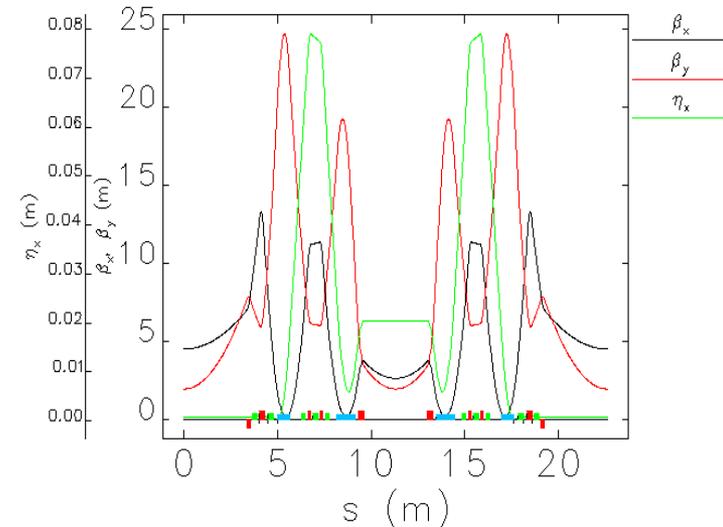
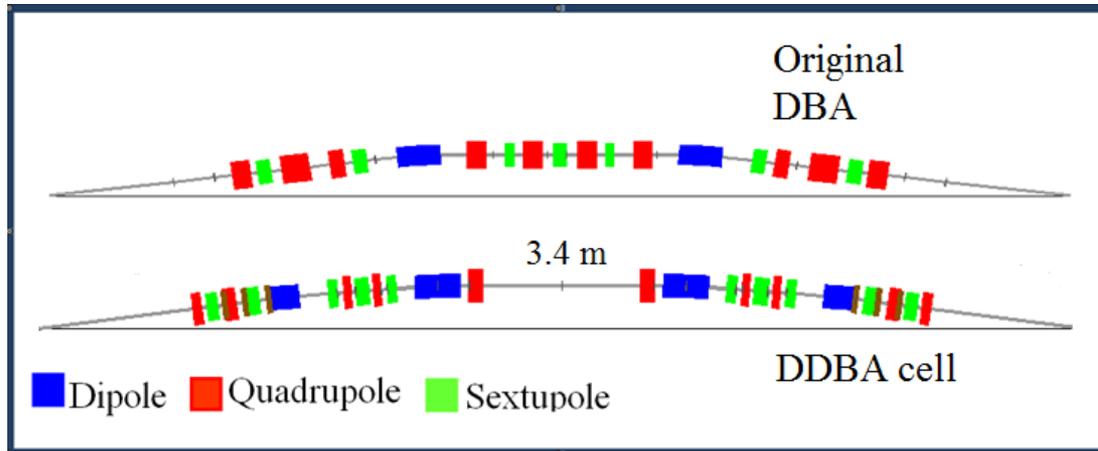
Combining **longitudinal gradient bends** and **reverse bends** to reduce the emittance

conventional:  $\varepsilon = 990 \text{ pm}$  ( $F = 3.4$ )

LGB/AB:  $\varepsilon = 200 \text{ pm}$  ( $F = 0.69$ )

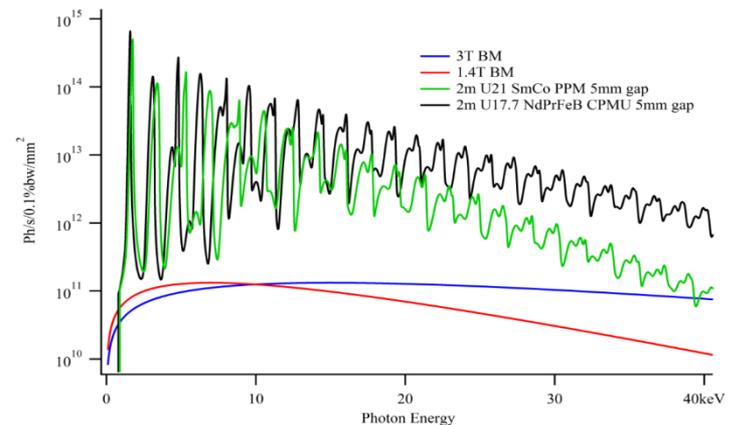
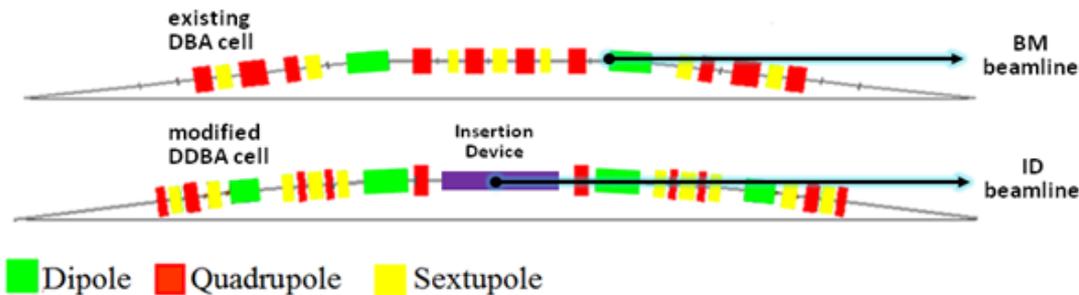


# Diamond II: modified 4BA – 270 pm



This lattice combines the idea of **doubling the capacity of the ring** with **the low emittance**

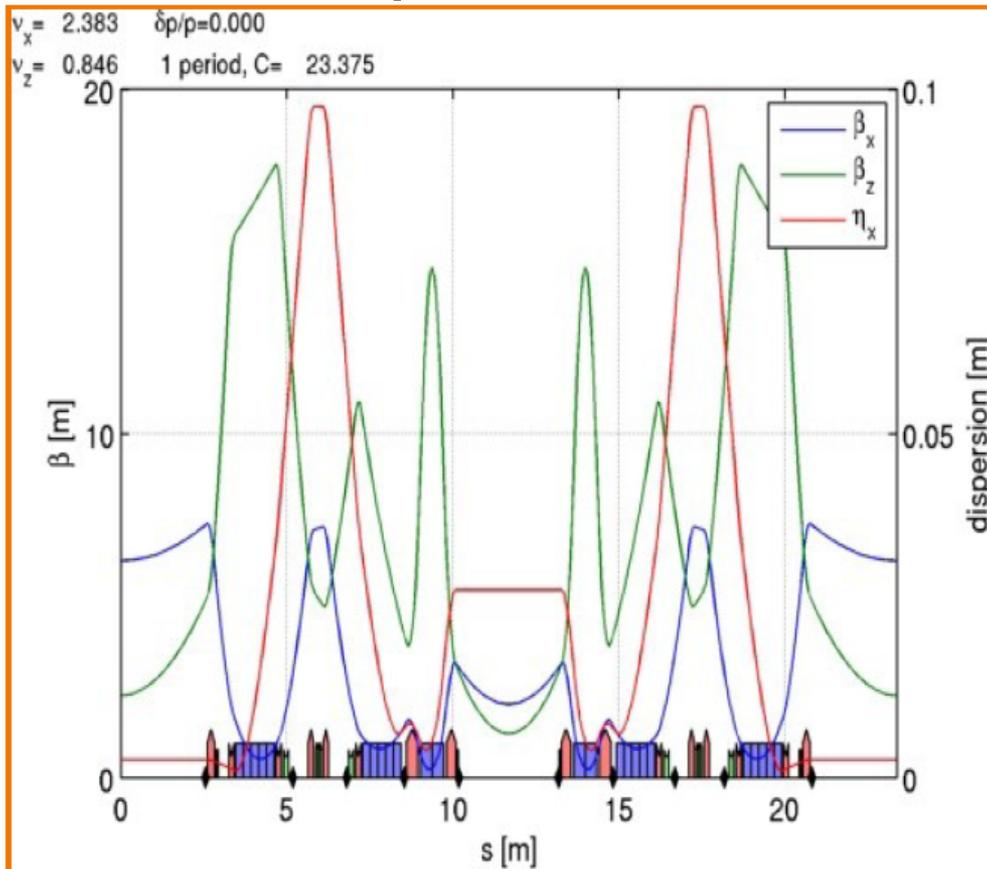
The Diamond Board approved the project to replace the existing cell2 with a DDBA cell



# Diamond II: DTBA cell – 120 pm

A more aggressive design has been proposed that merges the **ESRF HMBA** concept with the **Diamond DDBA** mid straight section taking the **best of both**

Use the ESRF cell (7BA with longitudinal gradient dipoles) – removing the mid dipole to make it a 6BA with a straight at the centre



Promising design:

Emittance 120 pm

~ 10mm DA

~ 3 h lifetime

short straight sections ~5m

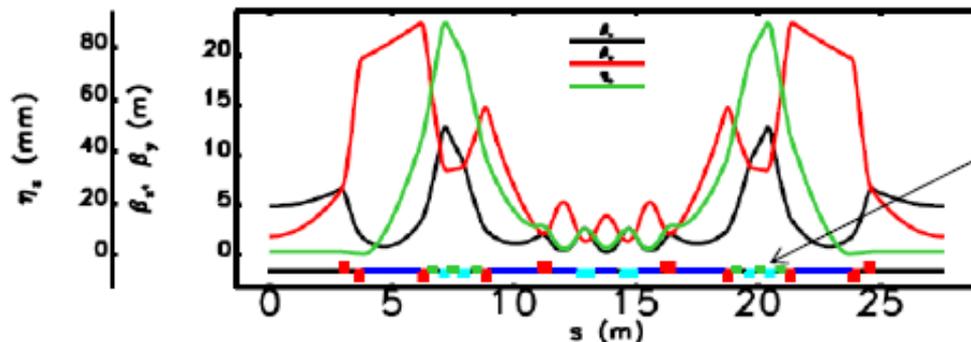
long straight sections ~8 m

mid-straight section ~3 m

Large beta x for injection  
under investigation

# and many other variants

## APS-U incorporating reverse bends in the HMBA 42 pm @ 6 GeV – on-axis injection

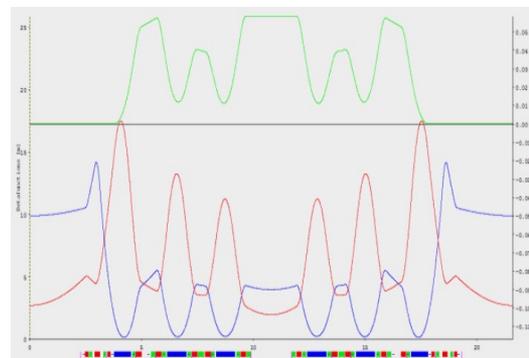
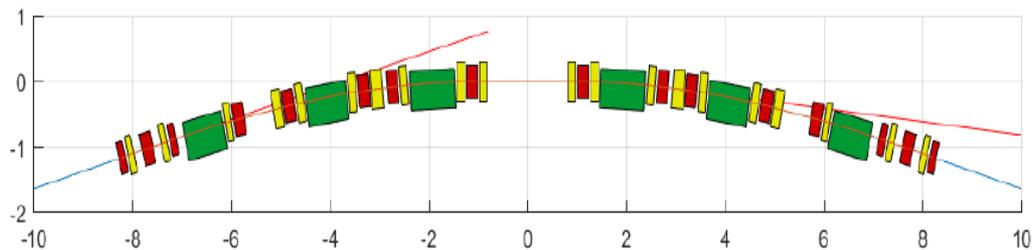


reverse bends (cyan),  
(actually offset quadrupoles)  
reduces ex 67 to 42 pm

*M. Borland et al., NAPAC 2016*

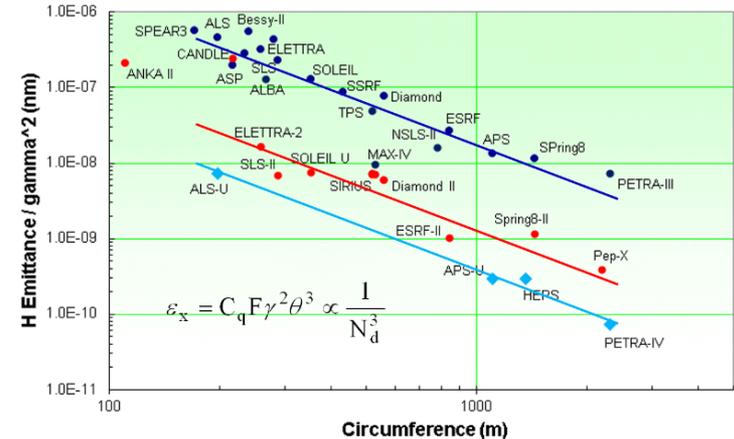
**very similar design  
followed by HEPS**

## Elettra – II: modified 6BA – 250 pm @ 2 GeV



# Why this renaissance of the rings?

**Enabling a stronger(?) science case based on**  
higher brightness  
higher transverse coherence  
small photon beam size  
small photon beam divergence  
cleaner spectral flux



**Growing confidence in design and optimisation tools**

Acc. Physics:

DBA/TBA → MBA

off-axis injection → on-axis injection

**Growing confidence and first experience with technological subsystems**

Acc. Technology:

high gradient magnets small apertures (100 T/m 12.5 mm)

longitudinal gradient dipoles – strong gradient dipoles

NEG pumping - not in all projects

fast pulsed kickers – feasibility of on-axis injection

(feedbacks, HHC, ...)

# Optimisation of lattices for DLRS

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## Analysis tools based on perturbative theory of betatron motion

- resonance driving terms (and detuning terms)
- cancellation rules – symmetries (pairing sextupoles and cells)

## Analysis tools based on numerical tracking

- accurate and direct evaluation of detuning, DA, MA, injection efficiency, RDTs, FMA

*verified experimentally: Diamond, SOLEIL, ESRF, SPEARIII, NSLS-II, ...*

## Optimisation based on numerical search of parameter space

- GLASS (GLobal Search of All Stable Solutions)
- gradient search, simplex, least squares, ...
- genetic algorithms, MOGA, particle swarms (or just random search!)

*Use of large computer clusters*

## Realistic models can be used *directly in the optimisation stage*

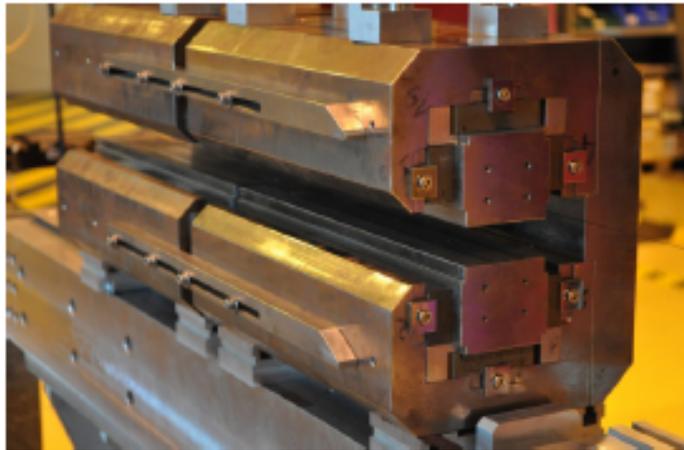
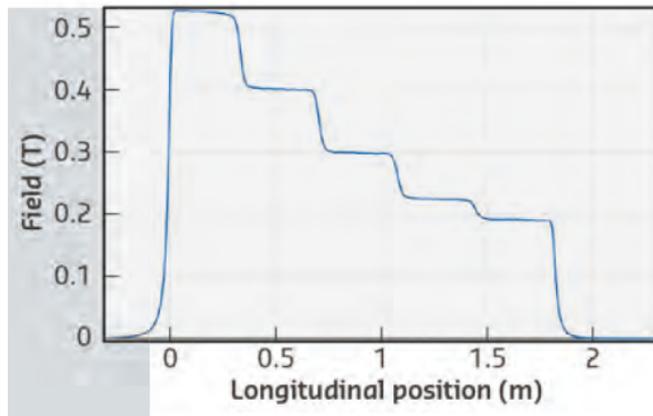
- engineering apertures, IDs, full 6D motion with RF, radiation damping
- effects of errors in magnets, fringe fields, systematic and random
- misalignments: of girders, individual magnets, BPMs

# ESRF-EBS (magnets)

## Longitudinal gradient dipole

0.17- 0.67 T field (PM)

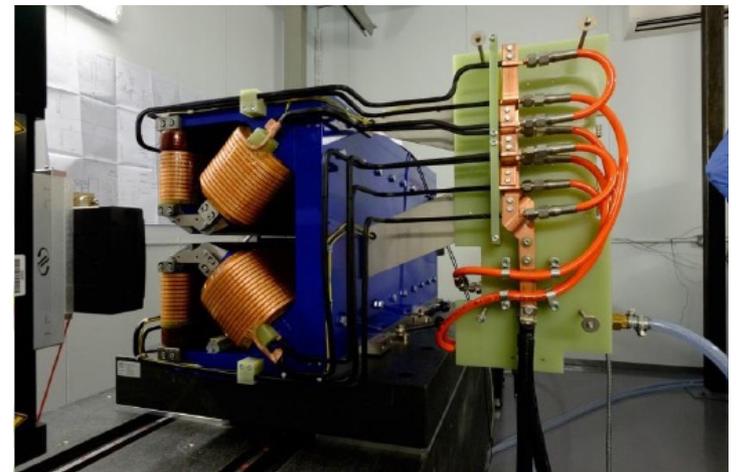
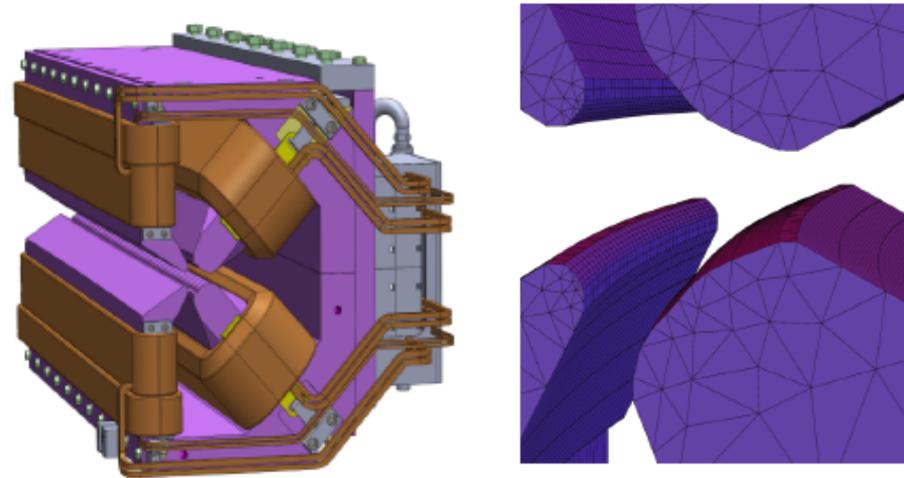
5 modules of 357 mm each



## Transverse gradient dipole

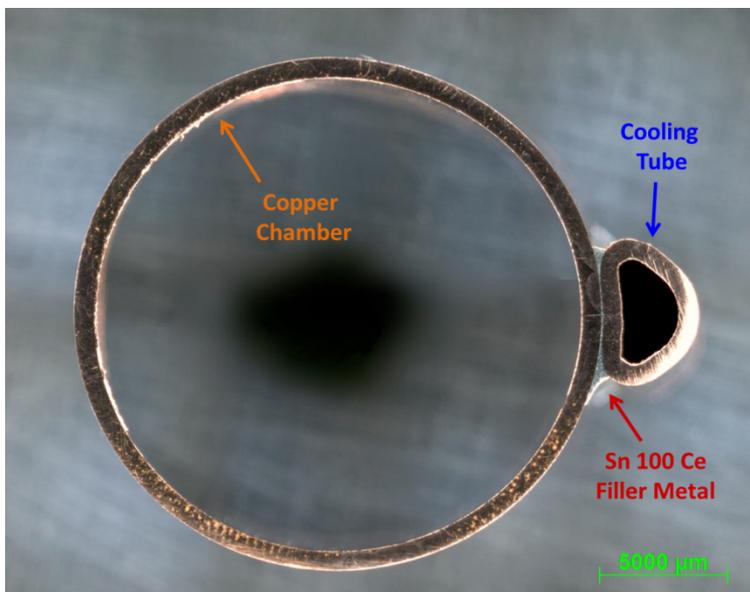
0.57 T/, 37.1 T/m

machined from solid iron



# SIRIUS (NEG coated chambers)

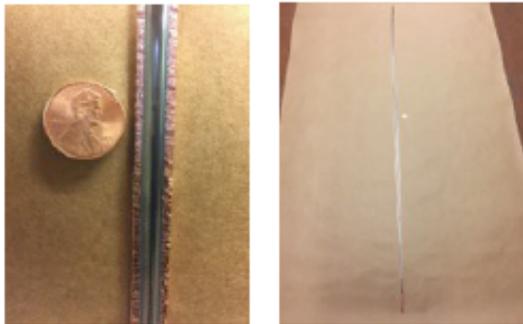
Small diameter vacuum vessel 24 mm internal radius pumped with NEG coating - collaboration with CERN



Courtesy L. Lin

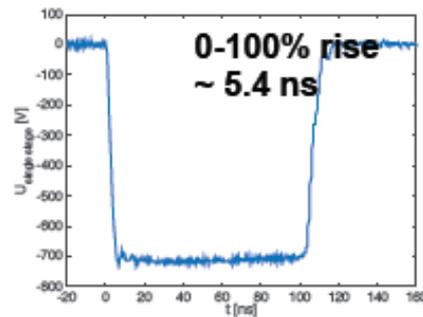
# ALS-U: R&D programme

Very small NEG coated vacuum chambers



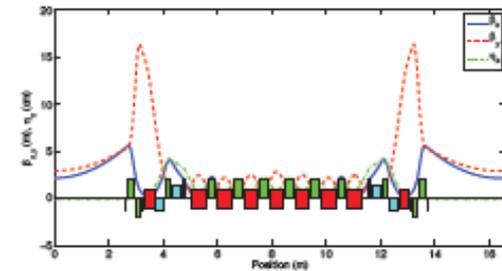
Coated 6 mm chamber (world record)

On-axis Injection – Fast pulsed magnets



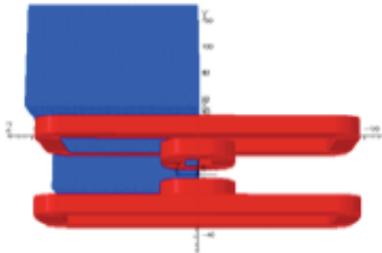
Adder achieves 5 ns rise (7 ns needed)

Optimization of Physics Design



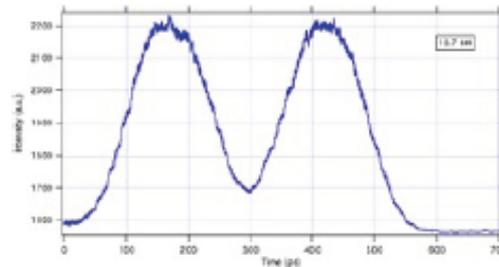
Released Baseline Lattice

Magnets – SR Production



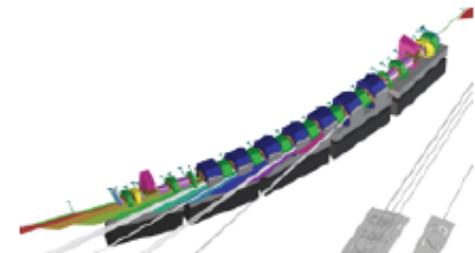
Evaluated Superbend options

Harmonic Cavities - Transients



Achieved needed bunch lengthening with ALS-U bunch trains in ALS (3HC)

Engineering



Automated iteration between physics and CAD model

# On-axis injection route

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Lattices with small DA require careful study of the injection process. The minimum required DA is in the order of 5-10 mm

- artificial enhancement of beta x at the injection point
- small emittance injected beam
- reduced septum thickness (e.g. electrostatic septa)
- nonlinear kickers

If DA is too small, the only option is to give up off axis injection

- On-axis injection

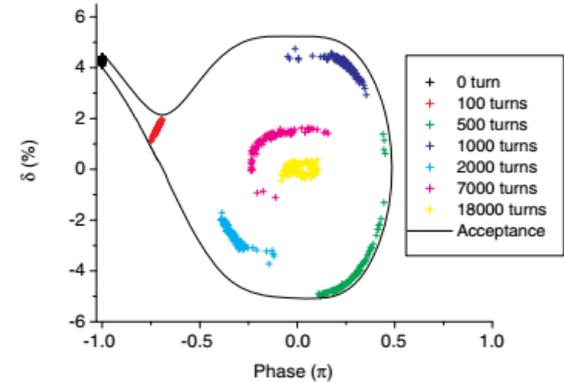
Many variants proposed for either swap-out or accumulation, see e.g. [Topical Workshop on Injection and Injection Systems, Bessy, Aug 2017](https://indico.cern.ch/events/635514)  
<https://indico.cern.ch/events/635514>

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# Longitudinal (on-axis) injection

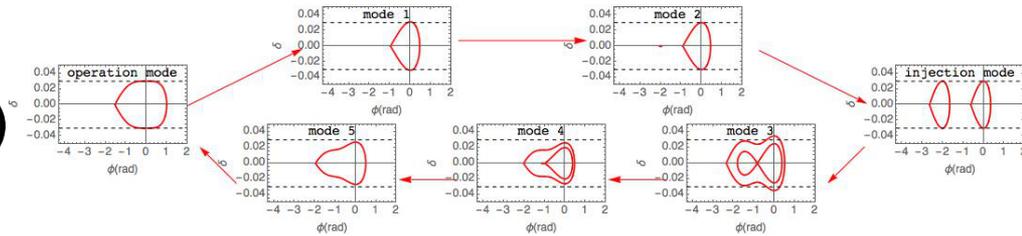
Longitudinal injection, i.e. in between circulating bunches using a fast kicker and

**Beam dynamic in the RF golf club (M. Aiba, SLS)**

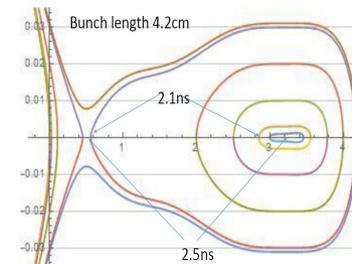


**Deformation of the RF potential to create intra bunch fixed points**

**Time varying RF gymnastic (double RF ramp, Z. Duhan, HEPS)**



**Static (triple RF system, G. Xu, HEPS)**

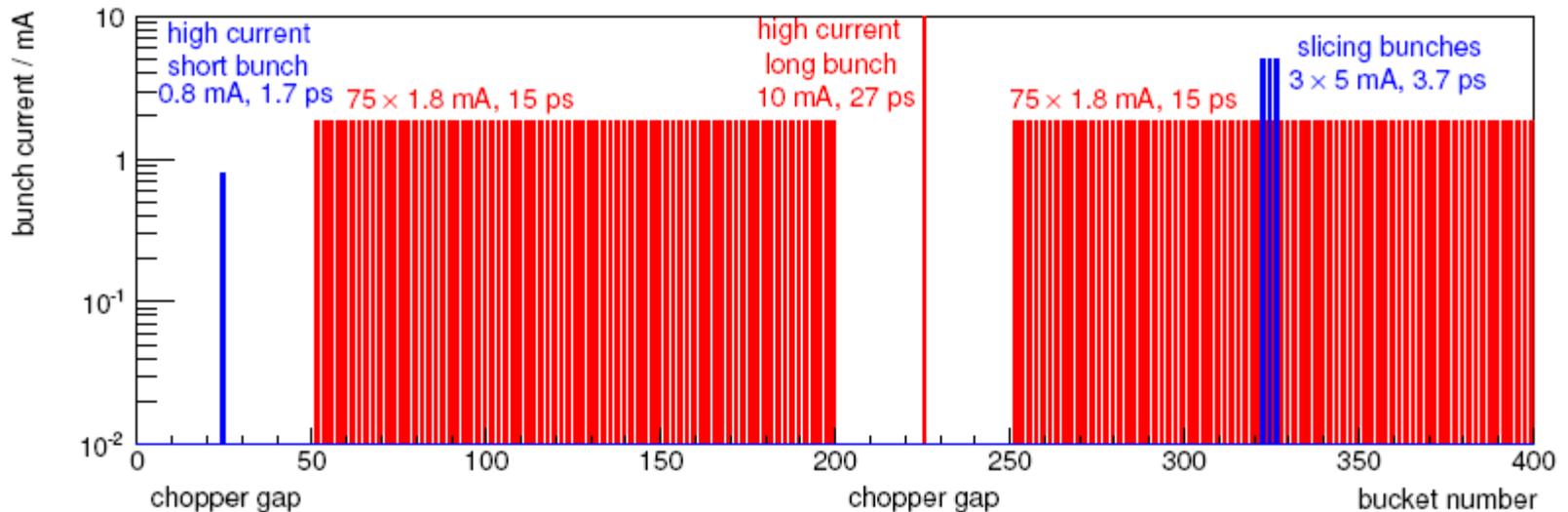
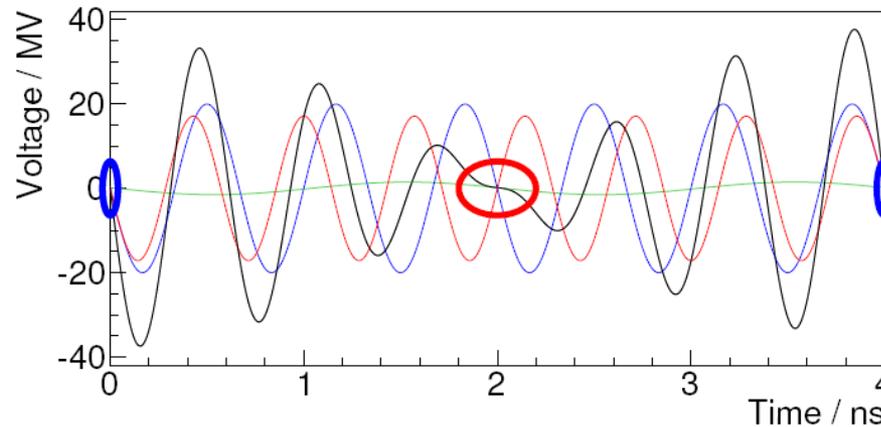


**Unlike swap out, it allows accumulation!**

**Many schemes some are very new (e.g. SOLEIL longitudinal NL kicks)**

# Time resolved science via Variable pulse length SR - VSR

Combining harmonic cavities at 1.5 GHz and 1.75 GHz to store simultaneously long and short pulses – SC RF



From Bessy VSR CDR and A. Jankowiak private communications

# Round beams

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Round beams are proposed for a number of upgrades

- ALS-U 50pm in both planes
- APS-U in timing mode 32 pm in both planes
- PETRA IV 10-30 pm in both planes

by means of

- Emittance exchange Petra IV
- Horizontal wiggler field (Bogomakov, LER14)
- Coupling resonance with on axis injection
- Coupling resonance with time varying skew quadrupoles  
(**see P. Kuske's talk**)

Workshop on round beams at SOLEIL, June 2017

<https://www.synchrotron-soleil.fr/fr/evenements/mini-workshop-round-beams>

# Conclusions

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Ultra low emittance rings are becoming reality

MAX IV in operation

SIRIUS in operation by 2018

ESRF EBS in operation by 2020

Many light sources are studying upgrades based on MBA with many variants

R&D still required: magnets, vacuum, diagnostics for stability, fast kickers, harmonic cavities, but the **technological challenges appear solvable**

**The development of ultra low emittance rings is now seriously tackled by a large community, in EU, US and Asia. The next push is to get to true diffraction limited ring (tens pm emittance)**

# LER18 - 15-17 January 2018 - CERN

## Accelerator Research and Innovation for European Science and Society

The Low emittance network will continue to be supported as work package in  
**ARIES** called **RULE**  
**Ring with Ultra Low Emittance**



### 7th Low Emittance Rings Workshop

15-17 January 2018  
CERN  
Europe/Zurich timezone

- Overview
- Timetable
- Contribution List
- Registration
- Participant List
- Accommodation
- Coming to CERN
- Free shuttle Airport-CERN-Airport
- How to upload your presentation

The goal of the workshop is to bring together experts from the scientific communities working on low emittance e+/e- rings. The workshop is sponsored by the RULE network under the ARIES European project and includes light source storage rings, linear collider damping rings and future e+/e- circular colliders.

The workshop will treat beam dynamics and technology challenges for producing and controlling ultra-low emittance beams. Participants will benefit from the experience of colleagues who have designed, commissioned and operated such rings.

Workshop sessions will include:

- Low Emittance Optics Design and Tuning
- Collective Effects and Beam Instabilities
- Low Emittance Ring Technology

Students are encouraged to participate and present posters.

A prize will be awarded to the best student poster to allow for participating in a major conference presenting works related to Low Emittance Rings.

Relevant information about the workshop organisation and scientific timetable will be communicated shortly in a workshop web site.

Proposals for contributions to the workshop should be addressed to any member of the program committee: